

The role of the intestinal microbiota in the pathogenesis of chronic enteropathies and their interplay  
with the immune system

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## Abstract

The intestinal microbiota and its associated genome is collectively called the gastrointestinal (GI) microbiome; and is composed of crucial components that help not only to determine host biology but also to maintain host physiology. Dysregulation of the gastrointestinal microbiome has been associated with a range of diseases in people such as inflammatory bowel disease (IBD), diabetes and obesity. Previous studies have found dysbiosis and a reduced bacterial diversity in dogs with chronic enteropathies (CE). However, the precise nature of the intestinal microbiota dysfunction and whether the microbiota has a causative role or is secondarily affected remain to be elucidated.

The first step in understanding the relationship between the gut microbiota and disease is the characterisation of the normal gut microbiota, how it is established and how stable it is during different periods of life. In this work, we assessed the dynamics and stability of faecal microbiota over time in healthy dogs of different age groups, and the development of the microbiota from birth in puppies, and the association with the maternal microbiome.

In puppies the main findings were that (1) at birth dogs exhibit a low diversity and stability in their gut microbiota that increases as the animal grows; (2) gut microbiota is closely associated with the maternal faecal microbiota but not oral microbiota; (3) Influence is bidirectional and maternal gut microbiota is affected by puppy microbiota; (4) delivery route has low influence on gut microbiota and (5) weaning and introduction of solid food are the key determinants that drive maturation of the gut microbiota into an adult-like phenotype.

In juvenile and adult dogs, we could determine that: (1) the maximum complexity and stability is reached in adulthood, (2) there are permanent fluctuations in microbiota composition over time and (3) gut microbiota is more stable within the subject than between subjects.

Next, we characterised highly immunoglobulin A and G coated bacteria in faecal samples from dogs with chronic enteropathies using flow cytometry and 16S rRNA sequencing and assessed their correlation with disease stage and resolution of the clinical signs. We found that (1) there were lower proportions of immunoglobulin coated bacteria during remission compared to active disease; (2) Amount of immunoglobulin coating in active disease are similar to those found in healthy dogs; (3) immunoglobulin

coating is highly individualised despite similar clinical presentations between dogs, but differed between active and remission period in most of the individual dogs.

Finally, we characterised the expression of thymic stromal lymphopoietin (TSLP), a cytokine that is produced in response to bacterial contact, in the intestine of healthy dogs and its correlation with disease activity in dogs with chronic enteropathies. We found that (1) TSLP is constitutively expressed in the intestine and (2) its expression is not correlated with disease or disease stage.

In summary, the results reported here, help to understand the assembly of the gut microbiota, its interaction with the immune system and emphasises on the importance of longitudinal studies and personalised approach in order to understand the pathogenesis and the role of the microbiota in intestinal diseases in dogs.

**Declaration**

This is to certify that:

- I. The thesis comprises only my original work towards the degree of doctorate of philosophy except where indicated in the preface.
- II. Due acknowledgement has been made in the text to all other material used.
- III. The thesis is fewer than 100,000 words in length, exclusive of tables, bibliographies and appendices.

Lina María Martínez-López

## Preface

All experimental work, data analysis and writing carried out for this PhD was undertaken by Lina María Martínez-López aside from the following cases. Sample collection and clinical management of the cases was carried out by Dr. Caroline Mansfield, Dr. Nathalee Prakash and Dr. Julien Dandrieaux. Histopathology was performed by the Pathology Department from The Faculty of Veterinary and Agricultural Sciences of The University of Melbourne.

Flow cytometry analysis and cell sorting of bacteria was carried out in collaboration with Dr. Elizabeth Ann Washington and Dr. Alexis Gonzalez. Bioinformatics analysis was done in collaboration with Dr. Alexandra Schulze from the Walter & Eliza Hall Institute, Melbourne, Australia. Sequencing of samples was done in collaboration with Stephen Wilcox from the Walter & Eliza Hall Institute, Melbourne, Australia.

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316 **Abbreviations**

317

Acetyl-CoA: Acetyl-coenzyme A  
ACTH: Adrenocorticotrophic hormone  
ADH: Vasopresin  
AhR: Aryl hydrocarbon receptor  
AID: Activation-induction cytidine deaminase  
AIEC: Adherent and invasive *E coli*  
ALT: Alanine Aminotransferase  
AMP: Antimicrobial peptide  
AP-1: Activating protein 1  
APCs: Antigen-presenting cells  
APRIL: Apoptosis-inducing ligand  
ARE: Antibiotic- responsive enteropathy  
ATG16L1: Autophagy-related protein 16-1  
BAFF-B: B cell-activating factor of the TNF family (BAFF)  
BCMA: B cell maturation antigen  
BSA: Bovine Serum Albumin  
BSG: British Society of Gastroenterology  
C-ALP: corticosteroid- alkaline phosphatase.  
CCECAI: Canine chronic enteropathy clinical activity index  
CCL: Chemokines chemokine (C-C motif) ligands  
CCL16: Chemokine ligand 16  
CCL2: Chemokine ligand 2  
CCL20: Chemokine ligand 20  
CCL24: Chemokine ligand 24  
CCR1: Chemokine receptors type 1  
CCR3: Chemokine receptors type 3  
CCR4: C-C chemokine receptor type 4  
CCR5: Chemokine receptor type 5

CCR6: C-C Motif Chemokine Receptor 6  
CCR9: Chemokine receptor type-9  
CD: Chron's disease  
CD40L: CD40 ligand  
cDNA: Complementary DNA  
CE: Chronic enteropathy  
CINC-1: Cytokine-induced neutrophil chemoattractant-1  
CL17: Chemokine 17  
CMTs: Chemically modified tetracyclines  
cpn60: Chaperonin 60  
CRS: IgA class-switch recombination  
CSF1: Colony stimulating factor 1  
CXCL: Chemokine (C-X-C motif) ligand  
CXCL10: C-X-C motif chemokine 10  
CXCL12: CXC-chemokine ligand 12  
DCs: Dendritic cells  
DRE: Diet – responsive enteropathy (also termed food-responsive enteropathy FRE)  
dsRNA: Double-stranded RNA  
DSS: Dextran sodium sulfate  
ECCO: European Crohn's and colitis organisation  
EoE: Eosinophilic esophagitis  
ER: Endoplasmic reticulum  
ES: excretory-secretory products  
ESPGHAN: ECCO-European Society for Paediatric Gastroenterology Hepatology and Nutrition  
FACS: Fluorescence-activated cell sorting  
FFAR2: Free fatty acid receptor 2 (also called GPR43)  
FFAR3: Free fatty acid receptor 3 (also called GPR41)  
FISH: Fluorescence *in situ* hybridization  
FSC: Forward scatter  
FSH: Follicle-stimulating hormone  
GALT: Gut associated lymphoid tissue

GATA-3: Transcription factor GATA-3  
GC: Germinal centres  
GCs: Glucocorticoids  
GIT: Gastrointestinal tract  
GRs: Glucocorticoid receptor  
H<sub>2</sub>S: Hydrogen sulphide  
HATs: Histone acetyltransferases  
HDAC: Histone deacetylase  
HFGP: Human Functional Genomics Project  
HMP: Human Microbiome Project  
IBD: Inflammatory bowel disease  
ICAM-1: Intercellular adhesion molecule-1  
IEC: Intestinal epithelial cells (also termed enterocytes)  
IFN- $\gamma$ : Interferon-gamma  
IgA: Immunoglobulin A  
IgA-SEQ: IgA 16S rRNA sequencing  
IHC: Immunohistochemistry  
IKK- $\beta$ : Kinase subunit beta  
IL-10: Interleukin-10  
IL-13: Interleukin-13  
IL-17: Interleukin-17  
IL-17A: Interleukin-17A  
IL-1b: Interleukin-1b  
IL-1 $\beta$ : Interleukin-1 beta  
IL-22: Interleukin-22  
IL-23: Interleukin-23  
IL-25: Interleukin-25  
IL-33: Interleukin-33  
IL-4: Interleukin-4  
IL-5: Interleukin-5  
IL-6: Interleukin-6



ILC3: Type 3 innate lymphoid cells  
ILFs: isolated lymphoid follicles  
iNOS: Inducible nitric oxide synthase  
IRE1 $\alpha$ : Inositol-requiring enzyme 1 $\alpha$   
I $\kappa$ B $\alpha$ : Inhibitor kappa B alpha  
JAK: Janus kinase (1 and 2)  
IfTSLP: Long isoform or variant 1 TSLP  
LH: Luteinizing hormone  
LL-TSLP: *Lactococcus lactis* strain producing TSLP  
LL-WT: *Lactococcus lactis* wild type  
LPS: Lipopolysaccharide  
MACS: Magnetic-activated cell sorting  
MAMPS: Microbe-associated molecular patterns  
MCP-1: Monocyte chemotactic protein-1  
MHC I: Major histocompatibility complex type I  
MHC II: Major histocompatibility complex type II  
MLCK: Myosin light chain kinase  
MMPs: Matrix metallo proteinases  
MNLs: Mesenteric lymph nodes  
moDCs: Monocyte derived dendritic cells  
MPO: Myeloperoxidase  
Muc2: Mucin 2 gene  
MUC-2: Mucin-2  
MAFF: Mucus Associated Functional Factor  
nFcR: MHC-class-I-like Fc $\gamma$  receptor  
NF- $\kappa$ B: Nuclear factor kappa B  
NO: Nitric oxide  
NOD: Nuclear organization domain  
OTU: operational taxonomic unit  
OX40L: OX40 ligand  
PAMPs: Pathogen-associated molecular patterns

PBMCs: Peripheral blood mononuclear cells

PBS: Phosphate buffered saline

PCoA: Principal coordinate analysis

PD: Phylogenetic diversity

PERK: Protein kinase R (PKR)-like endoplasmic reticulum kinase

PGE<sub>2</sub>: Prostaglandin E<sub>2</sub>

PI3K: Phosphoinositide 3-kinase

PICRUST: Phylogenetic Investigation of Communities by Reconstruction of Unobserved States

PIgR: Polymeric immunoglobulin receptors

PPs: Peyer Patches

PRRs: Pattern recognition receptors

PSA: Polyssacharide A

PUL: polysaccharide utilization loci

qPCR-MSP: Quantitative real-time methylation-specific PCR

RPMI: Roswell Park Memorial Institute medium

rRNA: Ribosomal ribonucleic acid

RXR- $\alpha$ : Retinoid X receptors alpha

RXR- $\beta$ : Retinoid X receptors beta

RXR- $\gamma$ : Retinoid X receptors gamma

SAA: Serum amyloid A

SCFAs: Short-chain fatty acids

sfTSLP: Short isoform or variant 2

SHM: Somatic hypermutation

slgA: Secretory IgA

siRNA: Small interfering RNA

SLPI: Secretory leukocyte peptidase inhibitor

SLPR: TSLP receptor chain

SRE: Steroid-responsive enteropathy

SSC: Forward scatter

SSU: Small subunit

STAT: Signal transducer and activator of transcription (1, 3, 4 and 5)

T3: Triiodothyronine  
T4: Thyroxine  
T6SSs: Type VI secretion systems  
TACI: Transmembrane activator and calcium-modulating cyclophilin-ligand interactor  
TCR: T-cell receptor  
TD: T-cell dependent response  
TFF-3: Trefoil factor 3  
T<sub>fh</sub>: T follicular helper cells  
TGF  $\beta$ : Transforming growth factor beta  
TGF- $\beta$ : Transforming growth factor beta  
TGF- $\beta$ : Transforming growth factor beta  
T<sub>h</sub>: T helper cells  
T<sub>H1</sub>: lymphocyte T helper 1  
T<sub>H17</sub>: T helper 17 cells  
T<sub>H2</sub>: lymphocyte T helper 2  
T<sub>H2</sub>: T helper 2 cells  
TI: T-cell independent response  
TIMP-1: Tissue inhibitor of metallo proteinase-1  
TLR-2: Toll-like receptor 2  
TLR-3: Toll-like receptor 3  
TLR-8: Toll-like receptor 8  
TLR-9: Toll-like receptor 9  
TLRs: Toll-like receptors  
TNBS: Trinitrobenzene sulfonic acid  
TNF: Tumour necrosis factor  
TNF- $\alpha$ : Tumour necrosis factor alpha  
T<sub>reg</sub>: T lymphocyte regulatory cells  
TSH: Thyroid-stimulating hormone  
TSLP: Thymic stromal lymphopoietin  
TSLPR KO: TSLPR<sup>-/-</sup> knockout mice  
TSLPR: Thymic stromal lymphopoietin receptor

UC: Ulcerative colitis

VDR: Vitamin D receptor

WGS: Whole-genome shotgun

## Chapter 1: Literature Review

### 1.1. Intestinal microbiota

The collection of bacteria, archaea and eukaryote present in the gastrointestinal tract is termed the 'gut microbiota'. The gut microbiota profoundly influences mammalian biology and can elicit beneficial and harmful effects on the host (Hooper & Macpherson, 2010). The gastrointestinal microbes perform multiple functions that are vital for the host through different host-microbiota interaction pathways and production of myriad of metabolites that help the host to maintain a homeostatic state. Thus, the gut microbiota must be tightly regulated, as its alteration can drive or exacerbate disease not only in the gastrointestinal tract but also systemically.

#### 1.1.1 Function

The gut microbiota is composed of several organisms that form a complex network to exert a wide variety of functions; they provide instructive signals for several aspects of intestinal development including epithelial cell maturation, angiogenesis, lymphocyte development and play a crucial part in the digestion of food (Backhed, Ley, Sonnenburg, Peterson, & Gordon, 2005) (Nicholson *et al.*, 2012).

The microbiota is also crucial for shaping the gastrointestinal immune system, and intimately interacts with all the arms of the system. Either directly or through the production of a myriad of metabolites; microbes can upregulate or downregulate the immune response, and thus; influence intestinal inflammation. In this review, I will emphasize in the immune functions of the gut microbiota and how it can prevent or induce inflammation and intestinal tissue damage.

##### 1.1.1.1 Gut microbiota and its interaction with the immune system

The gut microbiota co-develops with the host immune system from birth and a complete interaction is required to maintain homeostasis, mucosal barrier function and functional maturation of the immune system (Kau *et al.*, 2015). Intestinal homeostasis is achieved by the gut immune system exhibiting a state of hypo-responsiveness against commensals, and active readiness against pathogens (Gutzeit, Magri, & Cerutti, 2014). The intestinal microbiota and its associated genome influence the metabolic environment of the intestine, and the activity of both the innate and adaptive immune system (Round & Mazmanian, 2009).

Thus, depending on the genera, microbiota can decrease, promote or perpetuate the inflammatory response in the intestine.

#### 1.1.1.1.1 Development of the immune system

The first months and years of life constitute crucial moments that can influence health later in life (Cox et al., 2014), particularly because microbial colonisation is responsible for educating the immune system and induce tolerance; factors that are disrupted in several diseases (Picchianti-Diamanti, Rosado, & D'Amelio, 2017). Studies in mice have demonstrated that events in early microbiome development may have a role in promoting susceptibility to or protection from disease later in life. For example, epidemiological and experimental studies suggest that foetal microbial contact may be casually related to increased risk of disease. The maternal microbial environment and antibiotic exposure during pregnancy are both reportedly associated with a risk of developing asthma later in childhood (Loewen, Monchka, Mahmud, t Jong, & Azad, 2018). Also, it has been reported that children born by caesarean-section have a higher risk for atopic diseases such as allergic rhinitis, asthma and coeliac disease (Bager, Wohlfahrt, & Westergaard, 2008).

After birth, microbiota drives the development of the immune system, including the induction of oral tolerance, maturation of secondary lymphoid organs, and strengthening of the barrier function through the enhancement of the expression of antimicrobial factors by intestinal epithelial cells (IECs). Both gastrointestinal and systemic immune responses are absent or markedly reduced in the absence of commensal microorganisms. Previous studies have shown that dogs raised in germ-free conditions have underdeveloped lymphoid systems and decreased immunoglobulin concentrations. Histologically, the intestine of germ-free dogs is characterized by thinner villi and a reduction in both the lamina propria and mucosal surface area (Cohn & Heneghan, 1991).

Early responses to microbial ligands such as lipopolysaccharide, the endotoxin found in the outer membrane of gram-negative bacterial walls, condition gut epithelial cells to become hypo-responsive to subsequent Toll-like receptor (TLR ) stimulation, helping to promote oral tolerance; an effect that has long-term consequences for host capacity to develop inflammatory diseases such as inflammatory bowel disease (IBD) (Lotz et al., 2006).

Locally the microbiome exerts different functions based on their location. For example, in the small intestine the gut microbiome regulates enterocyte proliferation in the crypts whereas at the tips of the villi the microbiota regulates the expression of genes involved in metabolic and immune functions. Throughout the whole intestine, goblet cell differentiation and production of the protective mucosal mucus layer are stimulated by commensal organisms (Jandhyala *et al.*, 2015), (Cohn & Heneghan, 1991).

Recently, Gomez de Agüero *et al* (2016) using a mouse model demonstrated that the gut microbiota not only influences intestinal immune system development directly but also indirectly, through transfer of bacterial metabolites via mother's milk. In their experiment, they colonised germ-free pregnant mothers (from days 4 to 15 days of gestation) with an auxotrophic mutant of *Escherichia coli* that has a deletion of three genes required for synthesis of peptidoglycan, a component of the cell wall. Colonisation with these bacteria generally results in transient and reversible colonisation whereby no bacteria are detectable in the gut 72 hours after administration. Compared to mice born from germ-free mothers, the litters were characterised by a 10-fold more increase of type 3 innate lymphoid cells (ILC3), higher intestinal monocytes belonging to the CD11c<sup>+</sup>, F4/80<sup>+</sup> subset and higher expression of antimicrobial factors such as the Reg3 family of C-type lectins and defensin-related proteins. This is despite the mice being born germ-free themselves. They found that bacterial metabolites such as kynurenine (a tryptophan metabolite), act as ligands for the aryl hydrocarbon receptor (AhR), which is an important developmental regulator of the immune system. Thus, bacteria present in the mother's intestine contribute to innate maturation of the neonatal gut even in the absence of intestinal colonisation (Gomez de Agüero *et al.*, 2016) (Rakoff-Nahoum, 2016).

#### 1.1.1.2 Colonisation resistance

Another very important role of the gut microbiota for intestinal health is known as colonisation resistance. This protective mechanism represses the growth of harmful microorganisms by commensal bacteria. Direct inhibition occur via several pathways including competition for oxygen, nutrients and mucosal adhesion sites, dampening of virulence-related gene expression and production of metabolites (peroxidases, proteases and bacteriocins) that create a physiologically restrictive environment for non-resident bacterial species (Buffie & Pamer, 2013). For example, the bacterium *Bacillus thuringiensis* isolated from the human faeces produces the bacteriocin called thuricin CD, which has potent antimicrobial

activity against *Clostridium difficile* as well as *Listeria monocytogenes* but not on other components of the intestinal microbiota (Rea *et al.*, 2010).

Various Gram-negative bacteria commonly express type VI secretion systems (T6SSs). This system mediates contact-dependent killing by translocating toxic effector proteins into their targets; for example, *Vibrio cholerae* targets *E. coli* for T6SS-dependent killing (Basler, Pilhofer, Henderson, Jensen, & Mekalanos, 2012).

Indirect inhibition occurs by enhancing host immunity in the intestine. As described above and in subsequent chapters, the gut microbiota stimulate the development and function of the innate and adaptive immune system that help fight pathogenic microorganisms (Buffie & Pamer, 2013).

### 1.1.1.3 Pro versus anti-inflammatory signalling

The competition between induction of productive systemic immunity, with the potential for inflammation and damage to host tissue, or a tolerogenic response seems to be largely determined by the microbial effect on antigen presenting cells and naïve T cells of gut-associated lymphoid tissue. In the absence of signals triggered by microbe-associated molecular patterns, conditioned antigen-presenting cells might induce various subsets of T<sub>reg</sub> cells, which produce IL-10 and transforming growth factor beta (TGF  $\beta$ ) and thus, suppress effector lymphocytes T-helper T<sub>H1</sub>, T<sub>H2</sub> and T<sub>H17</sub> responses, as well as innate immunoinflammatory responses. This phenomenon is frequently called “bystander immune repression” (Manichanh, Borrue, Casellas, & Guarner, 2012).

Several studies have elucidated the effect of specific bacteria on the regulation of the inflammatory response. For example, *Bacteroides* and *Clostridium* induce the expansion of lymphocyte regulatory T cells (T<sub>reg</sub>) cells; *Bifidobacterium*, *Lactobacillus* and *Faecalobacterium* genera induce the down-regulation of inflammatory cytokines and stimulate the production of interleukin-10 (IL-10) (Kostic, Xavier, & Gevers, 2014) and the commensal bacterial *Bacteroides fragilis* protects animals from experimental colitis induced by *Helicobacter hepaticus*, through the expression of the microbial molecule polysaccharide A (PSA) which induces the production of IL-10 (Mazmanian, Round, & Kasper, 2008).



On the other hand, pathogenic microorganisms can cause direct damage to the intestinal mucosa and indirectly through the production of certain metabolites, thereby inducing an inflammatory response. For example, anaerobic bacteria produce nitrate and nitrite that can repress the growth of beneficial bacteria (Spiro, 2007). Intestinal bacteria also produce large amounts of hydrogen sulphide (H<sub>2</sub>S), which is capable of suppressing mitochondrial metabolism in epithelial cells (Szabo *et al.*, 2014). Commensal bacteria can also act as opportunistic pathogens under certain conditions. For example, *Bacteroides fragilis*, a prominent gram-negative member of the microbiota that closely associates with mucosal surfaces and opportunistically invades intestinal tissues (Belkaid & Hand, 2014)

Schirmer *et al* (2016), investigated the relationship between inter-individual variation in gut microbial community composition and the inflammatory cytokine response to microbial stimulation in the Human Functional Genomics Project (HFGP). Stool samples were collected from 500 healthy individuals of Western-European genetic background, and metagenomics performed to assess microbial taxonomic and functional profiles. Concurrently, blood samples were taken and peripheral blood mononuclear cells (PBMCs) and whole blood were stimulated under five different microbial stimulations to assess cytokine response. Three stimulations were bacteria-derived (purified *E. coli*-derived lipopolysaccharide [LPS] and *B.fragilis* representing Gram-negative bacteria, and *Staphylococcus aureus* representing Gram-positive bacteria) and two were fungal-derived (*Candida albicans* hyphae and conidia yeast). The cytokine response to the stimulations was compared to the unstimulated state (Roswell Park Memorial Institute medium (RPMI)) and three monocyte-derived cytokines (IL-1 $\beta$ , TNF $\alpha$ , IL-6) and three lymphocyte-derived cytokines (IFN $\gamma$ , IL-17, IL-22) were measured at different times to capture peak stimulation (Schirmer *et al.*, 2016). The first cytokine response was stimulus-specific, the second one stimulus-independent and microbial faecal composition-specific and the third response was stimulus and gut microbial-dependent.

In contrast to the unstimulated cells that only exhibited a small degree of variation in cytokine levels, a significant inter-individual variation in cytokine responses and gut microbial profiles under stimulation conditions was observed, with the strongest changes induced by *C. albicans*. This response was compatible with the third pattern of interaction, suggesting that inter-individual variation in cytokine response is linked to specific microbial organisms present in the intestine. A large proportion of the metabolites in the blood originate from the gut and they modulate inflammatory cytokine capacity by the

host immune cells that could potentially impact disease susceptibility (Schirmer *et al.*, 2016). Thus, gut microbiota not only affect the immune response locally but systemically.

#### 1.1.1.4 Production of metabolites

Gut microbiota exert direct effects on the immune system or indirectly through the production of metabolites. Short-chain fatty acids (SCFAs) and products of the metabolism of bile acids are among the most studied metabolites.

##### 1.1.1.4.1 Short-chain fatty acids: synthesis and function

Microbes in the intestine produce enzymes that help the host to digest complex carbohydrates from the diet, which otherwise would be not absorbed; and ferment endogenous products such as sloughed epithelial cells and mucus (Flint, Scott, Duncan, Louis, & Forano, 2012). The primary end-products of this digestion process are short-chain fatty acids (SCFAs), namely acetate, propionate and butyrate that constitute 60, 25 and 10% of the total volatile fatty acids respectively in canine faecal samples (Sunvold, Hussein, Fahey, Merchen, & Reinhart, 1995). They exert local and systemic effects.

Locally, in the intestine, SCFAs serve as energy sources for gut epithelial cells, strengthen epithelial cell barriers, modulate intraluminal pH, regulate intestinal motility and have immunomodulatory properties through expansion of T regulatory (T<sub>reg</sub>) cells, modulation of cytokine production and inhibition of neutrophil migration (Wang, Wang, Wang, Wan, & Liu, 2012) (Mathewson *et al.*, 2016). Butyrate has also been proposed to enhance the intestinal barrier function and for exerting anti-cancer properties (Donohoe *et al.*, 2014).

Systemically, SCFAs play a dual role both as substrates for metabolism and as signalling molecules that regulate the immune system and influence gene expression (den Besten *et al.*, 2013).

Once produced, SCFAs are metabolised by the liver (approximately 70% of acetate and 30% of propionate), and then serve the host as a source of energy. In adult dogs, SCFAs provide an estimated 5 to 7% of metabolic energy.

In addition, SCFAs act as ligands of the G protein-coupled receptors free fatty acid receptor 2 (FFAR2 also called GPR43) and free fatty acid receptor 3 (FFAR3 also called GPR41), and influence lipid and glucose metabolism (Samuel *et al.*, 2008) (Y. Xiong *et al.*, 2004). Acetate can also serve as a substrate for cholesterol, long-chain fatty acid, and glutamine and glutamate synthesis in the liver. The remainder of acetate is metabolised by other tissues, including adipose tissue (den Besten *et al.*, 2013).

A recent study showed that SCFAs can alter host histone acetylation and methylation in multiple tissues, and mediate global epigenetic programming. Histone-modifying enzymes control chromatin configuration, making it accessible or non-accessible to factors necessary for gene transcription, replication, recombination and DNA repair. In general, acetylation of histones is associated with active chromatin, whereas methylation is associated with repression. On one hand, SCFAs can be either directly converted (acetate) or oxidized (propionate and butyrate) to acetyl-coenzyme A (acetyl-CoA) (Duncan, Barcenilla, Stewart, Pryde, & Flint, 2002), which is a necessary substrate for histone acetyltransferases (HATs), that are in charge of increasing the acetylation of histones. But, on the other hand, butyrate can also act as a histone deacetylase (HDAC) inhibitor.

#### 1.1.1.4.2 Bile Acid metabolism

Gut bacteria are also important contributors to bile acid metabolism. Normally, over 95% of the conjugated bile acids (cholic and chenodeoxycholic acid) are retained in the enterohepatic circulation, and those that reach the colon are deconjugated by intestinal bacteria to produce secondary bile acids (lithocolic, deoxycholic and ursodeoxycholic acid). It has been shown that lithocholic and deoxycholic acids can elicit proinflammatory responses through the production of reactive oxygen and nitrogen species, and through nuclear factor kappa B (NF- $\kappa$ B) activation in IECs. As ursodeoxycholic acid has immunomodulatory properties, the ratio of secondary bile acids produced could have an impact in the development and progression of intestinal disease (Sears & Garrett, 2014).

#### 1.1.2 Development of the gut microbiota

The first step in understanding the symbiotic relationship between gut microbes and their host consist in the characterisation of the baseline healthy microbiota and how the microbiota evolves and is established.

Defining what is considered a healthy gut microbiota is crucial to understand what would be the biological significance of the different patterns of microbial colonisation associated with disease.

Marked age-associated changes in the gut microbiota occur throughout the life of an individual and are driven by several ecological factors including selective pressure, rates of colonisation and rates of extinction (Griffin et al., 2017). During the first years of life, studies in people have demonstrated that gut microbiota possesses low diversity and stability but as the host grows, phylogenetic diversity increases and reaches its maximum complexity and stability in adulthood (Vaishampayan *et al.*, 2010) (Palmer, Bik, DiGiulio, Relman, & Brown, 2007).

Both internal and external factors contribute to the development and maintenance of the core intestinal microbiota and influence inter-individual microbial variability (Marques *et al.*, 2010). On one hand, the gastrointestinal tract possesses unique physiological and anatomical characteristics (pH, motility, mucus layer, etc.) that make the core microbiota specific for each intestinal region (McConnell, Basit, & Murdan, 2008). The small intestine only possesses a thin mucus layer, however as it has high levels of acids, oxygen and antimicrobial substances together with a short transit time, the growth of bacteria is limited and only the rapid growing, facultative anaerobes with the ability to adhere to intestinal layer are capable to survive. In contrast, the colon possesses a double mucus layer and environmental conditions that support a dense and diverse community of bacteria. In general, total bacterial counts, species richness and diversity increase along the gastrointestinal tract (Donaldson, Lee, & Mazmanian, 2016) (Mentula *et al.*, 2005).

On the other hand, host factors such as genetic background, age, sex, environmental exposure and diet confer unique characteristics in the gut microbiota of every individual, despite the uniform properties at anatomical level (Fragiadakis et al., 2018).

### 1.1.2.1 Development of the gut microbiota in infants

It is well known that proper development and structure of the immune system is crucial not only during the first stages of life but also in adulthood. Thus, a complete understanding of microbial assembly is the first step not only to determine what is considered normal and healthy for a species, but for also determining

strategies for manipulation of the gut microbiota and to guide the formation of health-promoting microbiota (Koenig *et al.*, 2011).

#### 1.1.2.1.1 Pre-natal stage

Previously, microbial gut colonisation was thought to start immediately after birth as the uterine microenvironment was considered sterile. However recent studies have detected the presence of bacterial DNA in the placenta and the amniotic fluid, suggesting that assembly of the gut microbiota could start prenatally (Aagaard *et al.*, 2014).

Aagaard *et al.* (2014) collected placental specimens under sterile conditions from 320 mothers for comparative 16S ribosomal DNA-based and whole-genome shotgun (WGS) metagenomic studies. The group consisted of full-term births as well as pre-term births (< 35 weeks gestation). The study found that the placenta harbors a low-abundance but metabolically rich microbiome. The placental microbiome is largely composed of non-pathogenic commensal microbiota from the Firmicutes, Tenericutes, Proteobacteria, Bacteroidetes, and Fusobacteria phyla. Pre-term birth placenta specimens were enriched with taxa such as *Burkholderia*; while, term placental specimens were enriched with taxa of genera *Paenibacillus*. In general, placental microbiome was significantly associated with the interval week of delivery, whereas the week of gestation was closely associated with a specific pattern of microorganisms (Aagaard *et al.*, 2014).

Furthering this concept, Stout *et al.* (2013) performed histological analysis of 195 placenta specimens and demonstrated gross morphologic evidence of Gram-positive and Gram-negative intracellular bacteria at the level of the basal plate (which comprises the tissue layer directly at and below the maternal-foetal interface). Intracellular bacteria were found in the placental basal plates of 54% spontaneous preterm deliveries <28 weeks, and in 26% of term spontaneous deliveries, with and without clinical or histologic evidence of chorioamnionitis (Stout *et al.*, 2013).

Collado *et al.* (2016) collected maternal faeces, placenta, amniotic fluid, colostrum, meconium and infant faeces from 13 mothers and their full-term babies delivered by elective C-section. Through different methods such as conventional bacterial culture, 16S rRNA gene pyrosequencing, quantitative PCR, and denaturing gradient gel electrophoresis, microbial composition was assessed. Interestingly, bacterial DNA

was detected in the placenta and amniotic fluid; both sites were characterised by low richness, low diversity and the predominance of Proteobacteria, particularly species belonging to Enterobacteriaceae family such as *Enterobacter* and *Escherichia/Shigella*. These genera were also present in colostrum, meconium and infant faeces but in lower abundance. *Propionibacterium* was the second most predominant genus present in the amniotic fluid and placenta, and was also detectable in meconium. Other genera present in placenta and amniotic fluid, although in lower abundance compared to other sites, were *Streptococcus*, *Staphylococcus* and *Lactobacillus* (Collado, Rautava, Aakko, Isolauri, & Salminen, 2016).

Although the presence of bacterial DNA doesn't mean that they are viable and/or exerting an influence in that environment, Onderdonk *et al* (2008) reported viable microbes of the genera *Staphylococci* and *Propionobacteria* in the placenta parenchyma and amniotic fluid during the second trimester of pregnancy (Onderdonk *et al.*, 2008). The origin of this prenatal microbiota is not known. In their study of placental microbiome, Aagaard *et al* (2014) integrated the placental microbiome taxonomy profile with those present in other body site niches as reported by the HMP (Human Microbiome Project) such as oral, stool, skin, airway (nasal), and vaginal sites, then calculated the Bray-Curtis distance at phylum-level abundance to reflect the similarity among body sites. The placental microbiota show similarity to the oral phyla but not from potential anatomic contaminants during delivery of the placenta (stool and vagina), derived from both gravid and no gravid subjects (Bray-Curtis index <0.3 oral and placental microbiota), suggesting an oral origin (Aagaard *et al.*, 2014).

However, studies in pregnant mice using labelled bacteria introduced in the intestine have shown that these bacteria can be detected in the placenta (Jimenez *et al.*, 2005). Moreover, another study also found increased intestinal bacterial translocation during pregnancy and lactation, and that intestinal microbes can be transported to breast and milk through circulating immune cells; suggesting that the origin of intrauterine and milk bacteria may come from the maternal gut (Perez *et al.*, 2007).

Regarding the origin of the meconium microbiota, animal models have recovered specific bacteria introduced to the gut of pregnant animals in the meconium after sterile caesarean-section and it has been suggested that it comes from the amniotic fluid, which is constantly being swallowed by the foetus (Ardissone *et al.*, 2014).

Controversy about the presence of microorganisms in the placenta remains. A study by Lauder *et al.* (2016) quantified total 16S rRNA gene copies using quantitative PCR and found that placental samples and negative controls contained low and indistinguishable bacterial copy numbers. Oral and vaginal swab samples, in contrast showed high copy numbers; 16S rRNA gene sequencing found no separation between communities from placental samples and contamination controls (Lauder *et al.*, 2016).

Despite this latter study, overall it appears as if intestinal colonisation begins *in utero*, and that a transfer of microbes from mother to baby could serve as the initial inoculum of bacteria in the neonatal intestine. However, the significance of the presence of microbes during foetal life in microbial colonisation and how they could impact further development of the intestinal community is still unclear. Some studies have suggested that exposure to microbes during pregnancy may influence the metabolic and immunologic profiles of the pregnant uterus and, hence, the risk of disease developing in the offspring later in life, a theory termed foetal programming hypothesis (Collado *et al.*, 2016) (Abrahamsson, Wu, & Jenmalm, 2015).

#### 1.1.2.1.2 Post-natal stage

In the first few hours of life, vaginal and faecal microbes present in the birth canal are the most important sources of inoculum in vaginally-delivered babies. In babies delivered by C-section, the skin and the environment constitute the main source of bacteria (Dominguez-Bello *et al.*, 2010). Afterwards, diet plays a predominant role in shaping the microbiome, and cessation of breast-feeding and introduction of solid food are the key determinants that drive the maturation of the gut microbiota into an adult-like phenotype (Backhed *et al.*, 2015).

##### 1.1.2.1.2.1 Initial colonisation of the gut microbiota

Early studies in infants found that facultative anaerobic bacteria including *Staphylococcus* spp, *Streptococcus* spp, *E coli* and Enterobacteria are the first colonisers of the gut (Palmer *et al.*, 2007). It is thought that the main purpose of the first wave of bacterial colonisation is to consume oxygen and create a more suitable environment for obligate anaerobes. By one-two weeks of age, faeces are mainly dominated by anaerobes of the genera *Bifidobacteria*, *Bacteroides*, *Clostridia* and *Eubacteria* (Mshvildadze & Neu, 2010) (Collado, Cernada, Bauerl, Vento, & Perez-Martinez, 2012).

However, another study found that almost from the beginning, the initial gut microbiota of newborns is dominated by strict anaerobes and not facultative, suggesting that the anaerobic environment is quickly established and gut bacterial colonisation is dictated by highly competitive and specialised gut anaerobic bacteria (Koren *et al.*, 2012). Thus, although the predominance of anaerobic bacteria is rapidly established, the speed could be influenced by other factors during the prenatal (maternal microbiota) and postnatal period (environment).

#### 1.1.2.1.2.2 Diversity and functional dynamics of the infant gut microbiota

The earlier stages of infant gut microbiota development are characterised by high levels of inter-individual variability and a very uneven distribution of taxa. As infant development progresses, microbial assemblages converge towards an adult-like composition with an increased  $\alpha$ -diversity but reduced  $\beta$ -diversity; indicating a more complex and less heterogeneous community (Backhed *et al.*, 2015).

Palmer *et al.* (2007), performed a time-series analysis using small subunit (SSU) rDNA microarray and SSU rDNA clone library sequencing in 14 healthy, full-term human infants; and collected stool samples, immediately after birth and during defined intervals throughout the first year of life. They also collected vaginal (n=11) and milk samples (n=16), and stool samples from all the mothers (n=13), most of the fathers (n=9), and two siblings (n=2). This study found high interpersonal variation in microbial diversity and in functional gene content, large fluctuations in the abundances of the major bacterial taxonomic groups (except for fraternal twins) and in the pattern of evolution (acquisition, maintenance and disappearance of species) with abrupt shifts intercalated with intervals of relative stability, with no cause established in most of the cases.

Organisms of the genera *Bacteroides* varied greatly from baby to baby in the timing of their first appearance, but were consistently present by one year of age. Prior to 6 months of age, stool samples tended to cluster by baby, however by one year of age they were more like that of adults but not significantly more like that of their parents than to that of other adults. It was also found that some early samples showed high similarity in bacterial composition to breast milk or vaginal swabs, and that twins



were like each other but not to their parents, emphasizing the importance of the environment (Palmer *et al.*, 2007).

Along those lines, a recent study performed in Spain collected faecal samples from 13 infants throughout the first year of life and from the mothers before and one-year after childbirth to characterise phylogenetic composition and gene repertoire of gut microbiota via metagenomics. The researchers evaluated the correlation of the taxonomic composition with the functional development, and how similar the trends were across individuals. The study determined that microbial development is divided into two distinct phases, firstly a decrease in taxonomic richness due to the loss of rare taxa, and then an increase in the number of core genera (Valles *et al.*, 2014).

Another interesting finding of this study was that although one stage of microbial assemblage did not determine the assemblage of the next one, microbial development followed a similar trend over time toward a more adult-like microbiota, particularly toward mother's microbiota that was not yet completed by one year-age (opposite results compared to the previous study). Results also indicated that the taxonomic composition of the microbiota shapes its functional capacities, and therefore the observed inter-individual variability in taxonomic composition during succession is not fully compensated by functional equivalence amongst bacterial genera. Network analyses suggested that positive interactions among core genera during community assembly could contribute to ensure their permanence within the gut (Valles *et al.*, 2014).

Furthermore, metagenomics analysis of 12 faecal samples identified that the earliest microbiome was enriched in genes facilitating lactate utilisation, and that functional genes involved in plant polysaccharide metabolism were present before the introduction of solid food, suggesting that gut microbiota is ready in advance for processing simple derived plant carbohydrates. In accordance with this, introduction of rice cereal did not cause a change in the microbial profile. However, the introduction of more complex plant derived foods drove the expansion of the genera *Bacteroidetes*, an increase in the production of SCFAs and an enrichment of genes associated with carbohydrate utilisation, vitamin biosynthesis, and xenobiotic degradation (Koenig *et al.*, 2011). Collado *et al.*, 2016 also demonstrated that at a functional level, genes in charge of membrane transport, carbohydrate metabolism, amino acid metabolism, replication and repair, energy metabolism were the most predominant pathways in the newborn (Collado *et al.*, 2016).

Kostic *et al* (2015) examined the gut microbiome of a cohort of 33 infants genetically predisposed to Type 1 diabetes at both functional and taxonomic level. This study corroborated the findings that age is the main determinant of taxonomic diversity, whereas metabolic diversity does not exhibit an age trend, suggesting that all metabolic pathways are present from birth. They also observed shared taxonomic trajectories that were consistent across individuals that indicate a general change in abundance and occur at similar time frames. For example, the abundance of Lachnospiraceae and Ruminococcaceae were positively correlated but were inversely correlated with the Enterobacteriaceae and Bifidobacteriaceae; Thus, whereas the latter ones decrease after breastfeeding cessation, the former ones increase. It was also determined that the strain composition within an individual remains essentially constant throughout infancy for almost all individuals (Kostic *et al.*, 2015).

#### 1.1.2.1.2.3 Factors that influence gut colonisation

Several factors influence initial colonisation and early establishment of the infant gut such as maternal microbiota, gestational age, delivery mode, feeding patterns, sanitary conditions and antibiotic administration.

- Maternal microbiota during pregnancy

Significant differences in maternal microbiome between the perinatal period and one year after childbirth have been found (Mor & Cardenas, 2010) (Newbern & Freemark, 2011). Maternal faecal microbiota during pregnancy exhibits higher taxonomic richness but lower functional diversity, indicating redundancy; and exhibits substantial inter-individual variation compared to postnatal samples (Koren *et al.*, 2012). The intestinal microbiota shifts between the first and third trimester of pregnancy and follows a unidirectional shift characterised by increase of species such *Faecalibacterium prausnitzii* that facilitates the production of T<sub>reg</sub> cells. Then, in the third trimester, there is another shift in the gut community structure and every mother acquires her own individual microbiota. However, this period is characterised by an increase in certain taxa such as Enterobacteriaceae, Enterococci, and Streptococci; organisms that dominate in the early days of the infant's life (Koren *et al.*, 2012).

During late pregnancy, immune, hormonal, physiologic and metabolic changes not only influence maternal gut microbiota but also induce a low grade inflammatory response in the gastrointestinal tract, which could facilitate the transport of gut bacteria to the uterus and foetal gastrointestinal system via systemic circulation (Mor & Cardenas, 2010). In humanised germ-free mice, the microbiota in the third semester induces more intestinal inflammation and increases energy storage and promotes hyperglycaemia, which could be useful for energy transfer. After delivery, the maternal gut microbiota diversity increases again, especially with organisms of the *taxa Clostridia*, *Bifidobacteria* and *Bacteroides*, that will be present in the child as well. Interestingly, the gut microbiota of the baby resembles the gut microbiota present in the first trimester of pregnancy (Koren et al., 2012).

- Gestational Age

Gestational age has a profound role in determining the gut microbiota in newborn infants, especially those prematurely born, who are hospitalised and therefore separated from their mothers for long periods immediately after birth (La Rosa *et al.*, 2014). They are at higher risk of developing disease (especially necrotizing enterocolitis), possess increased occurrence of potential pathogens and have higher rates of drug treatment. The intestinal microbiota of pre-term infants is characterised by high inter-individual variability and reduced microbial diversity, and the environment becomes the main source of colonising bacteria. One study has found that *Leptotrichia* spp and other related bacterial species are detected in the amniotic fluid of women in pre-term labor with a strong dose-dependent relationship between bacterial abundance in the amniotic fluid and gestational age at delivery (DiGiulio, 2012).

- Delivery mode

Dominguez-Bello *et al* (2010) characterised the microbiota from nine mothers and their newborn babies, four born vaginally and six born via C-section (male dizygotic twins). The mothers' skin (ventral forearms), oral mucosa, and vagina were sampled 1 hour before delivery, and their babies' skin (before removing the vernix caseosa), oral mucosa, and nasopharyngeal aspirate were sampled <5 min, and meconium <24 h, after delivery. The variable region 2 (V2) of the bacterial 16S rRNA gene was PCR-amplified and multiplexed 16S rRNA gene pyrosequencing was performed (Dominguez-Bello *et al.*, 2010).

In contrast to their mothers, who harboured bacterial communities distinctive to body location, the newborns had bacterial communities that were undifferentiated across multiple body sites, regardless of delivery mode. This suggests that in its earliest stage of community development, the human microbiota is homogeneously distributed across the body. Moreover, vaginally delivered infants acquired bacterial communities resembling their own mother's vaginal microbiota, dominated by *Lactobacillus*, *Prevotella*, or *Sneathia* spp., and C-section infants harboured bacterial communities like those found on the skin surface, dominated by *Staphylococcus*, *Corynebacterium*, and *Propionibacterium* spp. (72% of vaginally-delivered infants' gut microbiota resembles that of their mothers' faecal microbiota, compared to only 41% of C-section babies). This study showed that the primary determinant of a newborn's bacterial community composition was his or her mode of delivery (Dominguez-Bello *et al.*, 2010).

Recently, the same group of researchers could partially replenish the microbiota of babies born by C-section and make it more like the microbiota of those born vaginally through the exposure to maternal vaginal fluids at birth (vaginal seed). Similarly, in vaginally delivered babies the gut, oral and skin bacterial communities during the first 30 d of life was enriched in vaginal bacteria, which were underrepresented in unexposed C-section-delivered infants (Dominguez-Bello *et al.*, 2016).

C-section influences the development of the immune system and succession of the gut microbiota in several ways: on one hand, if labor is lacking, the hormonal and immune responses activated at this period, will not occur, affecting the immune environment of the neonate. Intra-uterine concentrations of inflammatory cytokines are elevated during labor, and are thought to be responsible for the activation of the fetal immune system during delivery. On the other hand, the lack of exposure to the vaginal and gut microbiotas from the mother, will influence the type and diversity of bacteria that in turn, differentially will affect the colonization of other bacteria (Francino, 2018).

Bäckhed *et al.* (2015) studied the functional characteristics of the gut microbiota in 98 full-term Swedish infants and their mothers through metagenomics shotgun sequencing on faecal samples during the first year of life. They found that the mode of delivery strongly affected microbiome species in neonates. Compared with vaginally born infants, the faecal microbiome of C-section infants was enriched in MetaOTUs such as *Enterobacter hormaechei*/E. *cancerogenus*, *Haemophilus parainfluenzae*/H. *aegyptius*/H. *influenzae*/H. *haemolyticus*, *Staphylococcus saprophyticus*/S.

*lugdunensis*/*S. aureus*, *Streptococcus australis* and *Veillonella dispar*/*V. parvula*, indicating that skin and oral microbes, bacteria from the surrounding environment during delivery, were the first colonisers in these infants. In contrast, the gut microbiota of vaginally delivered newborns were enriched in microbes from the genera *Bacteroides*, *Bifidobacterium*, *Parabacteroides*, *Escherichia/Shigella* ( $p < 0.05$ ).

However, differences in time of sampling could be a factor that contributes to these differences. Newborns with *Escherichia/Shigella* as the most abundant genus were sampled earlier than those dominated by *Bacteroides* or *Bifidobacterium*. *Escherichia* DNA is highly abundant in the meconium and the placenta, but its amount decreases rapidly over time. Thus, by the time the babies dominated with *Bacteroides/Bifidobacterium* were sampled, the abundance of *Escherichia/Shigella* could have decreased.

Although the differences in microbiome between C-section and vaginally delivered babies lessen in the first year, the gut microbiota of C-section born infants remained more heterogeneous compared to vaginally delivered infants (Backhed *et al.*, 2015).

- Antibiotic treatment

Studies have shown that antibiotic treatment to the mother at birth influenced the pace of microbial colonisation, but not the pattern of maturation (Cox *et al.*, 2014).

Koenig *et al* (2010) followed an infant for 2.5 years, to investigate how life events impact the assembly of the gut microbiota. The infant was a full-term, vaginally delivered healthy male. A total of 60 faecal samples were collected along with a detailed record regarding diet, health status and general activities. The infant suffered from several ear infections for which he was treated with antibiotics, but was otherwise healthy. The child received three antibiotic treatments during the study period. Two out of three treatments caused major shifts in the gut microbiota composition. However, the second treatment with amoxicillin did not affect the microbial pattern, which could indicate adaption of the gut microbiota to repetitive exposure (Koenig *et al.*, 2011).

Bäckhed *et al.* (2015), assessed the pool of antibiotic resistance genes, known as the resistome; and found that newborns already have a big pool of genes involved in resistance against bacitracin, tetracycline and

macrolides, possibly a consequence of the relative high abundance of Proteobacteria (Backhed *et al.*, 2015)

- Diet

Overall, dietary and environmental changes constitute major drivers of gut microbiota maturation (Koenig *et al.*, 2011).

Mammary glands and milk contain live bacteria, mainly *Bifidobacterium* spp. and *Lactobacillus* spp. that could be a source of intestinal colonisation after birth. It is believed that milk is the main source of *Bifidobacteria*. The most common *Bifidobacteria* present in infant faeces are *B. longum*, *B. infantis* and *B. breve* (Roger, Costabile, Holland, Hoyles, & McCartney, 2010).

*Bifidobacteria* are important anaerobes for general health. It has been shown that reduced numbers of *Bifidobacteria* or aberrant species of *Bifidobacteria* have been associated with later development of immunological and inflammatory disorders and even obesity (Kalliomaki, Collado, Salminen, & Isolauri, 2008). Also, many strains of *Bifidobacterium* group are considered probiotics and they have been associated with reduced incidence of serious postnatal complications in pre-term infants such as necrotising enterocolitis (Aceti *et al.*, 2015; Grzeskowiak *et al.*, 2015).

Breast feeding also provides several bioactive substances such as oligosaccharides, lactoferrin and lysozymes that inhibit the growth of many bacteria, and block the attachment of these microorganisms to the intestinal mucosa. Lactoferrin also stimulates cytokine production, natural killer cell and macrophage activity (Frese & Mills, 2015). Milk is also rich in glycans and drives the enrichment of specific functional pathways needed to consume these glycans in breast-fed infants (Pacheco, Barile, Underwood, & Mills, 2015). Thus, milk acts not only as a prebiotic (providing nutrients and growth factors), but also as a probiotic (providing microbes and modulate their population by regulating the immune system and host-microbe interactions) (Pacheco *et al.*, 2015).

During the breastfeeding period, starts the colonization with Actinobacteria and Firmicutes [32]; actinobacteria are represented mainly by *Bifidobacterium* (*B.*), *B. breve*, *B. longum*, *B. dentium*, *B. infantis*,

*B. Pseudocatenulatum* and Firmicutes by *Lactobacillus*, *Enterococcus* and *Clostridia* (Harmsen et al., 2000).

In line with the concept of milk as a synbiotic, studies have shown that exclusively breastfed infants exhibit significant differences in gut microbiota compared with formula-fed infants. Breast-fed babies have a higher amount of *Bifidobacterium* and other microbes such as *Staphylococcus*, *Streptococcus*, *Corynebacterium*, *Lactobacillus*, *Micrococcus* and *Propionibacterium* that originates from the nipple, milk ducts and the surrounding skin (Martin et al., 2007). In contrast, the microbiome of formula-fed infants is characterised by the predominance of facultative anaerobes such as *Bacteroides* and *Clostridium* followed by *Staphylococcus*, *Streptococcus* and *Enterobacteriaceae* (Roger et al., 2010). Although *Bifidobacterium* is also present, its colonisation is delayed. In general, the gut microbiota of formula-fed infants is more complex and like that of adults. Therefore, the changes in microbiota composition during weaning are more drastic in breast-fed than in formula-fed infants.

Likewise, Backed et al found that formula-fed infants had higher populations of *Clostridium*, *Franulicatella*, *Citrobacter*, *Enterobacter*, and *Bilophila* relative to breast-fed infants and, functionally, these infants hosted higher proportions of antibiotic resistance genes, especially from  $\gamma$ -*Proteobacteria* (Backhed et al., 2015). The source of these bacteria is also unknown but some studies have suggested gut, skin and oral origin. The transfer of gut bacteria could be through a route called entero-mammary pathway via the mucosa-associated lymphoid system, via endocytosis due to increase of gut permeability and the influence of the enteric nerve system that affects the sampling of bacteria in the gut and their transfer to Peyer's patches (Rodriguez, 2014).

After weaning and introduction of solid foods, there is a significant diet-related shift in the gut microbiome profiles. Weaning promotes higher levels of Bacteroidetes and Firmicutes as well as shifting to functional genes characteristic of an adult microbiome. From 18 to 36 months, the infant gut microbiome undergoes its final significant shift, attributed to the continued influence of a varied solid food diet and greater environmental exposure. By the age of 2-3 years, the intestinal microbiota reaches a stable population like that of an adult. The earlier that solid food is introduced into the diet, the more quickly the gut microbiome begins to resemble to an adult microbiome. However maternal illness, milk and diet quality can cause

malnutrition that is associated with severe dysbiosis and persistent gut microbial immaturity which remains refractory to treatment (Palmer *et al.*, 2007).

- Other environmental factors

Other environmental factors such as geographic location, number of family members (Strachan, 1989) and the presence and contact with animals (Penders *et al.*, 2006) (Dicksved *et al.*, 2007) influence the assembly and composition of gut microbiota. For example, children living in developing countries exhibit a different profile compared to children that are born in developed-countries (Grzeskowiak *et al.*, 2012). Sanitary conditions as well as higher exposure to infectious agents, parasites, different dietary diets and in some instances, inadequate nutrition may contribute to this (Kau *et al.*, 2015).

In Europe, northern infants show higher levels of *Bifidobacteria*, *Atopobium*, *C. perfringens*, *C. difficile* while Southern infants presented higher proportion of *Bacteroides*, *Eubacteria* and *Lactobacillus* (Fallani *et al.*, 2010). Higher counts of lactobacilli, eubacteria and enterococci have been described in Estonian infants while Swedish infants showed high numbers of clostridia and bacteroides (Sepp *et al.*, 1997). It has also been reported that *Bifidobacteria* genus, *Bacteroides-Prevotella* and *Cl. histolyticum* levels were higher in Malawi infants than Finnish infants at age of 6 months (Grzeskowiak *et al.*, 2012).

Although one study found that infants living on a farm had a low diversity and a pronounced dominance of some *Clostridium* and *Eubacterium* species (Dicksved *et al.*, 2007), another study found more diversity in children living with pets (Song *et al.*, 2013) (Gupta, 2017). Further complicating the potential factors, one study found that single children tend to have lower counts of bifidobacteria in the gut at one month of age, non-*E. coli*, enterobacteria and clostridia, and a lower ratio of anaerobic to facultative bacteria by one year of age compared to children living with siblings (Penders *et al.*, 2006).

It is worth to highlighting the fact that studies have been done using different methodologies, with different environmental conditions and levels of exposure that could cause discordance in the results among studies. Also, there are many confounding factors that can have an influence in the results. For example, mothers consuming a high-fat diet during pregnancy are more likely to deliver via c-section but the diet itself can also affect the gut microbiota; and babies that are born via c-section, spend more time at hospital



which can predispose them to higher exposure to hospital microbiota (Francavilla, Cristofori, Tripaldi, & Indrio, 2018).

### 1.1.2.2 Microbiota development in puppies

In dogs, few studies have characterised the development of the gut microbiome. In general, it has been found that every segment of the gastrointestinal tract harbours its unique type of bacteria, that diversity increases over time and that diet constitute one of the key determinants in the assembly of the intestinal microbiota.

However, it is well known that the developing gut microbiota changes continuously as the individual is exposed to more environmental challenges and thus; key aspects of the microbial development could have been missed by long intervals of sampling.

Buddington *et al.* (2003) described postnatal changes in ninety-five puppies representing 15 litters and the 15 dams of those litters. Populations of bacteria in the stomach, small intestine, and colon were evaluated via cell culture of mucosal and luminal samples obtained from 6 groups of dogs determined by big changes in diet parameters (unsuckled neonates within 1 hour after birth [n =14], 1-day-old neonates after initial suckling [n=20], puppies at 21 days of age during suckling [n =19], puppies at 42 days of age during feeding of a weaning diet in combination with suckling [n =21], puppies at 63 days of age that were 1 week after weaning [n =21], and adult females (Buddington, 2003). Four specific groups of bacteria were studied: *Clostridium* spp., *Lactobacillus* spp., *Bacteroides* spp. and enteric bacteria.

This study found that the intestine of neonatal dogs is rapidly colonised, apparently by bacteria in the birth canal and the surrounding environment. By 24 hours after birth, numbers of bacteria in the various regions of the GIT were comparable to those of the adult female Beagles. Moreover, weaning was the most important factor associated with large-scale changes in bacteria of the GIT of dogs. In the stomach, the numbers of anaerobic bacteria were significantly higher at day 1, compared with values at day 21. In the small intestine, the highest numbers of aerobic and anaerobic bacteria in luminal contents of the small intestine were detected at day 1. Thereafter, values were significantly lower and did not vary among older puppies and adult dogs. The number of clostridia in the lumen of the small intestine was highest at days 1

and 21, with the lowest values at day 42. A significant effect of age was not detected for the number of *Lactobacilli*. The number of *Bacteroides* increased significantly between days 1 and 63, but values for adult dogs were lower and not different from those of puppies at day 1. The most noticeable changes in the bacterial populations in the stomach and small intestine were detected between days 1 and 21, a period when there are increases in secretion of pepsin from the stomach and digestive enzymes from the pancreas of dogs (Buddington, 2003).

In the proximal colon, the numbers of luminal anaerobic and aerobic bacteria did not change between day 1 and 21. Although numbers of anaerobic and aerobic bacteria decreased after day 21, the rate and magnitude of the decrease were greater for the aerobic bacteria. In the distal colon, no significant effects were observed in the total number of bacteria, but there was a decrease over time of aerobic bacteria. In general, significant effects of age were detected for each of the 4 specific groups of bacteria studied. In the colon, numbers of enteric bacteria and clostridia decreased but not until after day 21, whereas the numbers of *Lactobacilli* increased > 100-fold between days 1 and 21, with the highest numbers at day 63. Numbers of *Bacteroides* increased approximately 1,000-fold between days 1 and 21 with no additional changes thereafter (Buddington, 2003). This study relied on culture methodology, and therefore may have missed many bacterial families. In addition, the sample intervals may have missed shifts or changes in the bacterial composition.

Suchodolski *et al*, (2013), using 16S rRNA gene 454-pyrosequencing and quantitative PCR assays, followed and characterised the gut microbiota of twelve colony-housed research puppies from three different litters. Faecal samples were collected from each puppy at five different time points during periods of nursing (N; < 2 days, 5–7 days, and 19–21 days after birth), weaning (W; 30–35 days after birth), and post-weaning (PW; 9 to 13 weeks after birth). Within 2 days of birth, all puppies had established a complex microbiome, which was not significantly different in the abundance of total bacteria ( $p = 0.196$ ), but differed in composition compared to later time points. In general, aerobic and facultative anaerobic bacteria (e.g., Proteobacteria) were most abundant during the N period, while obligate anaerobic bacteria were more abundant in the PW period. *Escherichia* spp. were significantly increased in the N period compared to PW (median % of sequences; N = 20.0%; PW = 0.4%;  $p < 0.001$ ). Bacteroidia (N = 0.5%; PW = 12.8%;  $p = 0.024$ ) and Firmicutes (N = 44.7%; PW = 70.8%;  $p = 0.002$ ) were significantly more abundant in the PW

period. Important genera such as *Faecalibacterium*, *Lactobacillus*, and *Bifidobacterium*, were also significantly increased during PW compared to N ( $p < 0.001$  for all) (Suchodolski JS, 2013).

Finally, Guard *et al.* (2017) collected faeces from 30 puppies of ten different breeds at 2, 21, 42, and 56 days after birth and from mothers ( $n=16$ ) at a single time point within 24 hours after parturition. DNA was extracted and 454-pyrosequencing was performed. The study found that 5 out of 8 phyla, 12 out of 15 classes, 18 out of 23 orders, 27 out of 52 families, 48 out of 109 genera, and 56 out of 137 species were significantly different between time points. At the phylum level, the percent of sequences belonging to Firmicutes dominated the faecal microbiota at 2 days of age, and Bacteroidetes increased over time, reaching approximately 37% of all sequences. Fusobacteria and Proteobacteria were other prominent members of the faecal microbiota comprising approximately 16% and 11%, respectively, of sequences in all time points (Guard *et al.*, 2017).

*Clostridium* (belonging to the phylum Firmicutes) accounted for almost 10% of sequences identified in puppies at day 2 compared to approximately 1% of sequences identified at each time point thereafter. Other members of the family Clostridiaceae were also found to be prominent at day 2 and then decreased over time. Species richness increased significantly over time, and by 56 days of age, the faecal microbiota was dominated by Bacteroidetes, Firmicutes, Fusobacteria, and Proteobacteria.

The dams harboured Bacteroidetes, Firmicutes, Fusobacteria, Actinobacteria, and Proteobacteria. The microbial communities belonging to dams clustered separately from that of puppies at any given time point, with a significant difference in microbial communities detected between large and small breed puppies at day 42; this is likely due to diet, as small breeds were still consuming milk at that time. Significant shifts were observed in microbial communities belonging to puppies during pre-weaning development (Guard *et al.*, 2017).

Inferred metagenomic analysis performed using Phylogenetic Investigation of Communities by Reconstruction of Unobserved States (PICRUSt) identified an increased presence of genes belonging to cellular motility, cellular processes, and ABC transporters at 2 days of age compared to day 56 in puppies. These genes are related to cellular components that stimulate the development of the innate immune system and development of the gut epithelium integrity. In puppies of 56 day-old, there is an increase in the

genes related to metabolism that have been shown to be beneficial for the generation and maintenance of the immune system (Guard *et al.*, 2017).

### 1.1.3 Gut microbiota in adulthood

The gut microbiota reaches its maximum complexity and phylogenetic diversity during adulthood, and it also reaches maximal stability, a phenomenon termed resilience.

#### 1.1.3.1 Gut microbiota in adult people

The same ecological factors that govern the gut microbiota in infant, govern the microbial patterns in adults. Selective pressures such as disease, geographical location, drugs and diet composition can alter the microbiome structure. For example, subjects sampled in the USA display reduced alpha-diversity and greater beta-diversity compared to subjects from Papua New Guinea (Martinez *et al.*, 2015). Highly variable selection pressures, particularly diet, may play major roles in geographical diversity. Western diets are associated with lower taxonomic and functional diversity, which may be partially restored by dietary interventions involving calorie restriction and addition of more vegetables into diet (Albenberg & Wu, 2014).

Combined data from the MetaHit and the Human Microbiome Project have provided the most comprehensive view of the human-associated microbial repertoire to date. Initially, the analysis of 33 samples from different nationalities suggested the presence of three enterotypes according to the most prevalent genera: enterotype 1 dominated by *Bacteroides*, enterotype 2 dominated by *Prevotella* and enterotype 3 dominated by *Ruminococcus* (Arumugam *et al.*, 2011). However, several studies have shown that rather than discrete enterotypes, there are continuous gradients of dominant taxa, whereby an individual's enterotype can be highly variable and continuously change over time (Koren *et al.*, 2013).

An extensive catalogue of the functional capacity of the human gut microbiome was recently obtained, where 9,879,896 genes were identified through a combination of 249 newly sequenced and 1018 published samples (J. Li *et al.*, 2014). The study identified the presence of country-specific microbial signatures, suggesting that gut microbiota composition is shaped by environmental factors, such as diet, and possibly also by host genetics (J. Li *et al.*, 2014) (Thursby & Juge, 2017). Additionally, studies in people have shown

that gut microbiota varies from individual to individual, over time and even between mucosa, luminal contents and faeces (Parthasarathy *et al.*, 2016).

Recent experiments in mice colonised with a diverse specific pathogen-free microbiota showed that bacterial species present in the lumen of the large intestine differed from the ones found in the mucus layer. The colon is composed of a double-layer of mucus that mainly contains a glycoprotein termed mucin secreted by the goblet cells. The inner layer is considered nearly sterile, whereas the outer mucus layer forms a unique microbial niche of different communities including bacteria without specialised mucolytic capability (H. Li *et al.*, 2015). In contrast, the species present in the intestinal lumen, have highly available resources of iron and epithelial-derived carbon that facilitate the growth of certain bacteria. The abundance of Bacteroidetes appears to be higher in faecal/luminal samples than in the mucosa, whilst Firmicutes, specifically *Clostridium* cluster XIVa, are enriched in the mucus layer (Van den Abbeele *et al.*, 2013). Importantly, however, the microbiota of different colorectal mucosal regions within the same individual is spatially conserved in terms of both composition and diversity, even during periods of localised inflammation (Eckburg *et al.*, 2005) (Lavelle *et al.*, 2015).

#### 1.1.3.1.1 Stability of the human adult gut microbiome

Costello *et al* (2009) sampled different body sites in healthy adults on four occasions, two months apart and two days in a row each month. The V2 region of the 16S rRNA gene was amplified and pyrosequencing was performed. They observed that gut community structure was highly variable among people, but exhibited minimal variability within an individual over time (Costello *et al.*, 2009).

Furthermore, the human microbiome project consortium (2012) collected samples of 131 individuals twice (mean 219 sd. 69 days after first sampling, range 35–404 days) to evaluate within-subject stability of the microbiome. 16S profiling revealed that within-subject variation over time was consistently lower than between-subject variation (2012).

A study by Faith *et al.* (2013) followed changes in the microbiome of 37 adults over 5 years; 33 of these subjects were sampled 2–13 times up to 296 weeks apart. The remaining 4 individuals were sampled on average every 16 days for up to 32 weeks while consuming a liquid diet as part of a controlled in-patient

weight-loss study. Amplicons of the V1V2 region of the 16S rRNA gene were amplified using an Illumina HiSeq2000 instrument. Using the Jaccard index, each individual's microbiota at a given time point was most like their own at other time points (Jaccard Index  $0.82 \pm 0.022$ ), followed by their family members (Jaccard Index  $0.38 \pm 0.020$ ), and then unrelated individuals (Jaccard Index  $0.30 \pm 0.005$ ). Moreover, more strains were shared between closer time intervals than with long intervals. On average, any two unrelated individuals share ~30% of strains in their microbiota. However, it is possible that unrelated individuals on average share no strains in their microbiota and this 30% represents the lower resolving limit of 16S rRNA amplicon sequencing. At an individual level, ~60-70 % of the present bacterial strains remained unchanged over the course of the study and the most stable members of the microbiome tended to be the most abundant. At a phyla level, Bacteroidetes and Actinobacteria populations were less susceptible to perturbations whereas Firmicutes and Proteobacteria were significantly less stable (Faith *et al.*, 2013).

Another study repeatedly analysed the faecal microbial composition of five unrelated and healthy subjects over a period of 8 to 12 years using the human intestinal tract chip (HITChip), a comprehensive phylogenetic microarray that facilitates profiling and semi-quantitative analysis of over 1000 representative intestinal phylotypes. The results revealed that although the microbiota preserved subject-specific patterns over time; the similarity of the microbiota profile declined over time. Samples were hierarchically clustered and resulted in subject-specific grouping of samples and it seems that each person harbours a subject-specific core. This core consists of genera that include: *Allistipes*, *Bifidobacterium*, *Bacteroides*, *Faecalibacterium*, *Blautia*, *Dorea* and *Ruminococcus*. Interestingly, the use of antibiotics, change of diet and travelling had a limited impact on bacterial composition. There was more fluctuation in the number of bacteria at the phylum-level than at the genus-level (Rajilic-Stojanovic, Heilig, Tims, Zoetendal, & de Vos, 2012).

Data from Rajilic-Stojanovic *et al.* suggests that larger fluctuations occur between samples taken at longer intervals while Faith *et al.* report the opposite trend, with larger fluctuations occurring in samples taken over shorter periods of time compared to those that are temporally farther apart. In fact, they found that weight stability of an individual was a better predictor of the faecal microbiome stability than time between sample collections (Faith *et al.*, 2013) (Rajilic-Stojanovic *et al.*, 2012). Further complicating interpretation of microbiome shifts, a study performed in two healthy people, sampled daily for 15 months and 6 months

respectively, showed that there are permanent fluctuations in the composition of the faecal microbiota over time (Caporaso *et al.*, 2011).

In the study made by Caporaso *et al.*, the variable region 4 (V4) of 16S rRNA gene was amplified by PCR and subjected to multiplex sequencing on an Illumina Genome Analyzer IIx. They found a pronounced variability in an individual's microbiota across months, weeks and even days. Additionally, although many OTUs remained for an extended period, only a small fraction of the total taxa were present across all time points. This suggests that no core temporal microbiome exists at high abundance, and that bacteria are persistent but not permanent community members (Caporaso *et al.*, 2011).

In general, it seems that the proportion of incidental colonisers seems larger if only two samples per subject are compared, than if all analysed samples are considered. Therefore, the assessment of similarity between only two samples from a subject underestimates the component of subject-specific microbiota, since some of the core microbes may be below the detection limit of an applied technology (Rajilic-Stojanovic *et al.*, 2012). Fortunately, even though there are great fluctuations in the proportional representation of microbial lineages, the gene content tends to remain stable, and can give a better estimation of the metabolic functions of the microbiota at each time point. Bacterial functional redundancy provides an evolutionary advantage, as the loss of one species doesn't impact the function of the gut microbiota. Thus, community structure is better described in terms of functional diversity rather than taxonomic diversity alone (Weinstock, 2011).

#### 1.1.3.1.2 Gut microbiota in the elderly

As people age, the stability and diversity of their gut microbiota declines with the state of their health. Aging is associated with physiological changes in the gastrointestinal tract, as well as changes in dietary pattern and immune function. The imbalance between pro-inflammatory and anti-inflammatory molecules results in a low-grade chronic systemic inflammation known as "inflammaging". The main changes in the immune system during aging are reduced humoral responses, decreases in dendritic cell (DC) efficiency to activate T and B cells populations, decline in the generation of naïve T and B cells and natural killer activity. Additionally, aging is characterised by a persistent activation of innate immune mediated by NF- $\kappa$ B (Claesson *et al.*, 2011).

1164

1165 The main age-related intestinal microbiome changes are a reduction in species diversity and less  
 1166 resistance to environmental challenges. However, if elderly adults maintain their health status, the microbial  
 1167 composition often retains the stability and compositional diversity as a healthy younger adult, although with  
 1168 some unusual phylum proportions (Biagi, Candela, Fairweather-Tait, Franceschi, & Brigidi, 2012).

1169

1170 The ELDERMET consortium was established in 2007 to investigate the role of the intestinal microbiota in  
 1171 elderly Irish subjects as an agent and indicator of health. They reported the data for the microbiota  
 1172 composition of 161 elderly subjects, 26 of which were also sampled 3 months later. Amplification of the V4  
 1173 region of the 16S rRNA gene and analysis of  $\beta$ -Diversity using Unifrac analysis could clearly separate all  
 1174 samples by subject; and fine-detail analysis of genus confirmed individual-specific microbiota  
 1175 configurations. Temporally paired samples from elderly subjects were also more similar than randomly  
 1176 compared samples from different subjects. The core microbiota of elderly subjects exhibited a greater  
 1177 proportion of *Bacteroides* spp. compared to younger adults. In 68% of the individuals, the microbiota was  
 1178 dominated by phylum Bacteroides, whilst the phylum Firmicutes had an average proportion of 40%.  
 1179 Additionally, the *Clostridium* cluster IV was prevalent in elderly people, in contrast with younger subjects  
 1180 where cluster XIVa is more prevalent (Claesson *et al.*, 2011). This has been suggested to be implicated in  
 1181 nutrition and increased susceptibility to inflammatory diseases or it could reflect the body changes  
 1182 associated with aging.

1183

1184 Biagi *et al.* (2010) investigated the age-related differences in the gut microbiota composition among young  
 1185 adults (20–40 years old), elderly (60–80 years old) and centenarians using the Human Intestinal Tract Chip  
 1186 (HITChip) and quantitative PCR of 16S rRNA gene. Overall structure of the gut microbiota was very similar  
 1187 between young and elderly adults, with Bacteroidetes and Firmicutes being the most dominant phyla,  
 1188 contributing for approximately 95% of the total gut microbiota. In centenarians, although these two phyla  
 1189 are still dominant; there is enrichment with Proteobacteria. The centenarian microbiota also exhibited  
 1190 group-specific differences such as an increase in the abundance of facultative anaerobes (e.g. *Escherichia*  
 1191 *coli*) and rearrangement of the profile of butyrate producers. These included *Ruminococcus obeum et rel.*,  
 1192 *Roseburia intestinalis et rel.*, *E. ventriosum et rel.*, *E. rectale et rel.*, *E. hallii et rel.* (all  
 1193 belonging *Clostridium* cluster XIVa), and *Papillibacter cinnamovorans et rel.*, and *F. prausnitzii et*  
 1194 *rel.* (*Clostridium* cluster IV). Conversely, the butyrate producers *Anaerotruncus colihominis et*



*rel. (Clostridium cluster IV), and Eubacterium limosum et rel. (Clostridium cluster XV) increased in centenarians (Biagi et al., 2010). These changes have been implicated in an increase in the inflammatory status as the proportion of pathobionts increase and the butyrate production decreases (Biagi et al., 2010).*

Aging is associated with changes in physiology and lifestyle. Teeth loss and altered taste and smell can affect the dietary habits, with a decrease in the consumption of fibre-rich foods; also the intestinal motility is diminished and the intestinal permeability is increased. All these factors, can influence gut microbiota composition (Biagi et al., 2017).

To summarise, the intestinal microbiota of individuals is composed of subject-specific species, and the relative proportions between the microbiota constituents change because of the ecosystem adaptation to different environmental factors. As much as a dysbiosis can cause disease, a healthy microbial community is also vital to maintain optimal wellness. Thus, it is essential to understand the factors that shape and alter the microbiome throughout the lifespan of an individual.

#### 1.1.4 Gut Microbiota in adult dogs

In dogs, studies have been made mostly at a single time point. In these studies, the major individual differences have been found on a bacterial species and strain level between individual animals (Ley et al., 2008). These studies also have shown that each dog harbors a very unique and individual microbial profile (J. S. Suchodolski, Ruaux, Steiner, Fetz, & Williams, 2004), that different intestinal compartments of individual dogs appear to host different bacterial populations and vary among dogs (J. S. Suchodolski, Ruaux, Steiner, Fetz, & Williams, 2005); and that there is also variation between the intestinal lumen and the mucosa (Mentula et al., 2005).

Initial studies were performed using cultivation techniques. However, recent advances in DNA technology have allowed a better characterisation of the predominant bacterial flora in dogs. These molecular technologies such as 16S high-throughput sequencing studies have shown that the phyla Firmicutes, Bacteroidetes, Proteobacteria, Fusobacteria and Actinobacteria constitute more than 99% of all gut microbiota in dogs and cats. The remaining bacterial groups are represented by the phyla Spirochaetes, Tenericutes, Verrucomicrobia, Cyanobacteria, Chloroflexi and a few unclassified bacterial lineages. In the

stomach, mucosa-adherent *Helicobacter* spp. predominates, followed by *Lactobacillus* spp., *Streptococcus* spp. and *Clostridium* spp. The proximal small intestine contains approximately 10 different phyla, with *Clostridium* spp., *Proteobacteria* spp. and *Lactobacillae* spp. being the most abundant. The phyla that predominate in the large intestine are Firmicutes, Bacteroides and Fusobacteria. However, the reported abundance of these bacterial groups differs between studies likely due to differences in sample collection methodology, diet, breed, age, DNA extraction techniques and analytical technology (J. S. Suchodolski, 2011) (J. S. Suchodolski, Camacho, & Steiner, 2008) (Swanson *et al.*, 2011) (Handl, Dowd, Garcia-Mazcorro, Steiner, & Suchodolski, 2011).

Analysis of the metagenome of the canine intestinal microbiome has identified that the predominant bacterial gene categories in the canine gut are related to carbohydrate metabolism (12–13% of all sequences), protein and amino acid metabolism (8–9 and 7%, respectively), cell wall synthesis (7–8%), vitamin and cofactor synthesis (6%), and nucleic acid synthesis (7%); like that found in human beings. Genes for virulence and antibiotic resistance are also common features of human, dog, and cat microbial gene pools (Swanson *et al.*, 2011).

One study evaluated the short-term variability of gut microbiota in healthy dogs. Two faecal samples (15 days apart) from six privately owned healthy dogs were collected. The microbiota was evaluated using fluorescence *in situ* hybridization (FISH) and 454-pyrosequencing. Aliquots of 100mg from each faecal sample were obtained and paraffin-embedded faecal blocks were prepared. Two serial sections of 5 µm were coated and placed on coated glass slides and FISH was performed. Pyrosequencing identified that 15 families comprised > 80% of all microbiota, and over time intra-individual coefficients of variation (CV) ranged from 2 to 141% (median 55%). Ruminococcaceae had the lowest variability among individuals. In contrast, the inter-individual CV ranged from 62 to 230% (median 145%). The reason for these results is likely because individual dogs had differing genetics, environment and diet, but within dogs these did not alter during the 15 day period of faecal sample collection (Garcia-Mazcorro, Dowd, Poulsen, Steiner, & Suchodolski, 2012).

The dynamics, richness and evenness of the gut microbiota has not been explored at different age stages in dogs, and this would be useful to better understand the significance of the changes that can occur during disease.

### 1.1.5 Gut virome and bacteriophages

Another aspect that it is being investigated and is increasing in importance is the population of viruses (bacteriophages) that influence the bacterial population structure. The phageome (total bacteriophage community) constitute the largest and less characterised part of the virome (total virus population); and they colonise the intestine from the beginning of life and influence gut ecology throughout all stages of life. Although the phageome exhibits a high degree of interpersonal variation, in people, it has been classified in three classes: a set of core bacteriophages shared among more than half of the human population, a common set of bacteriophages found in 20%–50% of individuals, and a set of bacteriophages that are unique to an individual (Manrique *et al.*, 2016).

In the healthy gut, most of the phages have a lysogenic lifestyle. In the lysogenic cycle, the viral DNA or RNA enters the cell and integrates into the host DNA as a new set of genes called prophage. These prophages serve as a major repository of mobile genetic elements in the gut and play key roles in the exchange of genetic material between bacterial species via horizontal gene transfer (HGT) (Manrique *et al.*, 2016).

In infants, one study studied the development of the gut virome from 1 week to 3 months of age, and showed that the viral diversity was extremely low and dynamic. Direct epifluorescence microscopy in meconium samples did not detect any phage particle. However, by the end of the first week, there were  $\sim 10^8$  virus particles per gram wet weight of faeces; the majority of which were phages (Breitbart *et al.*, 2008). Analysis of these sequences revealed that most of the sequences were not similar to previously reported sequences. During the second week, there was significant turnover of the sequences present and most communities were dominated by siphophages and prophages (which are the main particles also present in adults).

Although the initial source of viruses in the infant human gut is unknown, Breitbart *et al.* found that twenty-five percent of phage-like sequences were more like phages that infect lactic acid bacteria, including *Lactobacillus*, *Lactococcus*, *Streptococcus*, and *Enterococcus*, which are known to be abundant in breast milk (although they could not be identified in milk).

1290

1291 Recently, another study followed eight healthy infants (four twin pairs) for two years. Metagenomic  
 1292 sequencing found that during the first days of life, the diversity of the phage community increases rapidly  
 1293 (1-4 days post-birth) but at the end of the first week, the initial high diversity of bacteriophages disappears.  
 1294 The microbial abundance at the beginning is low and it is believed that it cannot support the high virome  
 1295 diversity, leading to a collapse of the phage diversity (Lotka-Volterra dynamics). The researchers also  
 1296 found that most of the bacteriophages belonged to the Caudovirales order (Siphoviridae, Inoviridae,  
 1297 Myoviridae and Podoviridae families) and Microviridae family, consistent with the previous study. However,  
 1298 there was a marked shift in the community composition toward an increased relative abundance of  
 1299 Microviridae bacteriophages by 24 months of age (Lim *et al.*, 2015)

1300

1301 In dogs, one study performed by Moreno *et al.*, described the faecal virome of healthy dogs and dogs with  
 1302 acute diarrhoea in Australia, using shotgun metagenomics. Twelve viral families were identified, of which  
 1303 four were bacteriophages. Eight eukaryotic viral families were detected: Astroviridae, Coronaviridae,  
 1304 Reoviridae, Picornaviridae, Caliciviridae, Parvoviridae, Adenoviridae and Papillomaviridae. Families  
 1305 Astroviridae, Picornaviridae and Caliciviridae were found only in dogs with acute diarrhoea, with  
 1306 Astroviridae being the most common family identified in this group (Moreno *et al.*, 2017).

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## 1308 1.2 Canine Chronic enteropathies

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1310

1311 Canine chronic enteropathies (CE) constitute a group of disorders that cause gastrointestinal tract  
 1312 inflammation and persistent or recurrent gastrointestinal signs in dogs, and are also termed inflammatory  
 1313 bowel disease (IBD) (K. W. Simpson & Jergens, 2011). The pathogenesis of IBD is thought to involve a  
 1314 dysregulation of the mucosal immune response to enteric microorganisms in genetically predisposed  
 1315 individuals, which induces the activation of the inflammatory cascade causing direct and indirect intestinal  
 1316 mucosal damage (Elinav *et al.*, 2011) (Hooper & Macpherson, 2010).

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### 1318 1.2.1 Aetiology

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1320

1321 The triad of host genetics-immune system-environment (dietary antigens and gastrointestinal flora) are  
 1322 intimately related in the development of gastrointestinal disease. Alteration in any of these components can

potentially deregulate the function of the other ones, predisposing the host to the development of disease (Manichanh *et al.*, 2012).

### 1.2.1.1 Genetics

Individual genetic makeup dictates how the immune system reacts to antigen exposure. Genetic alterations can lead to a dysfunctional immune reactivity against commensal luminal antigens leading to aberrant cell signalling, activation and cytokine production by lymphoid and myeloid cells and intestinal inflammation of the enteric mucosa.

In the case of canine chronic enteropathies, it has been found that are breed differences in susceptibility to the disease and some forms are exclusive to certain breeds. For example, immunoproliferative enteropathy in Basenjis and gluten-sensitivity enteropathy in Irish Setters.(Fogle & Bissett, 2007).

Additionally, other studies have been performed in components of the immune system. Polymorphisms in TLR-4 have been associated with IBD in German shepherd dogs and polymorphisms in TLR-5 have been associated with IBD in several breeds (Kathrani *et al.*, 2010; Kathrani *et al.*, 2011). In Boxers, it has been discovered that a gene implicated in cellular autophagy is mutated in dogs with granulomatous colitis. This could imply that adherent and invasive *E.coli* may not be efficiently destroyed intracellularly if the enzyme for fusion of the autophagosome with the lysosome is functionally defective (Craven M, 2010).

A recent study also reported an association between SNPs in Major histocompatibility class (MHC) II haplotypes and a potentially increased resistance to IBD in GSD (Peiravan *et al.*, 2016). Whereas another study have identified 16 candidate genes potentially associated with IBD in GSD, using a genome-wide association study (GWAS) (Peiravan *et al.*, 2018).

### 1.2.1.2 Immune system

In the intestine, the immune system is continuously challenged by a wide range of stimuli such as microbes, a high degree of microbial diversity, as well as an extensive variety of food and environmental antigens. The intestinal immune system therefore must be tolerant to food antigens and commensal

bacteria, but at the same time able to resist tissue invasion by resident microbiota. Persistent inflammation also needs to be avoided as it can lead to damage of intestinal tissues or alter the symbiotic relationship of the intestine with the commensal microbiota. To achieve this purpose, the intestinal immune system is tightly regulated (Manichanh *et al.*, 2012).

The immune system is divided into two main components: the innate immune system and the adaptive immune system. The innate immune system consists of a set of cells and proteins that are readily available to fight against microbes and are not dependent on prior exposure to the antigen. On the other hand, adaptive immunity comprises a set of cells, mainly lymphocytes that depend on previous antigen expression to be activated, proliferate and create potent mechanisms for neutralising or eliminating pathogenic agents (Day, 2012).

The innate immune system is particularly active at those anatomical sites that are likely the first point of contact with pathogens such as the GI tract. It is mainly composed of the epithelial cell barriers with mucus-producing goblet cells and several secreted antimicrobial substances; and different type of leukocytes that become rapidly activated and are non-specific in targeting pathogens. These cells include phagocytic cells, DCs, the mast cells, the natural killer cells and intraepithelial lymphocytes. On the other hand, the adaptive immune system is considered more specific and potent than the innate system. It is mainly composed of T lymphocytes and B-lymphocytes that are capable of secreting immunoglobulins (Day, 2012).

Several experiments have been conducted to unravel the role of each component of the immune system in gastrointestinal inflammation. In this review, we will focus on two components: Immunoglobulins, that make part of the adaptive immune system and thymic stromal lymphopoietin that makes part of the innate immune system.

#### 1.2.1.2.1 Immunoglobulin A and G

##### 1.2.1.2.1.1 Immunoglobulin synthesis

Immunoglobulins are  $\gamma$  type of globulins produced as part of the immune response that can bind antigens and prevent their actions or promote their destruction, and are produced by plasma cells that come from B lymphocytes. Precursors of B lymphocytes are produced in the bone marrow and when they are released

they express on their surface immunoglobulin M (IgM) and immunoglobulin D (IgD) chains; and are called naïve B cells. When they mature and differentiate into specific types of immunoglobulins, they get active and release plasma cells, containing the immunoglobulins (Day, 2012).

In the body, there are five types of immunoglobulins (Ig): Immunoglobulin G (IgG), immunoglobulin A (IgA), immunoglobulin M (IgM), immunoglobulin E (IgE) and immunoglobulin D (IgD); each of one with specific actions. However, all of them share the same basic structure. Immunoglobulins consist of a y-shaped unit of two polypeptide light chains (L) and two polypeptide heavy chains (H) bound by disulphide bonds. There are five forms of the heavy chain ( $\gamma$ ,  $\alpha$ ,  $\mu$ ,  $\delta$  and  $\xi$ ) that give rise to each type of Ig, and they may associate with either of the two forms of the light chain ( $\kappa$  and  $\lambda$ ). In the dog, the  $\lambda$  form is more commonly than the  $\kappa$  one (Day, 2012).

On each of the heavy and light chains, there are variable and constant regions. The variable regions are denoted by the letter V and the constant regions are denoted by the letter C. The light chain consists of two parts: the distal part (NH<sub>3</sub> terminus) is the variable region (V<sub>L</sub>) and the proximal part is the constant region (C<sub>L</sub>) (COO terminus). Similarly, the heavy chain is divided into two parts. The distal quarter is the variable region (V<sub>H</sub>), and the proximal three quarters are the constant region, numbered as 1, 2 and 3 (C<sub>H1</sub>, C<sub>H2</sub>, C<sub>H3</sub>). The hinge region is a segment of the heavy chain between C<sub>H1</sub> and C<sub>H2</sub>, where the interchain disulphide bonds occur (Day, 2012).

The constant regions confer specific properties to the immunoglobulin that determine which kind of cell they will bind, whereas the variable regions determine which type of antigens the antibody react to, and is also known as the antigen-binding site. Thus, the V region recognizes the pathogen and the C region attaches to different type of immune cells or activates the complement cascade against that pathogen (Day, 2012).

#### 1.2.1.2.1.1 Immunoglobulin A

Immunoglobulin A is the main Ig type present in body secretions and its main function is preventing the antigen from entering the cells. Mucosal IgA<sup>+</sup> plasma cells can be generated by two mechanisms: one dependent of T-cells (TD) and the other independent of T-cells (TI) (Pabst, 2012). Responses dependent on T-cells occur in organised lymphoid structures associated with the gut associated lymphoid tissue

(GALT), whereas TI responses occur in organised lymphoid tissue as well as in non-organised lymphoid tissues (Pabst, 2012).

Gut associated lymphoid tissue (GALT) is found within the lamina propria along the entire length of the gastrointestinal tract (GIT) (Pabst, 2012) (Fogle & Bissett, 2007). Anatomically, GALT consists of secondary lymphoid organs, which act as inductive sites of the immune response, termed Peyer Patches (PPs) in the small intestine, isolated lymphoid follicles (ILFs) throughout the whole intestine and the mesenteric lymph nodes; and the effector sites are comprised of the lamina propria (Fogle & Bissett, 2007). Components of an individual unit of GALT include the dome, follicle and parafollicular region. The dome is composed of M cells, which are enterocytes specialized in antigen capture, and transport antigens to all antigen-presenting cells (APCs), such as macrophages and dendritic cells (DCs). The follicles contain B lymphocytes, while the parafollicular region contains T lymphocytes, most of which are naïve (Pabst, 2012).

Lymphocytes can be divided in different types: T lymphocytes  $\alpha$ - $\beta$ , and T lymphocytes  $\gamma$ - $\sigma$ . T cells  $\alpha$ - $\beta$  contain CD8<sup>+</sup> lymphocytes (cytotoxic T cells) and CD4<sup>+</sup> lymphocytes (T helper cells (T<sub>H</sub>)). CD8<sup>+</sup> lymphocytes recognise antigen presented by major histocompatibility complex type I (MHC I) on the target cells and can destroy that cell; CD4<sup>+</sup> lymphocytes recognize antigen presented in conjunction with MHC II by APCs and help to promote memory and effector responses, including immunoglobulins (Fogle & Bissett, 2007).

In turn, CD4<sup>+</sup> T cells contain another type of cell termed T regulatory (T<sub>reg</sub>) cells that promote anti-inflammatory responses, and are vital for the maintenance of immunotolerance to gastrointestinal antigens (Allenspach, 2011).

Conversely, the  $\gamma$ - $\sigma$  lymphocytes are CD4 and CD8 negative and are abundant on external surfaces (Day, 2012). Although the role of  $\gamma$ - $\sigma$  lymphocytes is unclear, they are particularly responsive to bacterial antigens and it is believed that they are in charge of eliminating stressed or infected gastrointestinal epithelial cells (Day, 2012; Fogle & Bissett, 2007).

Peyer patches (PPs) develop in the small intestine *in utero*, independently of bacterial gut colonisation and constitute the main site of IgA production (Pabst, 2012). However, after birth the full development of the intestinal IgA repertoire; depends on bacterial stimulation. Bacterial stimulation introduces additional



mutations in highly expanded B-cell clones, promotes the generation of new mutated B-cell clones and the formation germinal centres (GCs) that foster the interaction of B cells with CD4<sup>+</sup> T cells (Gutzeit *et al.*, 2014).

Germinal centres are comprised of plasmablasts that eventually relocate to the lamina propria and displace resident old plasma cells (Pabst, 2012). By constantly receiving antigen stimulation and exposure, PPs generate a massive and diverse repertoire of high affinity IgA. Studies in mice have established that the majority (approx. >90%) of intestinal IgA production is microbiota-driven, since germ-free animals contain around 10-fold reduced numbers of mucosal IgA-producing cells. However, this can be easily reversed by the introduction of a normal microbiota (Crabbe, Nash, Bazin, Eyssen, & Heremans, 1970).

In contrast, ILFs develop after birth in response to bacterial colonisation and are scattered along the intestinal tract (Gutzeit *et al.*, 2014). They consist of solitary B-cell clusters built on a scaffold of stromal cells with a few interspersed CD4<sup>+</sup> T cells and more abundant perifollicular DCs (Gutzeit *et al.*, 2014). Patches and ILFs lack afferent lymphatics, so antigens are delivered from the sub-epithelial dome to the follicles by DCs (Gutzeit *et al.*, 2014). Conversely, mesenteric lymph nodes (MLNs) receive lymph from the intestinal lamina propria, PPs and ILFs (Gutzeit *et al.*, 2014). Thus, DCs from the lamina propria can carry antigens into the MLNs directly and induce IgA responses; or activated B cells from PPs and ILFs can reach MLN and undergo further differentiation before travelling back to the lamina propria (Gutzeit *et al.*, 2014).

Peyer patches are the main site for induction of antigen-specific responses, and are composed of B-cells and T-cells (ratio 6:1) that are covered by M cells (Cerutti & Rescigno, 2008). M cells sample IgA-free bacteria through an antigen recognition system involving the glycoprotein 2 receptor and IgA-coated bacteria by using a poorly characterised IgA receptor and dectin-1, a C-type lectin receptor that interacts with glycans associated with IgA (Gutzeit *et al.*, 2014). The antigen is then delivered from the gut lumen to DCs through a vesicular transport system (Cerutti & Rescigno, 2008).

Immunoglobulin-A induction in ILFs and the lamina propria occurs in the absence of segregated T-cell zones, suggesting that T-independent mechanisms of IgA induction may predominate in these sites. By contrast, PPs and MLNs can support both TI and TD modes of IgA induction (Pabst, 2012).

#### 1.2.1.2.1.1.1 T-cell dependent pathway

T cell-dependent responses are termed classical and are essential for providing protection against invasive commensal microbes (pathobionts that possess strong immunostimulatory properties) such as filamentous bacteria or pathogens, and therefore are of high-affinity (Slack, Balmer, Fritz, & Hapfelmeier, 2012). The TD-responses require signals from CD4<sup>+</sup>T follicular helper (T<sub>fh</sub>) cells to drive the selection and differentiation of high affinity B cells into long-lived plasma cells (N. Xiong & Hu, 2015).

In the TD-pathway, antigens presenting cells (APCs) located in the sub-epithelial dome, present and process the luminal antigens to the T- follicular helper lymphocytes (T<sub>fh</sub>). The naïve lymphocyte then recognises the epitope and becomes activated. The T<sub>fh</sub> expresses CD40 ligand (CD40L, also known as CD154), a tumour necrosis factor (TNF) family member, on their surface that interacts with CD40 expressed on the surface of B cells and induces them to undergo class switching to IgA (Allenspach et al., 2010). This switching process is enhanced by several cytokines. For example, Peyer Patch cells secrete transforming growth factor beta (TGF- $\beta$ ) that cooperates with CD40 ligand to trigger IgA class-switch recombination (CSR) and generates antigen-specific IgA<sup>+</sup> B cells (N. Xiong & Hu, 2015). They also produce interleukin-4 (IL-4), IL-6, and IL-10, which facilitate the expansion of IgA-expressing B cells and their differentiation to IgA-secreting plasma cells. Meanwhile, dendritic cells secrete interleukin (IL)-6, which in turn induces B cells to preferentially undergo class switching to IgA (Gutzeit *et al.*, 2014).

#### 1.2.1.2.1.1.2 T-cell independent pathway

T cell-independent responses are termed innate or primitive. Although the innate response is of low-affinity in regards to antigen specificity, it is sufficient to protect the host from mucosal invasion of harmless microbes, and therefore to avoid the activation of an inflammatory response (Pabst, 2012). The term 'natural response' is applied to IgA that is produced in the absence of microbial and antigenic stimulation, therefore only refers to germ-free animals and newborns (Slack *et al.*, 2012) (although new studies have found the presence of bacterial DNA in meconium and placenta) (Aagaard *et al.*, 2014; Wassenaar & Panigrahi, 2014).

In the TI- pathway, class switching of B cells to IgA does not require the help of T cells, but instead is dependent on more direct interaction with the microbiome (Gutzeit *et al.*, 2014). Dendritic cells (DCs) in the lamina propria continuously sample antigens from the lumen, and present them directly to B cells, which in turn become activated. Toll-like receptors (TLRs) at the epithelial barrier and in DCs recognise bacterial components termed pathogen-associated molecular patterns (PAMPs), and stimulate the production of B-cell activating factor (BAFF), apoptosis-inducing ligand (APRIL), inducible nitric oxide synthase (iNOS) and retinoic acid (Pabst, 2012). In turn, BAFF and APRIL activate B cells, induce IgA class switching and promote plasma cell survival and differentiation through B cell maturation antigen (BCMA) and transmembrane activator and CAML interactor (TACI) (Cerutti, Chen, & Chorny, 2011).

Inducible nitric oxide synthase promotes the synthesis of BAFF and APRIL through the generation of nitric oxide and upregulates TGF- $\beta$  receptors in B cells, further enhancing the IgA production. Moreover, intestinal epithelial cells also produce thymic stromal lymphopoietin (TSLP), which can in turn upregulate the expression of BAFF and APRIL by DCs, TGF- $\beta$  and IL-6 (Cerutti *et al.*, 2011). Retinoic acid promotes the differentiation of IgA class-switched B cells into IgA-secreting plasma cells and confers gut-homing properties to IgA class-switched B cells through its ability to upregulate chemokine receptor type-9 (CCR9) and  $\alpha 4\beta 7$  expression on these cells (N. Xiong & Hu, 2015).

Another factor that promotes IgA production is IgA-inducing protein (IGIP) that is expressed by DCs and is upregulated by the vasoactive intestinal peptide (VIP) (Pabst, 2012). This molecule, is a neuropeptide synthesized and released by immune cells, as well as by nerve endings that synapse on central and peripheral lymphoid organs that not only promotes IgA production indirectly but it also can trigger the expression of activation-induced cytidine deaminase (AID), a B cell-specific enzyme required for the diversification of Ig genes through class-switch DNA recombination (CSR) and somatic hypermutation (Abeles, Pillinger, & Abramson, 2015; Pabst, 2012).

Mucosal macrophages, stromal cells and epithelial cells secrete cytokines such as IL-6 and IL-10 and CXC-chemokine ligand 12 (CXCL12) that further promote the differentiation of B cells into IgA-secreting plasma cells (Pabst, 2012). The development of an antigen-specific SIgA response is a long process; 3 to 4 weeks are needed to detect an appreciable amount of SIgA antibodies in the faeces (reported in humans and mice) (Cerutti & Rescigno, 2008).

### 1.2.1.2.1.1.3 The common pathway

T-cell dependent and T-cell independent pathways share some steps in the production of immunoglobulins. After B cells are activated, they need to replace the immunoglobulin heavy chain composed of  $\mu$  and  $\delta$  exons encoding IgM and IgD by  $C\alpha$  exons encoding IgA in a process termed class switch recombination (CSR). CSR alters the effector function of immunoglobulins without changing their antigen specificity and requires the enzyme AID (Gutzeit *et al.*, 2014). In both pathways, factors of the intestinal microenvironment such as TGF- $\beta$ , IL-10 and retinoic acid direct the process (Bunker *et al.*, 2015).

In dogs, there is a single gene that encodes the  $\alpha$ -heavy chain. However, it has been recently shown that there are four allelic variants within the part encoding the hinge region, suggesting that functional subclasses may exist (Day, 2012).

Another crucial process involved in IgA generation is termed somatic hypermutation (SHM). In this step, point mutations are introduced in the variable-region segments that encode part of the heavy and light chains of B cell immunoglobulins. This process generates structural changes that enhance antigen-affinity (Gutzeit *et al.*, 2014).

During differentiation from naïve B cells, the resultant IgA<sup>+</sup> plasmablasts travel to the MLNs, where they are imprinted with gut homing integrins and chemokines that guide them to return to the lamina propria, a process known as lymphocyte homing. CCR9 and CCR10 are two major mucosa-specific chemokine receptors upregulated on IgA<sup>+</sup> plasmablasts during their generation. CCL28, the mucosal ligand of CCR10, is expressed in both small and large intestines while CCL25, the ligand for CCR9, is predominantly expressed in the small intestines. Additionally the expression of  $\alpha 4\beta 7$  integrin is induced and interacts with its ligand mucosal addressin cell adhesion molecule-1 (MAdCAM-1) expressed on postcapillary venules of the small and large intestines, helping the homing of IgA<sup>+</sup> plasmablasts to the intestines (N. Xiong & Hu, 2015).

In the lamina propria, IgA<sup>+</sup> plasmablasts terminally differentiate into IgA-secreting plasma cells. These cells synthesise the polypeptide termed joining (J) chain, which allows the formation of stable IgA oligomers with

increased avidity for antigen (Cerutti & Rescigno, 2008; Gutzeit *et al.*, 2014). Dimeric IgA interacts with polymeric immunoglobulin receptors (PIgR) located on the basolateral membrane of intestinal epithelial cells (IECs)(Pabst, 2012). Through a process called transcytosis, dimeric IgA is shuttled onto the luminal side and onto the surface of the gut in a modified form termed secretory IgA (sIgA) (Gutzeit *et al.*, 2014). SIgA includes a PIgR-derived polypeptide termed secretory component that increases the stability of SIgA in the intestinal lumen and anchors it to the mucus (Gutzeit *et al.*, 2014; Pabst, 2012). In the lamina propria, mature IgA<sup>+</sup> plasmablasts can survive for a long period of time, providing a local source for IgA antibodies (Allenspach, 2011; N. Xiong & Hu, 2015).

Although in people, IgA can exist as a monomer (in serum) or as a dimer (mucosal sites); in dogs, dimeric forms predominate in serum as well as in mucosa; this likely reflects the fact that serum IgA comes from plasma cells present in the respiratory, conjunctival, reproductive, and intestinal mucosa (Day, 2012). Although, most serum IgA likely comes from intestine because it is the largest mucosal surface; serum level and intestinal levels are not always correlated (DeBey, 2010)

The development of an antigen-specific SIgA response is a long process; 3 to 4 weeks are needed to detect an appreciable amount of SIgA antibodies in the faeces of people (Cerutti & Rescigno, 2008). IgA-secreting plasma cells can survive, even in the absence of antigen stimulation, for long periods of time in the intestine. The maintenance of the IgA repertoire is modulated by the current status of the commensal microbiota, more microbiota stimulation will trigger the formation of new IgA-secreting plasma cells that will compete with the old ones (N. Xiong & Hu, 2015).

The repertoire of intestinal IgA comprises high-frequency clones that recognise highly prevalent and stable components of the microbiota that are cross-reactive, and low-frequency clones that recognize changes in the microbiota and exposure to pathogens (Gutzeit *et al.*, 2014). Thus, IgA responses to simple and frequent protein antigens involve the reutilization of pre-existing GCs in multiple PPs and the acquisition of new somatic mutations whereas new antigenically distinct proteins induce the generation of a new plasma cell pool (Gutzeit *et al.*, 2014). Generally, antibodies produced in a TI manner produce low-affinity oligoclonal antibodies, whereas antibodies produced in a TD manner are of greater affinity and greater specificity for a given antigen (Stephens & Round, 2014).

Recently, a study has given some new insights into the dominant site of microbial IgA induction. Using murine genetic models of immunodeficiency and IgA-sequencing, they found that IgA targets mainly bacteria residing in the small intestine (40-80% IgA<sup>+</sup> in small intestine versus 10-30% IgA<sup>+</sup> in the colon). Whereas in the colon, many taxa were entirely IgA negative; and those that were positive were present at >1% relative abundance in the duodenum. This correlated with significant higher titres of luminal free IgA in the small intestine and 10-15 times more IgA<sup>+</sup> plasma cells in the small intestinal lamina propria relative to colonic lamina propria. A similar trend was found in intestinal aspirates from human patients during colonoscopy (Bunker *et al.*, 2015).

That same study also found that colonic bacteria markedly segregated into IgA<sup>+</sup> and IgA<sup>-</sup> taxa. As opposed to the colon, duodenal bacteria were equally represented in both fractions. This highlights the importance of IgA induction in the small intestine, where the lack of a double mucus layer could predispose it to bacterial invasion. However, another reason could be that PPs and iLFs are primarily associated with the small intestine and thus, it possesses a higher IgA production capacity (Bunker *et al.*, 2015) (Macpherson & McCoy, 2015).

In addition, most small intestinal bacteria elicit IgA responses whereas the same bacteria in the colon are not major targets of IgA, at least under normal conditions; and the response most of the times is driven by TI pathways, except in atypical commensals that can penetrate the mucus layer and induce a TD-response (Bunker *et al.*, 2015).

#### 1.2.1.2.1.2 Immunoglobulin G

Immunoglobulin G is the most abundant type of antibody present in the serum and due to its small molecular weight (150000 Da) it can cross easily the blood vessels and exert its functions at the extravascular space. In dogs, IgG is present as a monomer and potentially binds two antigen epitopes, and there are four subclasses (IgG1-IgG4) with IgG1 the most abundant form (Day, 2012).

Immunoglobulin G is synthesized and secreted by B-cells present in the spleen and lymph nodes. These antibody secretory cells are also distributed throughout the gastrointestinal tract. To synthesise IgG, B cells replace the immunoglobulin heavy chain composed of  $\mu$  and  $\xi$  exons encoding IgM and IgD by  $\gamma$  exons

encoding IgG. Then, these molecules are actively transported to the intestinal mucosa where they undergo bidirectional transcytosis across epithelial cells by binding to the neonatal Fc receptor (nFcR) or passively, perhaps secondary to epithelial barrier disruption during the inflammatory response (Eckmann & Stappenbeck, 2015; Yoshida *et al.*, 2006). The bidirectional transport of IgG retrieves intestinal luminal antigens as a complex with IgG and deposits them into the intestinal mucosa, where the antigen/IgG complexes can be captured by DCs for subsequent presentation to CD4<sup>+</sup> T cells (Yoshida *et al.*, 2004).

#### 1.2.1.2.1.2 Function of immunoglobulins

Immunoglobulin A is secreted into the gut and has a variety of functions that influence microbial community composition and location; effectively regulating host epithelial physiology and inflammatory response (Cerutti *et al.*, 2011). Through multiple mechanisms, IgA favours the maintenance of non-invasive commensal bacteria and neutralises pathogens (Cerutti & Rescigno, 2008).

One of the main functions of IgA is termed immune exclusion, where the antigen-binding variable (V) region of SIgA specifically blocks certain bacterial epitopes (Gutzeit *et al.*, 2014). This in turn modifies gene expression and motility, to prevent the adhesion of bacteria to the apical surface of IECs and entraps antigens in the mucus. Also, glycans associated with the secretory component and constant region  $\alpha$  (C $\alpha$ ) of IgA can be responsible for this effect (Gutzeit *et al.*, 2014). Immune exclusion not only applies to bacterial antigens but also self-antigens, food components, toxins and viruses (Pabst, 2012).

As well as neutralising pathogens in the intestinal lumen, SIgA can intercept microbes and toxins inside IECs (N. Xiong & Hu, 2015). SIgA can neutralise antigens within epithelial cell endosomes and excrete antigens from the lamina propria into the intestinal lumen. This can be done through the plgR receptor or by promoting their destruction via Fc fragment of IgA receptor (Fc $\alpha$ RI) (also known as CD89). This receptor is expressed by dendritic cells (DCs), neutrophils, and other phagocytes. They also facilitate antigen sampling by binding to microfold (M) cells (Cerutti & Rescigno, 2008).

One of the key characteristics of IgA action is that it delivers its protective functions without activating the complement cascade, preventing inflammation and damage to the intestinal epithelial barrier (Slack *et al.*, 2012).

1670

1671 Limitation and prevention of the inflammatory response not only impedes damage to the epithelial barrier,  
 1672 but also can limit the pathogenic potential of pathogens (Pabst, 2012). One example of this is *Salmonella*  
 1673 *typhimurium*, which takes advantage of host inflammatory response to successfully compete against  
 1674 commensal microbes. Endt *et al.* (2010) recently elucidated that high-affinity surface (predominantly  
 1675 lipopolysaccharide (LPS) O-antigen)-binding IgA protects against colitis upon re-infection with *S.*  
 1676 *typhimurium* in the non-typhoidal salmonellosis model; it does this by inducing bacterial coagulation and by  
 1677 hindering the proinflammatory response (Endt *et al.*, 2010).

1678

1679 Pathogens have developed mechanisms of resistance to host inflammatory response that are not present  
 1680 in commensals. This gives them an advantage to survive over commensals, and decrease the number of  
 1681 bacteria that could compete against them. Thus, IgA not only create a containing wall but also prevents the  
 1682 induction of inflammation and promotes the survival of commensals (Endt *et al.*, 2010).

1683

1684 Many different proteins have been suggested to bind IgA, in addition to the PolyIg receptor, which mediates  
 1685 its transport across epithelia. These include the Fcα/μR, CD89, asialoglycoprotein receptors, transferrin  
 1686 receptors and secretory component receptors (Slack *et al.*, 2012). The association of IgA- bacteria induces  
 1687 alteration in bacterial signalling when compared to recognition of bacteria alone and thus, modify the  
 1688 pathogenic properties of bacterial survival and growth rate (Slack *et al.*, 2012).

1689

1690 For example, studies using a monoclonal dimeric IgA specific for the outer membrane of *Helicobacter felis*  
 1691 could protect germ-free mice from *Helicobacter* infection (Czinn, Cai, & Nedrud, 1993). Forbes *et al.*, 2011  
 1692 found that IgA directed against the LPS-O antigen of *Shigella flexneri* inhibited the type secretion III system,  
 1693 required for bacterial motility and invasion (Forbes, Bumpus, McCarthy, Corthesy, & Mantis, 2011). Another  
 1694 study performed by Cullender *et al.*, using a toll like receptor 5 deficient (TLR5<sup>-/-</sup>) mouse model discovered  
 1695 that flagellin- SIgA responses, downregulate flagellin transcription to decrease bacterial motility . TLR5  
 1696 deficient mice lack the receptor that recognizes flagellin and therefore the production of anti-flagellin  
 1697 antibodies is almost absent. Under these circumstances, bacteria can breach the mucosal barrier and  
 1698 cause intestinal damage and inflammation (Cullender *et al.*, 2013).

1699



Recently, a study found that IgA alters the expression of the polysaccharide utilization loci (PUL), including a functionally uncharacterised molecular family provisionally named Mucus Associated Functional Factor (MAFF). In mice and people, MAFF is predominantly detected in mucus-resident bacteria and its expression facilitates symbiosis with other members of the phylum Firmicutes and promotes colon homeostasis. Nakajima et al., assessed whether “bystander” IgA (IgA elicited by antigens other than bacterial antigens) could modulate gut microbiota via glycan–glycan interactions among IgA, bacteria, and mucus. They generated a monoclonal IgA (7-6IgA) recognizing OVA, which is heavily glycosylated. IgA binded to *Bacteroides thetaiotaomicron* via glycan–glycan interactions (IgA-glycan and LPS) and enhanced the association of the bacteria with host mucus and/or diet-derived polysaccharide. The mucus-associated *B. theta* induced MAFF system expression dependent on interaction with Firmicutes members such as Clostridiales and enhanced the metabolic activity of *B. theta*. Metabolically active *B. theta* up-regulated the polysaccharide utilization activity of *B. theta* and stimulated the fatty acid utilization and expansion of butyrate-producing Clostridiales, altering the composition of the gut microbial community (Nakajima et al., 2018).

These metabolic changes may directly stimulate the proliferation and regeneration of colonic epithelial cells in response to epithelial damage and they could test these properties in a model of DSS induced colitis. Thus, IgA is not only altering the gene expression of mucus-associated, IgA-coated bacteria, but is also an essential component of the regulatory network modulating interphylum bacteria interaction. Mucus-specific MAFF expression was also observed in human colon biopsies (Nakajima et al., 2018).

All of these actions of IgA influence the function and composition of the microbiota, reinforce the integrity of the intestinal barrier and attenuate pro-inflammatory immune responses that help to maintain intestinal health and homeostasis.

Although IgA is the most important immunoglobulin in the mucosa, when invasive bacteria trespass the epithelial border, IgG help IgA to repel invaders; and thus, constitute a second line of defense by eliciting a robust inflammatory reaction (Cerutti *et al.*, 2011).

In people, it has been shown IgG can be detected within the intestinal lumen and even, may reach levels approximating that observed for sIgA in certain locations such as rectum (Yoshida *et al.*, 2004).

The main mechanism of action of IgG is by complement mediated destruction of foreign cells. The complement system is composed of about 30 proteins, that when activated, interact sequentially to form an enzymatic cascade that causes the destruction of the pathogen. Although, there are four complement pathways: classical, lectin, alternative and terminal pathways; the classical pathway is the one that is activated by the aggregation of immunoglobulins on the pathogen surface. After C1 binds to complexed antibody and antigen; it becomes activated and cleaves the second factors of the pathway: C4 and C2. They then, sequentially activate C3 and the terminal pathway initiates. The C3 activation finally leads to the formation of the membrane attack complex that causes lysis of the cell by osmotic imbalance. The complement also exerts other functions such as opsonisation and inflammation. IgG and C3b are recognized by receptors on the surface of phagocytic cells and signal phagocytosis. C3a and C5a can amplify inflammation by activating white cells and releasing inflammatory mediators (Day, 2012).

#### 1.2.1.2.1.3 Significance of immunoglobulin A and G in intestinal disease

- Studies performed in people and mice

Mice and humans with defective IgA secretion have increased susceptibility to intestinal disease, autoimmune diseases and allergy (Cunningham-Rundles, 2001) (Brandtzaeg, 2010). However, many of these affected individuals have a normal life-span, probably due to compensatory mechanisms of other components of the immune system, such as polymeric IgM secretion that can compensate for the lack of SIgA (Cunningham-Rundles, 2001; Eckmann & Stappenbeck, 2015). However, this assumption has been refuted. Longitudinal studies have revealed that 80% of patients are symptomatic, when assessing complications more broadly or when follow-up is extended (Jorgensen et al., 2013) (Koskinen, 1996). In animals devoid of all Ig isotypes, serious intestinal disease occurs and cannot be compensated by the other components of the immune system (Cunningham-Rundles, 2001).

In Activation-induced cytidine deaminase (AID)-deficient mice, the main feature is dysbiosis characterised by the overgrowth of segmented filamentous bacteria and ileal inflammation (Suzuki *et al.*, 2004). Mice lacking the pIgR have no faecal IgA or IgM and although they have a normal microbiota, commensal bacteria can penetrate more easily the mucosa and induce systemic antibody responses. Also, knockout

mice had increased serum IgG levels, including antibodies to *E. coli*, suggesting undue triggering of systemic immunity (Johansen *et al.*, 1999). In people, malfunction of cells in the PPs in production of SIgA has been considered a risk factor for coeliac disease, as gluten of wheat is neutralised in the intestine by SIgA (Mulder & Mulder-Bos, 2006).

In regards to IBD in people, studies have shown contradictory results. Some studies have shown that the frequency of IgA deficiency among IBD patients is significantly higher than that in the healthy population and that affected individuals exhibited decreased mucosal IgA concentrations (Brandtzaeg, 2010). However, another study have reported that IBD lesions exhibit excessive numbers of IgA<sup>+</sup> and IgG<sup>+</sup> plasma cells with a remarkable skewing toward IgG production, depending on the severity of inflammation (Brandtzaeg, Carlsen, & Halstensen, 2006).

The relative average increase is more prominent for IgG ( $\times 30$ ) and IgM ( $\times 2.5$ ) than for IgA ( $\times 1.7$ – $2.0$ ). In fact, adjacent to Crohn's disease ulcers, the number of plasma cells is increased 100–200-fold for the IgG class and 8–12-fold for the IgM class compared with 1.2–6.7-fold for the IgA class (Brandtzaeg *et al.*, 2006).

Locally produced IgG in IBD lesions has been reported to react against cytoplasmic antigens from a range of Gram-positive and Gram-negative faecal bacteria, with higher activity in Crohn's disease than in ulcerative colitis, and higher in ulcerative colitis than in other types of intestinal inflammation (Brandtzaeg *et al.*, 2006). However, serum antibodies levels exhibit a considerable heterogeneity in microbial specificities among IBD patients; suggesting that rather a global loss of tolerance against intestinal bacteria, the response is individual and pathogen- specific (Landers *et al.*, 2002).

Another interesting aspect reported in people with IBD is that although the number of IgA producing cells is increased, the J-chain expression is decreased or deficient in inflammatory lesions (producing monomers that cannot be exported by the plgR); and a shift from the IgA2 to the less-stable IgA1 subclass (more easily degraded by enzymes present in the intestinal lumen) occurs (Kett & Brandtzaeg, 1987).

In people, 90% of the plasma cells present in the colon secrete IgA, 4% secrete IgG and 6% secrete IgM (De Palma *et al.*, 2010). In a previous study by Van der Waaij *et al.*, only a fraction of the faecal bacteria

were coated with IgA, IgG or IgM in healthy people (L. P. Van der Waaij LA, Mesander G, van der Waaij D, 1996), whereas IBD patients had higher concentrations of Ig-coated bacteria (IgA, IgG and IgM populations) in active disease and also shortly after remission compared to healthy patients. In healthy controls, approximately 40% of faecal anaerobic bacteria are coated with IgA, 12% with IgG and 12% with IgM. In IBD, the percentages are raised to 65, 45 and 50% respectively, with no difference between ulcerative colitis and Crohn's disease (K. F. van der Waaij LA, Jansen PLM, *et al*, 1997). In patients with long-term remission, the percentages of Ig-coated bacteria return to control values. Thus, clinical remission of IBD patients occurs before coating of bacteria returns to normal, and this could be an indication of a stage of sub clinically active IBD (van der Waaij *et al.*, 2004).

To assess whether an immune response against a person's own gut bacteria is present in CD, Harmsen *et al*, assessed IgG-coated bacteria by flow cytometry in faeces from CD patients (n=23) and healthy volunteers (n=11), with or without incubation with autologous serum. Their relationship with disease activity through the measurement of faecal calprotectin (a marker of intestinal inflammation) and the bacterial composition in faeces using fluorescence *in situ* hybridization was also assessed (Harmsen, Pouwels, Funke, Bos, & Dijkstra, 2012). They found that the *in vivo* IgG-coated fraction of faecal bacteria was higher in patients, particularly after incubation with either autologous or heterologous serum. This was dependent on the bacteria type (stronger against *E. coli* strains isolated from patients) and independent of disease activity or host serum (Harmsen *et al.*, 2012). The amount of IgG coating, however, did not correlate with calprotectin concentration, which could indicate that patients in remission still have an impairment in the intestinal barrier. These results suggest that patients with IBD harbour more immunogenic bacteria and/or loss of tolerance against normal intestinal microbiota (the exact difference between the *E. coli* strains found in the CD patients and those from healthy volunteers was not determined). The induction of inflammation may lead to ulceration, and subsequent leakage of IgG into the intestinal lumen, and entry of bacterial antigens to the bloodstream can induce and increase the levels of serum IgG (Harmsen *et al.*, 2012).

Conversely, in coeliac disease proportions of IgA, IgM and IgG-coated bacteria are significantly lower compared to healthy controls in both untreated and treated patients (De Palma *et al.*, 2010). At the mucosal level, IgA<sup>+</sup> plasma cells remain numerous in the lamina propria in both treated and untreated adult coeliac disease, although the numbers of IgA<sup>+</sup>, IgM<sup>+</sup> and IgG<sup>+</sup> plasma cells per tissue unit increase only

slightly (only 2.4, 4.6 and 6.5 times, respectively (Brandtzaeg, 2006)). This suggests that either low or high levels of Ig-coated bacteria can be indicative or predispose to disease.

Recently, Palm *et al.*, using flow cytometry and 16 ribosomal ribonucleic acid (rRNA) sequencing, showed that high IgA coating selectively marks specific members of the mouse and human intestinal microbiota that can drive or exacerbate intestinal inflammation in a mouse model. Traditional approaches to assay antibody production, such as enzyme-linked immunosorbent assay (ELISA) require a lower affinity for binding when compared to flow cytometry which requires 10<sup>9</sup>i/mol. Thus, fluorescence-activated cell sorting (FACS)-based IgA approach enriches for the high-affinity antibody against microbiota that has been generated through TD interactions, and can potentially provide more information about the disease-causing microbes (Palm *et al.*, 2014) .

The study by Palm performed IgA 16S rRNA sequencing (IgA-SEQ) on faecal samples from 27 people with Crohn's disease (CD), 8 with ulcerative colitis (UC), and 20 healthy controls. As previously noted, the percentage of bacteria coated with IgA was significantly increased in people with CD or UC. Although several bacterial species were shared in both healthy people and patients, many of the bacteria were only highly coated in sick people. They also found specific taxa that were more highly coated specifically in UC or CD patients. As expected, there was a high amount of bacterial diversity between individuals (Palm *et al.*, 2014).

Next, the researchers selected and isolated representative members of the microbiota that were coated and uncoated with IgA from 11 patients with IBD and grew them under laboratory conditions to create personalized culture collections. These faecal microbes were then transferred to germ-free mice. Although the transplants did not cause disease themselves, when colitis was induced using dextran sulfate sodium (DSS), mice colonised with the IgA<sup>+</sup> consortia exhibited more severe intestinal disease and bacteria were more invasive compared to animals colonized with the IgA<sup>-</sup> consortia, indicating that the species present within the IgA<sup>+</sup> group exacerbated disease (Palm *et al.*, 2014).

Another study was performed in germ-free mice transplanted with IgA-coated bacteria from faecal samples of undernourished children from Malawi. The intestinal microbiota of these children is characterised by disrupted normal postnatal assembly, dysbiosis and increased enteropathogen burden. When fed with a

nutrient deficient diet similar to the Malawi children, mice exhibited disruption of the intestinal epithelial barrier, weight loss and sepsis. In contrast, when these mice were fed with a nutrient-sufficient diet, the pathological changes were not seen, suggesting that these bacteria can be a contributing or confounding factor for disease when other conditions are involved into the equation, such as diet and environmental conditions also occur.(Kau *et al.*, 2015)

In 2015, Kamada *et al.* showed that humoral immunity, in particular IgG, selectively targets virulent bacteria in the intestine, particularly those associated to the intestinal mucosa. Using mice models of *Citrobacter rodentium* infection, Kamada *et al.*, could show that IgG recognises virulent factors from bacteria attached to the mucosa and targets them for elimination; whereas avirulent bacteria that remain in the lumen are outcompeted by the resident microbiota. Thus, although IgG is not actively transported into mucosal secretions, unlike IgA, it could be particularly important in periods of intestinal disease and/or patients with IgA deficiency (Kamada *et al.*, 2015).

Recently, Vilamidiu *et al.* (2017), using IgA-SEQ in faecal samples from patients with CD and from patients with CD and spondyloarthritis (CD-SpA), discovered that CD-SpA patients had a selective enrichment in IgA-coated *Escherichia coli* compared to CD alone patients. The *E. coli* isolates from CD-SpA-derived IgA-coated bacteria were similar in genotype and phenotype to an adherent-invasive *E. coli* (AIEC) pathotype. When germ-free C57BL/6 mice were colonized with AIEC isolated from patients and with non-AIEC *E. coli*; mice with AIEC induced T helper 17 cell (T<sub>H17</sub>) mucosal immunity with no histopathological changes. However, when mice were challenged with DSS, clinical signs were more severe in AIEC mice; suggesting a pro-inflammatory effect of this strain (Viladomiu *et al.*, 2017).

The group also found that the presort population had significantly increased relative abundance of Proteobacteria in CD-SpA compared to CD, but no significant differences at the genus level. Moreover, the proportion of intestinal bacteria coated with IgA was increased in CD compared to healthy donors; however, no significant difference was seen in IgA coating between CD-SpA and CD (Viladomiu *et al.*, 2017).

- Studies performed in dogs

In dogs, few studies have assessed the role of Igs in healthy conditions and during intestinal disease, although immunodeficiency due to low levels of IgA is considered common in dogs. Similar to people, many cases of IgA deficiency are not associated with clinical disease. Diseases such as pyoderma (Miller, Wellington, & Scott, 1992), atopy, otitis, demodicosis, chronic bronchitis (Campbell, 1991), recurrent pneumonia (Moroff, Hurvitz, Peterson, Saunders, & Noone, 1986), food allergy (Campbell, 1991), or enteritis (Batt, Barnes, Rutgers, & Carter, 1991) have been reported in dogs with IgA deficiency (DeBey, 2010).

Peters *et al.* (2004), measured the faecal IgA, IgM, and IgG concentration in healthy colony German Shepherd dogs (GSD) (n = 209), Labrador Retrievers (n = 96), Beagles (n = 19), and Miniature Schnauzers (n = 32), via ELISA. Faecal IgG concentrations between the four breed groups were not significantly different. Conversely, IgA concentrations were significantly greater in Miniature Schnauzers than in GSD (P = 0.0003) and Labradors (P = 0.0004), but not significantly different from those in Beagles. As well, IgM concentrations were significantly greater in Miniature Schnauzers than in GSD (P < 0.0001), Labradors (P < 0.0001), and Beagles (P = 0.0098) (Peters, Calvert, Hall, & Day, 2004)

Peters *et al.* also assessed the variation in the amount of faecal IgA, IgM and IgG during a 7-day collection period, and the effect of delayed freezing of the samples in two of the dogs. During the study period, there was no significant difference in the amount of faecal IgA, IgM or IgG and the average coefficients of variation for sampling of a single defecation were 25, 31, and 50% for IgA, IgM, and IgG, respectively. Regarding the freezing effect, multiple samples were taken from a single defecation and were frozen at 0, 12, 24, 48, and 72 hours after collection. There was no significant difference in the amount of IgA, IgM, or IgG following delayed freezing of the faecal samples. They also found that the faecal concentration of IgA, IgM and IgG were significantly correlated with each other. The correlation of IgG with both IgA and IgM was unexpected, since IgG is not actively transported into mucosal secretions like IgA and IgM (Peters *et al.*, 2004). This correlation may have reflected the contribution of bile to the faecal immunoglobulins measured, as the concentrations of IgA and IgG have previously been found to be similar in canine bile (German, Hall, & Day, 1998).

Litter *et al.* (2006) assessed the concentration of immunoglobulins in faecal and duodenal organ cultures in 76 GSD dogs and 63 healthy dogs of different breeds. They found a significant correlation between

the concentrations in faecal extracts and the concentrations produced in duodenal organ cultures extracts. However, GSDs had significantly lower median IgA concentrations in their faecal extracts compared to the rest of the breeds. Sixteen of the GSD had IgA concentrations below the 95 per cent confidence limit of the control population, and six had no demonstrable faecal IgA. The faecal concentrations of IgG and albumin were significantly higher in the GSDs than in the controls, but their IgM concentrations were similar (Littler, Batt, & Lloyd, 2006). German shepherd dogs are one of the breeds that have been reported to be IgA-deficient and are highly susceptible to developing IBD (Allenspach *et al.*, 2010; German, Helps, Hall, & Day, 2000). It is possible that the deficiency of IgA in this breed predisposes to IBD, and the increased concentrations of IgG could be a compensatory mechanism for this deficiency.

Low concentrations of serum IgA have previously been reported in several dog breeds, but no generally accepted cut-off value has been established (Campbell, 1991). A large screening study of 1267 dogs representing 22 breeds was performed with both healthy and sick dogs (inflammatory and endocrine conditions) (Olsson *et al.*, 2014). Serum IgA concentrations were quantified using capture ELISA and varied widely between and within breeds (0.01 to 3.0g/L), and was positively correlated with age ( $p<0.0001$ ). Apart from the two breeds previously reported as predisposed to low IgA (Sharp Pei and GSD), the study identified six additional breeds in which  $\geq 10\%$  of all tested dogs had very low ( $<0.07$  g/L) IgA concentrations (Hovawart ( $n=19$ ), Norwegian Elkhound ( $n=14$ ), Nova Scotia Duck Tolling Retriever ( $n=11$ ), Bullterrier ( $n=14$ ), Golden Retriever ( $n=168$ ) and Labrador Retriever ( $n=141$ )). In addition, low IgA concentrations were significantly associated with canine atopic dermatitis (CAD,  $p<0.0001$ ) and pancreatic acinar atrophy (PAA,  $p=0.04$ ) in GSDs (Olsson *et al.*, 2014).

It is important to point out that other studies have reported mutational changes in genes related to immunity in GSD, such as polymorphisms in toll-like receptor 5 and nucleotide-binding oligomerization domain-containing protein 2 (NOD2), that could predispose them to develop immune-mediated conditions (Aarti Kathrani, 210).

Batt *et al.* (1991) assessed serum immunoglobulin concentrations and number of IgA-producing cells in intestinal mucosa (using immunohistochemistry (IHC)) in a group of clinically healthy dogs of various breeds, a group of clinically healthy GSDs, and a group of GSDs diagnosed with bacterial overgrowth in the proximal small intestine. Serum concentrations of IgA, but not IgM or IgG, were significantly lower in GSD



(healthy and sick) than in other purebred and mix breed dogs. However, densities of intestinal IgA-producing cells were not significantly different in any group (Batt *et al.*, 1991). Nowadays, the term small intestinal bacterial overgrowth (SIBO) is not used anymore in veterinary practice. Studies have shown that the normal bacterial counts are much higher in healthy dogs compared to humans, and dogs treated successfully with antibacterial agents do not necessarily show a decrease in duodenal bacterial numbers and so SIBO is now termed antibiotic-responsive diarrhoea/enteropathy (E. J. Hall, 2011).

Jergens *et al.* (1996) quantitated immunoglobulin-containing cells (IgA and IgG) and CD3<sup>+</sup> T cells in the villi of duodenal mucosal biopsy specimens obtained from healthy dogs (n= 9), dogs with IBD (n=11) and dogs with non-specific gastroenteritis (n=8), using immunoperoxidase techniques and morphometric analyses, respectively. Healthy dogs had significantly higher T-cell counts, dogs with nonspecific gastroenteritis had a significantly higher concentration of IgA-containing cells; whereas, dogs with IBD had significantly lower cell counts for IgG-containing cells (Jergens, Moore, Kaiser, Haynes, & Kinyon, 1996). This could be an indication of an inappropriate immune response in dogs with IBD.

Using the same techniques, Jergens *et al.* (1999) assessed the number of immunoglobulin-containing cells (IgA, IgG, and IgM) and CD3<sup>+</sup> T cells in colonic biopsy specimens obtained from dogs with lymphocytic-plasmacytic colitis (LPC), and in healthy dogs. They found increased numbers of plasma cells in the intestinal mucosa (particularly IgA<sup>+</sup> and IgG<sup>+</sup> cells) and CD3<sup>+</sup> T cells in dogs with LPC compared to healthy dogs, although the number of IgG<sup>+</sup> cells was less numerous compared to IgA<sup>+</sup> cells (Jergens *et al.*, 1999). This increase suggests that humoral immunity is stimulated in IBD. This could be secondary to a disruption of the intestinal barrier (extravasation of serum immunoglobulins or exposure of mucosal immune system to intestinal bacteria), presence of more immunogenic bacteria; or could be indicative of a breakdown of mucosal immune tolerance to intestinal flora (van der Waaij *et al.*, 2004). Previous studies have reported that mucosal T-cells from IBD patients with active disease proliferate intensely when incubated with commensal bacteria derived from the same patient, whereas mucosal T-cells from healthy controls do not respond (only in the presence of bacteria derived from another individual) (Duchmann *et al.*, 1995).

German *et al.* 2000, measured IgG, IgM, IgA and albumin concentrations in matched samples of serum, saliva and tears collected from four groups of dogs: GSD dogs with small intestinal disease (n=25), other

breeds with intestinal disease (n=21), other breeds with disorders not related to TGI (n=36) and GSD with disorders not related to TGI (n=30). There were no significant differences in IgG, IgM or IgA concentrations in serum, saliva or tears between the different groups of dogs (German, Hall, & Day, 2000).

Additionally, the authors assessed the IgA production by 24-hour explant duodenal organ cultures in the dogs with small intestinal disease, and found that IgA production was significantly lower in GSDs compared with non-GSDs with small intestinal disease (German, Hall, *et al.*, 2000). These findings suggest that there may be a relative deficiency of intestinal IgA in GSD that is not reflected in either serum or on secretion at unaffected mucosal sites; and that IgA deficiency could be breed-related or secondary to disease within the intestinal mucosa.

Maeda *et al* (2013), using ELISA measured the concentration of IgA and IgG in the serum, faeces and duodenal samples of 37 dogs with IBD (defined based on clinical signs and need of glucocorticoid therapy), 10 dogs with alimentary lymphoma and 20 healthy dogs (colony of beagles). Additionally, IgA<sup>+</sup> cells in duodenal lamina propria and IgA<sup>+</sup> CD21<sup>+</sup> peripheral blood mononuclear cells (PBMCs) were examined by immunohistochemistry and flow cytometry, respectively. Following that, duodenal expression of the IgA-inducing cytokine transforming growth factor  $\beta$  (TGF- $\beta$ ), B cell activating factor (BAFF), and a proliferation-inducing ligand (APRIL) was quantified by real-time RT-PCR (Maeda *et al.*, 2013).

Dogs with IBD had significantly decreased concentrations of IgA in faeces compared to healthy dogs (healthy median 116.2  $\mu\text{g}/\text{mg}$  total protein, range 55.3–353.4; IBD, median 77.6  $\mu\text{g}/\text{mg}$  total protein, range 24–251.8.  $P = .0068$ ) and duodenal samples compared to healthy and dogs with lymphoma (healthy, median 322.3  $\mu\text{g}/\text{mg}$  total protein, range 24.7–759.1; IBD, median 152  $\mu\text{g}/\text{mg}$  total protein, range 27.9–531.4.  $P = .0037$ , lymphoma median 260.5  $\mu\text{g}/\text{mg}$  total protein, range 99.1–637.5,  $P = .0181$ ). The number of IgA<sup>+</sup> CD21<sup>+</sup> PBMCs and IgA<sup>+</sup> cells in duodenal lamina propria was significantly lower in dogs with IBD than in healthy dogs or dogs with intestinal lymphoma, suggesting a defect in IgA switching class. Overall, when assessing faecal and duodenal IgG concentrations and serum IgA or IgG concentrations there were no significant differences among the 3 clinical groups. There was also no significant correlation between IgA or IgG concentrations and the canine chronic enteropathy clinical activity index (CCECAI) in dogs with IBD or intestinal lymphoma.

Conversely, duodenal BAFF and APRIL mRNA expression was significantly higher in IBD dogs than in the healthy controls; whereas duodenal TGF- $\beta$  mRNA expression was significantly lower in dogs with IBD than in healthy dogs and dogs with intestinal lymphoma. TGF- $\beta$  is related to T cell-dependent IgA class switching whereas BAFF and APRIL are to T cell-independent IgA class switching. Decreased expression of TGF- $\beta$  can reflect impaired IgA expression, as seen in mice and humans with IgA deficiency. While, the increased expression of BAFF and APRIL; could represent a physiologic compensatory mechanism.

In a subsequent study they delved into the pathophysiology of decreased levels of IgA and evaluated the mRNA expression of TACI and BAFF-receptor (BAFF-R) and the methylation levels of their corresponding genes TNFRSF13B and TNFRSF13C, in the duodenal mucosa of dogs with IBD. Duodenal mRNA expression levels of TACI and BAFF-R were significantly lower in dogs with IBD compared to healthy controls.

Quantitative real-time methylation-specific PCR (qPCR-MSP) showed significant hypermethylation of the CpG islands of TNFRSF13B and TNFRSF13C in the duodenal mucosa of dogs with IBD. mRNA expression levels of TACI were positively correlated with intestinal IgA expression, whereas the methylation level of its gene (TNFRSF13B) was negatively correlated with IgA expression, suggesting that epigenetic modifications could contribute to the concentrations of IgA (Maeda *et al.*, 2014).

In summary, results of studies of the role of IgA in dogs with intestinal disease have been discordant, and there is no definitive conclusion whether IgA plays a role in disease pathogenesis or is a simple consequence of inflammation. The discordance in results could be given by several factors such as sample site (duodenum vs, colon), methodology (ELISA and IHC), environmental conditions (privately-owned dogs versus colony dogs), different criteria of classification of disease status (small intestinal bacterial overgrowth [SIBO] versus antibiotic/responsive diarrhoea [ARD], or IBD versus CE) and breed of dogs (as some breeds have been reported to be IgA-deficient, particularly German-Shepherd dogs).

#### 1.2.1.2.2 Thymic Stromal Lymphopoietin

Thymic stromal lymphopoietin (TSLP) is a cytokine that is constitutively expressed in IECs in response to commensal bacterial colonisation, with highest expression in colonic epithelial cells (Rimoldi *et al.*, 2005).

#### 1.2.1.2.2.1 Definition and structure

The mucosal epithelium is the main constituent of the intestinal physical barrier, and is composed of a diverse population of cells such as intestinal epithelial cells (IEC), goblet cells, endocrine cells and M cells. Enterocytes (IEC) comprise approximately 80% of mucosal epithelial cells and constantly regenerate (Fogle & Bissett, 2007). Immature enterocytes are located within the intestinal crypts and migrate from the crypt to the villus tip over a period of 3 to 5 days until they mature and are eventually sloughed off (Fogle & Bissett, 2007).

During physiologically normal conditions, IEC constitutively produce factors, such as interleukin-10 (IL-10), transforming growth factor beta (TGF- $\beta$ ), prostaglandin E<sub>2</sub> (PGE<sub>2</sub>), which can inhibit and/or promote an anti-inflammatory response (Wells, Rossi, Meijerink, & van Baarlen, 2011). Another epithelial-derived factor is termed thymic stromal lymphopoietin (TSLP); TSLP is an IL-7 like cytokine that was initially identified and characterised as a B cell growth-promoting factor in conditioned supernatants of a mouse thymic stromal cell line (Friend *et al.*, 1994). Later, it was discovered that TSLP was not only expressed in the thymus but is also widely expressed in other epithelial cells lines of the lung, skin, intestine, mucosa-associated lymphoid tissue and tonsils (Ziegler *et al.*, 2013).

Two transcript variants of TSLP have been identified in people: the long isoform or variant 1 (lTSLP, inducible isoform), and the short isoform or variant 2 (sTSLP, constitutive isoform) (Bjerkas *et al.*, 2015; Fornasa *et al.*, 2015). Under physiological conditions, sTSLP is released by IEC to create a tolerant microenvironment in response to commensal bacterial colonisation (Fornasa *et al.*, 2015). Conversely, under pathological conditions the expression of the long isoform is up-regulated to promote an inflammatory response to clear the pathogen and avoid further damage to the intestinal mucosa (Fornasa *et al.*, 2015). Thus, TSLP is critical to maintain the balance between inflammatory responses and immune clearance.

Recently, a partial segment of the canine TSLP cDNA has been cloned and characterised (Klukowska-Rotzler *et al.*, 2013). The canine TSLP gene is located on chromosome 3 (1.503–1.507 Mbp) (Klukowska-Rotzler *et al.*, 2013). The complementary DNA (cDNA) sequence contains 465 nucleotides and is

organised in four exons (Klukowska-Rotzler *et al.*, 2013). The alignment of the canine TSLP cDNA with the orthologous human sequence (GenBank accession number NM\_033035.4)01164063.1), gave a nucleotide identity of 70%, and covered the sequence encoding the signal peptide and full-length mature protein of human TSLP. The predicted amino acid sequence deduced from the canine TSLP cDNA shares 60.8% identity with human (NP\_149024.1) TSLP protein (Klukowska-Rotzler *et al.*, 2013).

TSLP (lTSLP) exerts its biologic activities by binding to a heterodimeric receptor consisting of the IL-7 receptor  $\alpha$  chain and the TSLP receptor chain (TSLPR); TSLPR is a member of the haematopoietic receptor family and binds TSLP at low affinity (Ziegler *et al.*, 2013). As a result, TSLPR is expressed on DCs, T cells, B cells, natural killer cells, monocytes, basophils and mast cells. When TSLPR and the IL-7 $\alpha$  chain interact together, there is a high affinity binding to TSLP that leads to the activation of many pathways (Zhong *et al.*, 2014). The TSLP-TSLPR/IL-7R $\alpha$  complex results in the activation of multiple signal transducer and activator of transcription STAT-1,3,4,5 and 6 and Janus kinase (JAK)1 and 2 (Arima *et al.*, 2010). In fact, mapping of the TSLP signalling pathway has shown that TSLP can regulate the phosphorylation of approximately 226 proteins.(Zhong *et al.*, 2014).

The activation of the STAT-JAK pathway exerts its function in the nucleus, where they activate the promoter region of NF- $\kappa$ B that promote a pro-inflammatory environment and in turn, stimulate more TSLP production (Saenz, Taylor, & Artis, 2008)

In contrast to the lTSLP, sTSLP in people does not bind with TSLPR as it is not able to block the binding of lTSLP to this receptor. Currently, it is unknown the sTSLP-receptor although, it has been seen to induce the phosphorylation of p38 $\alpha$  extracellular signal-regulated kinase 1 and 2 and lyn (Fornasa *et al.*, 2015). Studies performed in LPS-stimulated monocyte derived dendritic cells (moDCs) previously conditioned or not with sTSLP showed that the level of p38 $\alpha$  and extracellular signal-regulated kinase 1/2 phosphorylation was decreased when moDCs were preconditioned with sTSLP. This suggests that sTSLP might either desensitize the cells against further activation of the pathway or raise the threshold of moDC activation, regulating Toll-like receptor (TLR)–mediated signalling and inflammation (Fornasa *et al.*, 2015).

#### 1.2.1.2.2.2 Regulation of TSLP

Multiple factors can regulate TSLP transcription. Besides TLRs, nuclear factor-kappa B (NF- $\kappa$ B), IL-1b, -4, -13, activating protein 1 (AP-1) and TNF- $\alpha$  positively regulate TSLP (Ziegler & Artis, 2010). Conversely, a heterodimer formed between retinoid X receptors (RXR)  $\alpha$  and/or RXR $\beta$  and free vitamin D receptor (VDR) or the retinoic acid receptor- $\gamma$  and glucocorticoids act as co-repressors; via blocking AP-1 or NF- $\kappa$ B activity. Vitamin D3 activates both regions and induces sTSLP transcription but is not sufficient for lTSLP transcription (Tsilingiri, Fornasa, & Rescigno, 2017).

Exposure to pro-inflammatory cytokines such as IL-1 $\beta$  and TNF- $\alpha$ , IL-4 and IL-13 alone or in combination has also been shown to induce the expression of TSLP (Saenz *et al.*, 2008). Also, parasites and common allergens induce the production of IL-25, that in turn, promote TSLP production by IECs.

New studies have discovered that endogenous proteases regulate the activity of TSLP. Protease upregulation is common in active coeliac disease. The effect of biopsy supernatants from refractory, untreated, treated patients with coeliac disease and controls on TSLP integrity was assessed. The protease furine can degrade the long isoform producing fragments of 10 and 4 kDa that show different activity on mononuclear cells compared to the intact isoform (long isoforms stimulate INF- $\gamma$ , whereas fragments downregulate its expression) (Biancheri *et al.*, 2015). Another study found that these fragments enhance the pro T<sub>H2</sub> activity of mast cells compared to the full-length protein (Tsilingiri *et al.*, 2017).

#### 1.2.1.2.2.3 Function

In the intestine, TSLP expression is mediated by bacteria membrane cell contact and in health, promotes the generation and maintenance of non-inflammatory resident dendritic cells (DCs), particularly to preferentially promote T lymphocyte helper 2 (T<sub>H2</sub>) differentiation (Rimoldi *et al.*, 2005)

T<sub>H2</sub> cells are classified into two subtypes per their cytokine profile: non-inflammatory T<sub>H2</sub> cells that release IL-4, -5, -13 and -10 and pro-inflammatory T<sub>H2</sub> cells that release IL-13, -5 and tumour necrosis factor alpha (TNF- $\alpha$ ) (Liu, 2009).

During physiologically normal conditions, sTSLP promotes a T<sub>H2</sub>-DC non-inflammatory phenotype, particularly the development of natural regulatory T cells; whereas under pathologic conditions, lTSLP activates a pro-inflammatory phenotype (Fornasa *et al.*, 2015).

When IECs are exposed to viral, bacterial or parasites, toll-like receptors -2, -3, -8 and -9 can be activated and trigger the production of TSLP by epithelial cells. TLR-2 is involved in the recognition of cell-wall components, lipoteichoic acid and lipoprotein, from gram-positive bacteria; lipoarabinomannan, from mycobacteria; and zymosan, from yeast. TLR-3 recognizes double-stranded RNA (dsRNA), whereas TLR-8 recognizes single stranded RNAs, both associated with viral infection. Finally, TLR-9 recognizes specific unmethylated CpG motifs prevalent in microbial genomic DNA. When commensal bacteria trigger TLRs, the sTSLP is induced, that promote a T<sub>H2</sub>-DC non-inflammatory phenotype. If, instead, pathogens are the ones that induce TLRs, lTSLP is produced and inflammation is generated.

In contrast to constitutive production of TSLP by IECs, TSLP expression in innate cells requires stimulation, either by cytokines or directly by pathogens (Saenz *et al.*, 2008). The same scenario applies for TSLPR. DCs and mast cells appear to express TSLPR constitutively, however CD4<sup>+</sup> T cells require T-cell receptor (TCR) stimulation to induce receptor expression and become sensitive to TSLP treatment (Saenz *et al.*, 2008).

Intestinal DCs are located within the lamina propria, and their main function is presenting antigens to T lymphocytes, thereby bringing the innate and adaptive immunity together (Fogle & Bissett, 2007). Intestinal DCs can open tight junctions between adjacent IECs and send dendrites to sample bacteria directly from the intestinal lumen. Encounter with bacteria or bacterial products triggers the functional maturation of DCs that leads to the generation of powerful antigen-presenting cells (Rimoldi *et al.*, 2005).

DCs themselves make significant amounts of TSLP in response to pattern-recognition receptor (PRR) engagement, but little is known about the key downstream signals that induce and modulate this TSLP secretion from human DCs. Studies in vitro have shown that in human monocytes and monocyte derived DC (moDCs); stimulation with TSLP increases the production of chemokine 17 (CL17), a known ligand for C-C chemokine receptor type 4 (CCR4), which is expressed on polarized T<sub>H2</sub> cells. Further, TSLP

enhances the spontaneous maturation moDC cells, increasing their expression of co-stimulatory molecules and their ability to promote naïve CD4<sup>+</sup> T cell proliferation (Saenz *et al.*, 2008).

When DCs are stimulated with TSLP, they express the surface OX40 ligand (OX40L) that interacts with the OX40 receptor present in CD4<sup>+</sup>T cells. The OX40 ligand and its cognate receptor belong to the TNF and TNF-receptor superfamily; OX40 is preferentially expressed on activated CD4<sup>+</sup>T cells, whereas OX40L is mainly expressed by antigen-presenting cells. The interaction between OX40L and OX40 promotes the differentiation of naïve CD4<sup>+</sup>T cells into T<sub>H2</sub> cells, particularly T<sub>H2</sub>-DC non-inflammatory cells (Ziegler *et al.*, 2013).

Simultaneously, TSLP inhibits IL-12/23p40 production by DCs, which not only allows T<sub>H2</sub> differentiation but also blocks a T<sub>H1</sub> response, allowing a full expression of T<sub>H2</sub> phenotype (Rimoldi *et al.*, 2005). Consistent with this, DCs isolated from the gut-associated lymphoid tissue (GALT) of TSLPR<sup>-/-</sup> mice exhibited elevated expression of IL-12/23p40 under steady state conditions and T<sub>H1</sub> responses (Zaph *et al.*, 2007).

In addition, TSLP gives DCs the ability to produce interleukin-6 (IL-6) and a proliferation-inducing ligand (APRIL), which drives the development of IgA-producing plasma cells. Thus, the homeostasis of the intestine is preserved through the continuous generation of non-inflammatory helper T cells and antibody responses that limit bacterial entrance and promote an environment tolerant to commensal bacteria.

Studies in humans have shown that during allergic inflammation, human epithelial cells release large amounts of TSLP and activate DCs to induce the differentiation of allogeneic pro-allergic T<sub>H2</sub> cells and cytotoxic T cells, and induce homeostatic proliferation of autologous CD4<sup>+</sup>T cells (Ziegler *et al.*, 2013). So, it seems that the expression of TSLP must be tightly regulated to promote a non-inflammatory response and a tolerogenic environment following bacterial colonisation.

Recent *in vitro* studies in purified CD4<sup>+</sup>T cells have demonstrated a direct effect of TSLP on T cells whereby TSLP induced T cell IL-4 production (Saenz *et al.*, 2008). In turn, IL-4 acts on the T-cells to activate STAT-6 and up-regulate transcription factor (GATA-3) expression, causing T<sub>H2</sub> cell differentiation (Omori & Ziegler, 2007).



Most of the studies in people to date have focused on the long form of TSLP, while translation of a short form has been reported only recently. The expression pattern of both isoforms is dependent on tissue localisation and disease state (Fornasa *et al.*, 2015). So far, the short isoform has only been identified in the gut, skin, oral epithelium and salivary glands (Tsilingiri *et al.*, 2017).

New studies are beginning to explore novel functions of TSLP. *In vitro* experiments have shown that the C-terminal region of the TSLP protein possesses potent antimicrobial activity against bacteria and fungi.

Sonesson *et al.* investigated the TSLP antibacterial activity against *E. coli* ATCC 25922. Purified recombinant human TSLP was added at different doses and viable count assay, as well as radial diffusion assay (RDA) were performed. The results demonstrated a dose-dependent killing of *E. coli*. They also evaluated the effect of TSLP on other bacterial and fungal specimens (*Staphylococcus aureus* ATCC 29213, *Staphylococcus epidermidis* ATCC 14990, *Escherichia coli* ATCC 25922, *Pseudomonas aeruginosa* ATCC 27853, *Candida albicans* ATCC 90028 and *Candida parapsilosis* ATCC, *Pseudomonas aeruginosa* 27.1, *S. aureus* BD 14312 and *S. epidermidis* BD 1723). Significant antimicrobial activity was observed against *E. coli* and *P. aeruginosa*, whereas TSLP exerted only a moderate antimicrobial effect on *S. epidermidis* and *Candida*, and little or no antibacterial activity against *S. aureus*.

They also found that TSLP was degraded by bacterial proteases and by human neutrophil elastase into several low-molecular weight peptides. Interestingly, *S. aureus* V8 proteinase fragmented TSLP, releasing C-terminal TSLP-derived fragments that retained antimicrobial activities. That could suggest that although bacteria can degrade TSLP and compromise its cytokine response; the microbial activity could be still retained (Sonesson *et al.*, 2011).

Bjerkan *et al.* added sTSLP or lTSLP peptide at a concentration of 1.35 mM to microbial suspensions containing a diverse panel of bacterial and fungal species (*Streptococcus mitis*, *Escherichia coli*, *Enterococcus faecalis*, *Bacillus cereus*, *Staphylococcus epidermidis* and *Candida albicans*) for 2 hours and then plated them on agar. Colony-forming units per mL were determined after incubation overnight. Results showed that sTSLP exerted potent antimicrobial activity against all the tested species. Although, lTSLP exerted antimicrobial effects, this form was not able to inhibit the growth of *Enterococcus faecalis* and *Staphylococcus epidermidis*.

The C-terminal region has penetrating effect on bacterial membranes and although the C-terminal region is common for both isoforms sfTSLP exhibits the strongest antimicrobial peptide (AMP) activity (Bjerkkan *et al.*, 2015).

It also has been shown that physical damage can induce TSLP production and could be involved in tissue healing, although the precise mechanism has not been explored (Allakhverdi *et al.*, 2007) Evaluation for genes related to resolution of inflammation, found that mRNA levels of secretory leukocyte peptidase inhibitor (SLPI) were significantly up-regulated following DSS colitis in *TSLP<sup>+/-</sup>*, but not *TSLP<sup>-/-</sup>* mice. The inability to increase SLPI leads to unrestrained neutrophil elastase activity that oversees degradation of progranulin, a protein that induces IEC proliferation. Additionally, SLPI functions as a serine protease inhibitor, anti-microbial peptide, and inhibitor of NF- $\kappa$ B (Reardon *et al.*, 2011).

#### 1.2.1.2.2.4 Significance of TSLP during disease

In vitro and in vivo studies have confirmed that TSLP has a marked impact on regulating immune responses in health, but it also has a role in protection against enteric pathogens.

Mucosal DCs possess unique attributes that allow them to induce a non-inflammatory environment. They can preferentially promote T helper type 2 ( $T_{H2}$ ) (in contrast to monocyte-derived DCs [MoDCs], that express  $T_{H1}$ ) differentiation and to induce B cells to secrete immunoglobulin A (IgA). These properties in the intestine are given by the surrounding environment and by factors secreted by other cells such as IECs (Rimoldi *et al.*, 2005).

To assess the role of TSLP in  $T_{H2}$  polarization, Rimoldi *et al.* isolated DCs from healthy human colon tissue and incubated them first for 24 h with the  $T_{H1}$ -inducing pathogen *Salmonella enterica* serovar typhimurium (SL-WT) and then for 5 d with allogeneic naive CD4<sup>+</sup>CD45RA<sup>+</sup> T cells.

During *Salmonella* infection, only unconditioned, newly recruited DCs can mount protective  $T_{H1}$  responses. Exposure to *Salmonella* induces the IECs to release chemokine ligand 20 (CCL20), which attracts C-C

Motif Chemokine Receptor 6 (CCR6)-expressing immature DCs at various epithelial sites that have not been subjected to IEC conditioning (Sierro *et al.*, 2001).

As expected, mucosal DCs that are conditioned with TSLP, were unable to induce T<sub>H1</sub> responses but instead induced T cell responses that were strongly polarized toward T<sub>H2</sub>; releasing IL-10 but not IL-12 (Rimoldi *et al.*, 2005). In contrast, when TSLP expression on mucosal DCs was suppressed by small interfering RNA (siRNA); mucosal DCs lost their ability to drive T<sub>H2</sub> cell polarization and instead induced T<sub>H1</sub> cells (Rimoldi *et al.*, 2005).

They also showed that bacteria can induce TSLP up-regulation only when attached to the basolateral membrane of epithelial cells, suggesting that invasion of the epithelial cell barrier is necessary to produce large quantities of TSLP (Rimoldi *et al.*, 2005).

The researchers also suggested that the effect of TSLP on DCs was dose-dependent. Small doses of *Salmonella* inhibited the expression of IL-12, a T<sub>H1</sub> cytokine, thus promoting an environment permissive for T<sub>H2</sub> differentiation. Whereas large doses of *Salmonella* promoted the production of IL-12 by DCs and shifted to a protective T<sub>H1</sub> response (Rimoldi *et al.*, 2005). However, now it is known that the effect is not dose dependent but depends on the isoform expressed (Tsilingiri *et al.*, 2017).

*In vitro* studies in Caco-2 cells (human epithelial colorectal adenocarcinoma cells) showed that basal levels of expression of the 2 isoforms were identical to the one observed in primary intestinal epithelial cells, where sTSLP is mainly expressed. When caco-2 cells were stimulated with *Salmonella thypimurium*, levels of sTSLP mRNA and protein expression were downregulated with a concurrent increase of lTSLP. A similar pattern was found when cells were challenged with the adherent-invasive *E coli* strain LF82, although this strain only increased lTSLP but it did not have any effect on sTSLP. Notably, the *E coli* nonpathogenic strain MG1655 had no effect on both isoforms.

*In vitro* studies also showed that lTSLP-conditioned moDCs were inflammatory and significantly upregulated secretion of TNF- $\alpha$  from naive T cells, that resulted in the generation of the T<sub>H2</sub>-inflammatory phenotype both in the presence and absence of sTSLP. Whereas sTSLP-conditioned moDCs, resulted in impaired induction of interferon gamma (IFN- $\gamma$ ) secretion by T cells (Fornasa *et al.*, 2015)

2286

2287 Further *in vivo* studies in human and mice; also have begun to elucidate the role of TSLP in inflammatory  
 2288 responses. Although most of the studies have assessed the skin and lung; studies in the gastrointestinal  
 2289 tract also indicate an immunoregulatory function for TSLP (Saenz *et al.*, 2008).

2290

2291 During parasitic infections, T<sub>H2</sub> responses are vital to achieve parasite clearance and resolution of the  
 2292 clinical signs. IEC-intrinsic I $\kappa$ B kinase (IKK)-beta-dependent gene expression is a critical regulator  
 2293 of responses of dendritic cells and CD4<sup>+</sup> T cells in the GI tract. Mice with an IEC-specific deletion of IKK-  
 2294 beta failed to produce TSLP during *Trichuris* infection, leading to impaired protective T<sub>H2</sub> responses.  
 2295 Instead, they expressed increased levels of dendritic-cell-derived interleukin-12/23p40 and tumour necrosis  
 2296 factor-alpha, increased levels of CD4<sup>+</sup> T-cell-derived interferon-gamma and interleukin-17 (IL-17), and  
 2297 developed severe intestinal inflammation due to uncontrolled T<sub>H1</sub> and T<sub>H17</sub> inflammatory responses (Zaph *et al.*, 2007).

2299

2300 These findings were corroborated in another study, where monoclonal antibody-mediated neutralization of  
 2301 TSLP or deletion of the TSLPR in normally resistant mice to *Trichuris* infection, resulted in defective  
 2302 expression of T<sub>H2</sub> cytokines, elevated expression of interleukin (IL) 12/23p40, interferon (IFN) gamma, and  
 2303 IL-17A, development of severe intestinal inflammation and persistent infection.

2304

2305 Blockade of proinflammatory cytokines (p40 or INF- $\gamma$ ) during *Trichuris* infection mice restored expression of  
 2306 T<sub>H2</sub> cytokines, host protective immunity and worm expulsion; suggesting that protective T<sub>H2</sub> response can  
 2307 be compensated by TSLP- independent mechanisms (helminth-derived factors or production of IL-25 and  
 2308 IL-33). The TSLP promoter in humans and in mice contains a NF- $\kappa$ B site, and it is known that IKK- $\beta$   
 2309 activates NF- $\kappa$ B that in turn, enhances TSLP production. (Zaph *et al.*, 2007)

2310

2311 However, TSLP is not considered essential for the initiation of protective T<sub>H2</sub> cytokine responses in the  
 2312 intestine in other parasitic infections. TSLPR-knockout mice exhibited normal protective T<sub>H2</sub> responses after  
 2313 infection with *Heligmosomoides polygyrus* or *Nippostrongylus brasiliensis*. These parasites produce  
 2314 excretory-secretory (ES) products that are capable of directly suppressing dendritic cell (DC) production of  
 2315 IL-12p40, thus bypassing the need for TSLP (Massacand *et al.*, 2009).

2316

Thus, it seems that although TSLP can condition DCs toward T<sub>H2</sub> responses; in parasitic infestations the main role is to block the production of IL-12p40, limiting T<sub>H1</sub> responses and allowing the expression of other T<sub>H2</sub>-independent TSLP responses.

Recent clinical studies have also indicated that T<sub>H2</sub> and T<sub>reg</sub> responses induced by helminth infections in a TSLP partially dependent manner are beneficial in reducing the symptoms of IBD through the decrease of the inflammatory response. Helminths have evolved a wide variety of strategies to counteract inflammatory responses, promote immune suppression and prolong their survival. Parasites promote the generation of regulatory T cells and anti-inflammatory cytokines interleukin-10 and transforming growth factor- $\beta$ . This is a very effective strategy for subverting protective immune responses to prolong their survival in the host but has the bystander effect of modulating immune responses to unrelated antigens (Finlay, Walsh, & Mills, 2014).

#### 1.2.1.2.2.4.1 Role of TSLP in inflammatory bowel disease

Further studies have also evaluated the role of TSLP in the pathogenesis of inflammatory bowel disease (IBD) in mice and people.

A dextran sodium sulfate (DSS) mouse model of colitis, using TSLP receptor knockout (TSLPR KO) mice, showed a rapid onset and more severe clinical signs (pronounced weight loss and increased disease activity index) compared to wild type mice, because of unrestrained T<sub>H1</sub> responses. TSLPR KO mice exhibited severe thickened of the muscularis and inflammatory cell infiltration with loss of crypt architecture and epithelial cell sloughing and displayed elevated production of IL-12/23p40 and IFN- $\gamma$  (Spadoni, Iliev, Rossi, & Rescigno, 2012).

However, when Reardon *et al.* compared the severity of clinical signs between *Tslp*<sup>-/-</sup> and *Tslp*<sup>+/+</sup> mice in a model of DSS-colitis; macroscopic score, colon length, concentration of the acute phase protein serum amyloid A (SAA), and histological damage scores, were not different among groups (Reardon *et al.*, 2011).

In this model, the difference was found during the recovery period. *Tslp*<sup>+/+</sup> mice began to recover 9-10 days post-DSS, whereas TSLP deficiency prevented recovery from inflammation resulting in death (Reardon *et al.*, 2011).

Another study using recombinant lactic acid bacteria (that deliver TSLP), showed that TSLP exerted a protective effect in the mouse model of DSS-induced colitis (Aubry *et al.*, 2015; Aubry *et al.*, 2016).

Aubrey *et al.*, constructed *Lactococcus lactis* MG1363 strain producing TSLP (LL-TSLP) and investigated the effect of its administration on DSS-induced colitis model in mice. In acute DSS-induced colitis, LL-TSLP or *Lactococcus lactis* wild type (LL-WT) was administered orally 7 days before and 4 days after the induction of colitis followed by only LL-WT until the end of the experiment. LL-TSLP delayed the disease Activity Index and lowered histological score and colonic INF- $\gamma$  production (Aubry *et al.*, 2015; Aubry *et al.*, 2016).

To test the involvement of TSLP in the healing process, two groups of mice were treated 7 days before colitis or during the inflammation as well as the recovery period with LL-WT or LL-TSLP. In the DSS-recovery model, LL-TSLP induced a better protective effect if the strain was administered at the beginning of the colitis due to its anti-inflammatory effect than later on, where no significant effects were seen. Treatment with LL-TSLP, increases the amount of TGF- $\beta$  secreted by T cells in mesenteric lymph nodes in healthy mice and exhibited a higher recruitment of T<sub>reg</sub> in the intestinal mucosa (Aubry *et al.*, 2015; Aubry *et al.*, 2016).

Additionally, *Winnie* mice carrying a missense mutation in the mucin 2 gene (*Muc2*) that results in a epithelial barrier defect (endoplasmic reticulum (ER) stress, reduced goblet cell numbers, a depleted mucus layer, increased intestinal permeability) and spontaneous colitis, exhibited lower mRNA TSLP levels compared to wild-type mice, suggesting that deregulation of TSLP expression could be related to exaggerated inflammatory responses due to the lack of regulatory T cells production. However, these mice exhibit strong T<sub>H1</sub>-, T<sub>H2</sub>-, and T<sub>H17</sub>-cell response and there is a possibility that the low TSLP levels are a consequence of the disease and not part of the pathogenesis (Eri *et al.*, 2011).

In mice, the short TSLP isoform has not been described or annotated in RefSeq. However, the C-terminal region of mouse TSLP shares 40% identity with human sTSLP so its effect during experimental colitis in mice was assessed. C57/BL6 mice were injected intraperitoneally with 200 µg of sTSLP every other day. Treated mice with sTSLP displayed significantly reduced weight loss and faster recovery compared to untreated mice. Other group of mice were injected intraperitoneally with sTSLP 18 and 2 hours before injection with lipopolysaccharide (LPS). Prophylactic injection with sTSLP led to a significant decrease of IL-6, IL-12/23p40, and IFN-γ levels in a dose-dependent manner, suggesting a protective role of sf TSLP during gut-induced inflammation.(Fornasa *et al.*, 2015)

Consistent with findings in mice, colonic epithelial cells from patients with Chron's disease (CD) who exhibit a strong T<sub>H1</sub> response, have a lower expression of TSLP gene; whereas patients with ulcerative colitis (UC), where a T<sub>H2</sub> response predominates, have a higher expression of the TSLP (Fornasa *et al.*, 2015; Rimoldi *et al.*, 2005).

Expression of TSLP mRNA in intestinal mucosa was undetectable in nearly 70% of the patients with Crohn disease (six of nine patients). IECs from patients with Crohn disease are not able to control IL-12 release by bacteria-activated DCs and have a propensity to drive T<sub>H1</sub> responses without stimulation (Rimoldi *et al.*, 2005). Another *in vitro* study, compared supernatants from primary healthy intestinal epithelial cells to supernatants from intestinal epithelial cells from Crohn's disease patients in their capacity to stimulate DCs to induce Foxp3 expression in naive T cells. Only cells from healthy patients boost the capacity of CD103<sup>+</sup> DCs to induce Foxp3 expression in naive T cells and this was attributed to the presence of TSLP (Spadoni *et al.*, 2012) In other study, expression of both TSLP isoforms were assessed in patients with ulcerative colitis and celiac disease (Fornasa *et al.*, 2015).

Intestinal mucosa from patients with ulcerative colitis (UC) (n = 13) was compared to healthy tissue (at least 7 cm away from neoplastic tissue) of patients undergoing surgery for colon cancer (n = 26). Also, intestinal mucosa from patients with celiac disease (patients under treatment (gluten-free diet) n = 15; patients with active disease n = 13) was compared to intestinal mucosa from healthy subjects (n = 13) (Fornasa *et al.*, 2015).

It was found that ITSLP and TSLPR were significantly upregulated in tissues from patients with UC compared with levels seen in healthy colonic mucosa, whereas sTSLP expression was unchanged (Fornasa *et al.*, 2015).

In coeliac disease, both isoforms were significantly downregulated in patients with untreated disease compared with those with treatment and biopsy specimen from healthy subject (Fornasa *et al.*, 2015)

These results suggest that TSLP is constitutively expressed by gut IECs and that pathological conditions may result in the deregulated expression of TSLP.

Genes such as chemokine receptor type 5 (CCR5), C-X-C motif chemokine 10 (CXCL10), IL-4, colony stimulating factor 1 (CSF1), chemokine ligand 16, 24, 2 (CCL16, CCL24, CCL2) and IL-6 are influenced by TSLP, and have been implicated in the pathogenesis of IBD, particularly in relation to the inflammatory response and epithelial damage (Ziegler *et al.*, 2013).

TSLP has been also studied in other types of intestinal inflammation. Mice models of food allergy and oral tolerance showed that TSLP enhances pathogenic allergic  $T_H2$  responses by acting directly on CD4<sup>+</sup>T lymphocytes but it was not required for primary sensitisation or tolerance to food antigens (Noti *et al.*, 2013). Likewise, there is a strong association between a gain-of-function polymorphism in the gene that encodes TSLP and the development of eosinophilic esophagitis (EoE) in children (Sherrill *et al.*, 2010).

Collectively, all these studies demonstrate that during pathologic states up-regulation of TSLP, or induction of the long isoform in people, promotes a  $T_H2$ -inflammatory phenotype; whereas down-regulation of TSLP results in exuberant  $T_H1$  responses. However, there are not many studies that relate specifically to the TSLP isoforms. To date, it has shown that lTSLP is upregulated in conditions such as atopic dermatitis, ulcerative colitis, EoE and smokeless tobacco- exposed oral mucosa (Fornasa *et al.*, 2015). Furthermore, it is now believed that conditions leading to a reduction in sTSLP expression could lead to an uncontrolled  $T_H1$  type of responses, such as in patients with CD. By contrast, when lTSLP is upregulated, such as in patients with ulcerative colitis, a  $T_H2$  component is induced, presumably through NF- $\kappa$ B activation. Thus, the ratio sTSLP:lTSLP is important to the balance of the intestinal immune system (Fornasa *et al.*, 2015).



The role of TSLP in dogs has been only investigated in atopic dermatitis, where TSLP has been shown to be upregulated in the skin of dogs with the disease compared to healthy dogs, but its expression and its role in the intestine has not been investigated yet (Klukowska-Rotzler *et al.*, 2013).

### 1.2.1.3 The role of bacteria in Inflammatory Bowel disease

Chronic enteropathies in dogs share several characteristics with inflammatory bowel disease (IBD) in people; IBD is a complex and common disease and several studies have been performed in regards to the role of gut microbiota and host-microbe pathways during and after disease (Cerquetella *et al.*, 2010).

In both species, dysbiosis is commonly found. However, it has been shown that the organisms that are implicated in disease in people do not necessarily appear to play a big a role in dogs. For example, *Fusobacterium* is considered harmful in people whereas in dogs is considered to have a protective role (Vazquez-Baeza, Hyde, Suchodolski, & Knight, 2016). *Fusobacterium* genus exhibits a higher abundance in carnivores, so differences in diet, physiology and genetics could play a role in this inverse relationship.

#### 1.2.1.3.1 The role of bacteria in inflammatory bowel disease in people

In people, there are two forms of IBD, Crohn's disease (CD) and ulcerative colitis (UC); CD is a more severe form of the disease and affects the small as well as the large intestine whereas UC only affects the large intestine.

*In vitro* studies of inflamed mucosal samples from patients with CD and UC have shown that co-culture with non-pathogenic *E coli* strains strongly stimulates the release of pro-inflammatory cytokines (TNF, INF- $\gamma$ , IL-6, IL-23p35 and IL-17) and chemokines (IL-8, chemokine (C-X-C motif) ligand (CXCL)1 and CXCL2), and the activation of the inflammatory cascade. The inflammatory process also activates matrix metalloproteinases that in turn provoke matrix degradation, epithelial cell detachment and ulceration (Manichanh *et al.*, 2012).

Additionally, diversion of the faecal stream induces inflammatory remission and mucosal healing in the excluded intestinal segment, whereas infusion of the intestinal contents reactivates the disease in patients with CD (Manichanh *et al.*, 2012) (Wright *et al.*, 2015).

Initially, microbiome studies focused on patients with well-established IBD using only faecal samples. Although dysbiosis was identified, with decreased overall diversity and increased number of bacteria with pathogenic and pro-inflammatory potency (e.g. *E. coli*, *Salmonella*, *Proteus*, *Klebsiella*, and *Shigella*), no associations between disease severity/treatment and a single bacterial phyla/family were found (Manichanh *et al.*, 2012).

Later studies focused on longitudinal and new-onset patient populations, which have provided a lot of information. Gevers *et al.*, studied paediatric patients in the early stage of the disease, particularly Chron's disease, and focused on mucosal samples. Significant dysbiosis existed in these children even at early stages, and species diversity was inversely correlated with disease severity. Additionally, disease status correlated strongly with an increased abundance of Enterobacteriaceae, Pasteurellaceae, Veillonellaceae, and Fusobacteriaceae and decreased abundance of Erysipelotrichales, Bacteroidales, and Clostridiales. From this study, a formula called the microbial dysbiosis index (MD-index) which is calculated as the log of [total abundance in organisms increased in CD] over [total abundance of organisms decreased in CD] was developed. The MD-index strongly correlated with clinical disease severity, and could distinguish healthy subjects from patients with CD, but could not predict the most appropriate therapeutic approach or response to treatment (Gevers *et al.*, 2017).

#### 1.2.1.3.2 The role of the microbiota in chronic enteropathies in dogs

Molecular studies have been conducted in different gastrointestinal diseases in dogs in order to unravel the organisms responsible for disease; however, identification of specific pathogens or dysbiosis has remained challenging. Findings of studies are variable depending on the method used, sample collected and disease.

- Studies performed using faecal samples

One study, using fluorescence *in situ* hybridization (FISH) probes, found *Bacteroides* counts to be significantly increased in Beagle dogs with chronic diarrhoea (Jia *et al.*, 2010). In contrast, using 454-pyrosequencing of the chaperonin 60 (cpn60) gene, a significant decrease of the proportion of *Bacteroidetes* was observed in dogs with diarrhoea (aetiology was varied and included bacterial, parasitic infections and undetermined origin) (Chaban, Links, & Hill, 2012). Other study, using terminal restriction fragment length polymorphism (T-RFLP) analysis and quantitative PCR, found an increased abundance of *Clostridium perfringes*, *Enterococcus faecalis* and *E. faecium* in dogs during diarrheic episodes (Bell *et al.*, 2008).

Regarding IBD, results have been discordant as well. In one study, evaluation of faeces from dogs demonstrated that there were no significant differences between dogs with idiopathic IBD and control dogs (J. S. Suchodolski *et al.*, 2012).

Another study compared the faecal microbiota in dogs with IBD, dogs with intestinal lymphoma, and healthy dogs. Eight dogs with IBD (active disease), eight dogs with intestinal lymphoma, and fifteen healthy dogs were included in the study and samples were analysed by 16S rRNA gene next-generation sequencing. Dogs were from different breeds, privately owned and came from diverse environments. Dogs that had been treated with corticosteroids, antibiotics, or prebiotics/probiotics within 2 weeks prior to the study were excluded. When compared with healthy dogs, dogs with intestinal lymphoma had significant increases in organisms belonging to the Eubacteriaceae family. The proportion of the family Paraprevotellaceae and the genus *Porphyromonas* was significantly higher in dogs with IBD compared to healthy dogs, although their clinical significance and functions are not known yet. Within the phylum Actinobacteria, an increased proportion of *Actinomyces* was observed in IBD compared to intestinal lymphoma (Omori *et al.*, 2017). They suggest that the increase in Eubacteriaceae might be involved in the increased number of T<sub>regs</sub> in canine intestinal lymphoma through overproduction of butyrate but further studies are needed.

A study by Minamoto *et al.*, 2015 assessed the serum metabolite profiles and faecal microbiota in dogs with IBD before and after treatment (n=12) and compared these to healthy dogs (n=10). Microbial diversity was decreased in dogs with IBD (steroid-responsive dogs) compared to the healthy control dogs. No major differences in microbial communities were observed between the IBD-PRE and IBD-POST groups,

although diversity decreased with treatment. Serum metabolites 3-hydroxybutyrate, hexuronic acid, ribose and gluconic acid lactone were significantly more abundant in dogs with IBD, suggesting oxidative stress in dogs with the disease compared to healthy controls. Changes in microbial diversity and serum metabolites persisted even in the face of clinical resolution of the clinical signs (Minamoto *et al.*, 2015).

In a recent study, faecal samples from 85 healthy dogs and 65 dogs with chronic signs of gastrointestinal (GI) disease, and inflammatory changes confirmed by histopathology were analysed and an increase of Gammaproteobacteria (specifically Enterobacteriaceae) was significantly associated with IBD; whereas Firmicutes such as *Clostridium* and *Ruminococcus* were associated with healthy samples. The authors created a faecal dysbiosis index (DI), similar to the paediatric MD that was negatively correlated with alpha diversity. This index was later developed as a qPCR panel that consisted of total bacteria (16S) and *Faecalibacterium*, *Turicibacter*, *Escherichia coli*, *Streptococcus*, *Blautia*, *Fusobacterium* and *Clostridium hiranis*. A negative DI indicates normobiosis, whereas a positive DI indicates dysbiosis. For a threshold of 0, the DI based on the combined dataset achieved 74% sensitivity and 95% specificity to differentiate healthy from CE dogs (Vazquez-Baeza *et al.*, 2016).

- Studies performed using intestinal mucosa samples

Again, results were discordant among studies. In one study, there was a difference in members of Proteobacteria between healthy dogs and dogs with IBD in duodenal biopsies, but not in faeces (J. S. Suchodolski *et al.*, 2012).

In German shepherd dogs with chronic intestinal inflammation, mucosal-adherent microbiota were analysed in small intestinal brush samples and showed significant over-representation of *Bacilli* and *Erysipelotrichi* when compared to healthy Greyhounds (Allenspach *et al.*, 2010). However, the results differed from other studies where a more diverse population of dogs with chronic intestinal inflammation was evaluated. In these studies, the most frequently observed changes in the mucosa-adherent microbiota in the small intestine were increases in members of the Proteobacteria, especially *Escherichia coli*-like organisms or *Pseudomonas* with concurrent decreases of members of Firmicutes and Bacteroidetes (J. S. Suchodolski, Xenoulis, Paddock, Steiner, & Jergens, 2010) (Xenoulis *et al.*, 2008).

Cassmann *et al.*, 2016 performed *in situ* hybridization analysis (FISH) in ileal and colon mucosal biopsies from dogs with CE and healthy dogs. They found that dogs with CE harboured more ( $P < 0.05$ ) mucosal bacteria belonging to the *Clostridium-coccoides/Eubacterium rectale* group, Bacteroides, Enterobacteriaceae, and *Escherichia coli* compared to healthy controls; and the numbers of total bacteria adherent to the colonic mucosa were associated with clinical disease severity in CE dogs ( $P < 0.05$ ) (Cassmann *et al.*, 2016).

### 1.2.3 Treatment of Chronic enteropathies in dogs

Currently, treatments of chronic enteropathies in dogs are directed to either counteract the inflammation or the dysbiosis associated with the disease. The main therapeutic modalities consist of dietary, antibiotic and glucocorticoid treatments. The chosen therapeutic modality depends on the severity of the clinical signs and the lack of response to prior treatments. Usually, the first line of choice consists in the modification of the diet to a hypoallergenic or hydrolysed antigenic load. This is then followed by antibiotic treatment and finally followed by immunosuppressive treatment. According to the clinical response the affected animals can be classified into diet – responsive (DRE), antibiotic- responsive (ARE) and steroid-responsive (SRE) dogs.

#### 1.2.3.1 Diet-responsive enteropathy

Diet constitutes the first choice of treatment and many dogs appear to respond to this treatment, even if previous dietary trials have been unsuccessful. Diets can be homemade, hypoallergenic or hydrolysed (Mandigers, Biourge, van den Ingh, Ankringa, & German, 2010) (Marks, Laflamme, & McAloose, 2002). Many of the commercial diets also modify fibre, digestibility and other macronutrients in their intestinal diets. Dietary fibre supplementation is a well-known strategy to influence the concentration of SCFAs and gut microbiota. The type of the fibre ingested as well as the composition of the intestinal microbiota, determine which type of SCFA is produced. While resistant starch promotes the production of relatively more butyrate, pectin leads to more acetate and propionate production. Regarding the gut microbiota, bacteria of the Bacteroidetes phylum produce more acetate and propionate, whereas bacteria of the Firmicutes phylum predominantly produce butyrate (Macfarlane & Macfarlane, 2003).

Additionally, omega-6 fatty acids, especially arachidonic acid, are potentially pro-inflammatory, whereas omega-3 fatty acids, such as  $\alpha$ -linolenic acid from plants and eicosapentaenoic acid and docosahexaenoic acid from fish, are anti-inflammatory (Calder, 2005).

In experimental IBD, using a model of chemically induced colitis, selenium supplementation prevented tissue damage through the upregulation of the expression of mitochondrial transcription factors: nuclear respiratory factor-1 and mitochondrial transcription factor-A; and by interfering in the expression of key genes responsible for inflammation (Tirosh, Levy, & Reifen, 2007).

Recent research has emphasised the profound effect that diet have on the gut microbiome. Long-term as well as short-term dietary changes impact the intestinal bacterial flora by influencing the substrata available for them (Albenberg & Wu, 2014). In recent years, although studies have evaluated the effect of diet on the microbiota of healthy dogs (Hang *et al.*, 2013) (Kerr, Forster, Dowd, Ryan, & Swanson, 2013) (Gonzalez-Ortiz, Castillejos, Mallo, Angels Calvo-Torras, & Dolores Baucells, 2013), the nature of these changes is not known in dogs with chronic enteropathies. In IgA-deficient German Shepherd Dogs with small intestinal bacterial overgrowth, dogs fed with diets supplemented with 1% fructo-oligosaccharides exhibited fewer aerobic/facultative anaerobic bacterial colony-forming units in fluid from the duodenum/proximal part of the jejunum, as well as in the duodenal mucosa (Willard *et al.*, 1994).

Recently, Kalenyak *et al.* compared the intestinal mucosal microbiota in dogs diagnosed with idiopathic inflammatory bowel disease and dogs with food-responsive diarrhea before and after treatment. All dogs received a standardized elimination diet for 14 days. The elimination diet was a selected protein diet based on codfish and rice only, with codfish being a novel source of protein for all dogs enrolled in the study (specially produced by (Biomill SA, Granges-Marnand, Switzerland). If clinical signs improved significantly or resolved within the first 14 days of feeding the diet, dogs were assigned to the FRD group. If not, dogs received additional steroid treatment and were assigned to the IBD group (Kalenyak, Isaiah, Heilmann, Suchodolski, & Burgener, 2018).

Twenty-four dogs were included in the study: fifteen of these dogs responded to the dietary modification only (FRD group) and nine dogs needed additional immunosuppressant treatment (IBD group). The FRD

group of dogs was reassessed 4 weeks after starting the elimination diet, whereas the IBD group of dogs was re-evaluated at 10 weeks after starting treatment with steroids (Kalenyak *et al.*, 2018).

Alpha diversity analysis did not show any significant difference between dogs with FRD and dogs with IBD neither in the duodenum nor colon, or within each disease group or before and after treatment Beta diversity analysis also did not revealed any significant difference between groups. Analysis of the specific bacterial taxa in dogs with FRD and dogs with idiopathic IBD showed a differential abundance of mainly bacteria belonging to the phylum of Proteobacteria (e.g. *Bilophila* in the duodenum, *Burkholderia* and Unclassified\_Helicobacteraceae in the colon of FRD dogs; Unclassified\_Neisseriaceae and Unclassified\_Rhizobiales in the duodenum of IBD dogs) (Kalenyak *et al.*, 2018).

Regarding treatment status, in dogs with FRD, only *Bacteroides* showed a significant abundance after treatment. In dogs with IBD, there was an increase in the abundance of mainly members of the phylum Proteobacteria (unclassified genus of the family Neisseriaceae in the duodenum; unclassified genus of the family Oxalobacteraceae, and the genera *Citrobacter* and *Burkholderia* in the colon) and one member of the phylum Firmicutes (family Planococcaceae in the colon) before treatment. Only an unclassified genus of the family Bradyrhizobiaceae was found to be enriched in the duodenum of dogs with IBD post-treatment (Kalenyak *et al.*, 2018).

Although some bacteria such as Proteobacteria have shown inflammatory potential, other members with no pathogenic role have been reported; so the relevance of the findings remains unclear. Also, the washout period of two weeks could have an effect as some studies in humans have suggested a 4-week wash-out period (Langdon, Crook, & Dantas, 2016).

Thus, diet modification not only helps to alleviate the clinical signs associated with IBD, through modification of the gut microbiota, but also by modifying the inflammatory response itself and even the digestion process.

### 1.2.3.2 Antibiotic- responsive enteropathy

Antibiotics are commonly used in humans as well as in animals due to their effects on the intestinal microbiota. Antibiotic activity has a profound effect on the intestinal microbiota and it is important to

characterise the changes in bacterial diversity and species richness, their function and the resilience of the intestinal microbiota against antibiotic related modifications (Thompson-Chagoyan, Maldonado, & Gil, 2005).

Antibiotic therapy causes changes in the gut microbiota that are asymmetric, as some bacteria are more susceptible than others and fitness varies among microorganisms. Some individuals return to pre-treatment states, whereas other individuals have an alteration in the composition of the microbiota to alternative stable states. The magnitude of the disturbance, speed and extent of recovery depend on drug related factors such as class, pharmacokinetics, pharmacodynamics and range of action, as well as their dosage, duration and administration route; and on host-related factors such as the initial composition of the microbiota, age and lifestyle (Dethlefsen, Huse, Sogin, & Relman, 2008). Studies in people have shown, that most of the taxa returned to baseline within 30 days of cessation of antibiotic treatment. However, some individuals failed to recover for up to 6 months (De La Cochetiere *et al.*, 2005).

In dogs, there is limited information about the effect of antibiotics on the intestinal microbiota. Suchodolski *et al* (2009), studied the effect of the macrolide antibiotic tylosin on the microbial diversity in the canine small intestine of healthy dogs (n=5, Beagle dogs). The response to tylosin administration varied among each dog, however there was a persistent reduction in diversity and bacterial richness in 40% of dogs and changes in GI microbiota were not reversed at 4 weeks (J. S. Suchodolski *et al.*, 2009).

Another study assessed the effect of metronidazole on the microbiota of healthy dogs using next-generation sequencing (Illumina MiSeq). Metronidazole is also often used as part of treatment of intestinal diseases. The drug was administered twice daily at 12.5 mg/kg to a group of five dogs for 14 days. Faecal samples were collected before and after administration (day 0 and 14), and 14 and 28 days after cessation (day 28 and 42). Metronidazole induced an alteration of the intestinal microbiota, noticeable at day 14. The proportions of Bacteroidaceae, Clostridiaceae, Fusobacteriaceae, Lachnospiraceae, Ruminococcaceae, Turicibacteraceae, and Veillonellaceae decreased, while Bifidobacteriaceae, Enterobacteriaceae, Enterococcaceae, and Streptococcaceae increased and turned to their initial proportions by day 42, after a 2-weeks of cessation period (Igarashi *et al.*, 2014).



These studies have focused on healthy dogs, but currently it is not known what effects antibiotics have during periods of intestinal disease, where the bacterial composition and the microenvironment may be profoundly altered. Because of the nature of an ecosystem, the changes that are induced by an antibiotic on a set of organisms will affect directly or indirectly others. So, the initial microbial structure will shape the changes in microbiota during the antibiotic course.

Along those lines, a recent study in dogs with CE showed that a combination therapy of metronidazole at 20 mg/kg q12 h and prednisone at 1 mg/kg/day administered for 60 days followed by a 30-day washout interval did not alter the proportions of several bacterial groups (as detected with quantitative PCR), including Bacteroidetes, Firmicutes, Fusobacteria, *Bifidobacterium*, *Lactobacillus*, *Faecalibacterium*, *Escherichia coli*, and *C. perfringens*. However, the effect was assessed 30 days following discontinuation of treatment, so short-period effects were not excluded (Rossi *et al.*, 2014).

Antibiotics have been proven to be efficacious in inducing and maintaining IBD remission in people so it can be suggested that modifying the pattern of intestinal bacteria may change intestinal disease status. Some antibiotics provide a so-called 'eubiotic' effect, by increasing abundance of beneficial bacteria (Gevers *et al.*, 2017). However, the long-term exposure of antibiotics can lead to side effects as severe diarrhoea, abdominal pain or drug-related allergies and the potential risk of developing microbial resistance or nosocomial infections (Dethlefsen *et al.*, 2008).

Additionally, the use of antibiotics is highly controversial due to the emergence of widespread antimicrobial resistance. Currently, the European Crohn's and colitis organisation (ECCO) guidelines for UC advise the use of antibiotics only if infectious complications are suspected or ongoing, and before surgical interventions. The ECCO-European Society for Paediatric Gastroenterology Hepatology and Nutrition (ESPGHAN) guidelines for the management of paediatric IBD has similar recommendations. Similarly, current ECCO guidelines do not recommend the use of antibiotics for uncomplicated CD, but only in the case of sepsis, abdominal or perianal abscess, or bacterial overgrowth. The British Society of Gastroenterology (BSG) guidelines suggest the use of antibiotics mainly for secondary complications in IBD; nevertheless, the use of antibiotics in short-term treatment of colonic CD may be used for patients with refractory disease or contraindications to other therapeutic options supported by stronger evidence.

### 1.2.3.2.1 Use of Oxytetracycline during intestinal inflammation

Oxytetracycline is a drug that is used in dogs with chronic enteropathies. Oxytetracycline belongs to the tetracycline family, which is divided into three main groups consisting of the natural product, semi-synthetic compounds and chemically modified tetracyclines. Oxytetracycline belongs to the first group and it is a natural product of the metabolism of *Streptomyces* spp; it is a broad-spectrum antibiotic used in infections caused by both gram-negative and gram-positive bacteria. However, the widespread use of oxytetracycline in the past has resulted in an increase in the acquired resistance by many bacterial groups and nowadays its use is limited to few clinical circumstances such as rickettsia infections and chronic enteropathies (Plumb, 2011).

Oxytetracycline is a bacteriostatic agent that inhibits cell growth by blocking transduction; it binds to receptors of the 30S ribosomal bacterial subunit and prevents amino-acyl tRNA from binding to the A site of the ribosome. This binding prevents the addition of amino acids to the elongating peptide chain, blocking protein synthesis. Tetracyclines are also believed to reversibly bind to the 50S ribosomal subunit and alter cytoplasmic membrane permeability in susceptible organisms. In high concentrations, tetracyclines can also inhibit protein synthesis in mammalian cells (Giguere, 2006) (Plumb, 2011).

#### 1.2.3.2.1.1 Pharmacokinetic properties

In dogs, most of the tetracyclines are absorbed from the gastrointestinal tract but systemic availability can vary widely among oral preparations. Most of the absorption takes place in the stomach and upper segment of the small intestine. The absorption of oxytetracycline can be decreased significantly by the presence of food, particularly milk and its products, with reductions of 50% or more. The presence of bivalent and trivalent cations (calcium, magnesium, iron, aluminium) in the stomach, decrease the absorption by chelating tetracyclines. Oxytetracycline is approximately 20-25% bound to plasma proteins. The volume of distribution is approximately 2.1 L/kg in small animals (Giguere, 2006) (Plumb, 2011).

Because of chelation with calcium, tetracyclines become bound at active sites of ossification and in developing teeth. Tetracyclines can cross the placenta, enter the foetal circulation and amniotic fluid, and can be secreted in milk, where they reach concentrations approximately those of serum (Plumb, 2011).

Oxytetracycline is excreted unchanged primarily via urine; as glomerular filtration is the mechanism of excretion, impaired renal function can increase its elimination half-life. Additionally, oxytetracyclines can be excreted via biliary and nonbiliary routes into the gastrointestinal tract where they undergo enterohepatic circulation, with most of the compound excreted in bile being reabsorbed from the intestine. This process contributes to the half-life of 6 -10 hours. The portion of the drug that remains in the gastrointestinal tract may become inactive after chelation with faecal materials (Plumb, 2011).

The exact role of the antimicrobial properties of oxytetracycline in CE is not known. There may be a direct effect on intestinal pathogens, or a more general modulation of the intestinal microbiota, with subsequent improvement of digestion and absorption by altering the production of bacterial metabolites. Likewise, oxytetracycline may decrease the competition for nutrients and vitamins, alter the cross talk with the intestinal immune system and modify cellular metabolism that can lead to an improvement of the clinical signs. It has been shown that the proposed mode of action of an antibiotic on different bacterial genera *in vitro* does not necessarily match the *in vivo* effects (Walsh, Guinane, O'Toole, & Cotter, 2014).

In addition to its antimicrobial properties, studies *in vivo* and *in vitro* have shown that tetracyclines can affect many cellular functions and depending on the member, they have shown to have antioxidant, anti-inflammatory, angiogenic and anti-apoptotic properties. All these effects together with their antimicrobial properties mean oxytetracycline may be beneficial in diseases such as IBD.

Most of the studies conducted so far, have used tetracyclines belonging to the second and third group, so little information is available regarding the non-antimicrobial effects of oxytetracycline. The transcription factor NF- $\kappa$ B is considered a master regulator of inflammation and immune responses; NF- $\kappa$ B activity is controlled by chemical modifications such as phosphorylation and by interactions with other proteins, notably members of the I $\kappa$ B kinase family (Ci *et al.*, 2011). In a model of allergic airway inflammation in mice, oxytetracycline inhibited phosphorylation and degradation of I $\kappa$ B $\alpha$ , which then depressed NF- $\kappa$ B p65 translocation from the cytoplasm to the nucleus. Additionally, in this model oxytetracycline treatment significantly decreased the concentration of interleukin IL-4, IL-5 and IL-13; reduced the expression of the chemokines chemokine (C-C motif) ligands (CCL) such as CCL11, of the chemokine receptors type 1 (CCR1) and type 3 (CCR3); decreased the level of phospho-Akt which is a direct downstream effector of

phosphoinositide 3-kinase (PI3K) and decreased the inflammatory cell infiltration in the airways. It is important to highlight that the dose used in those experiments was much higher than the one used in clinical practice (Ci *et al.*, 2011).

Studies conducted in a rat model of Trinitrobenzene sulfonic acid (TNBS) colitis showed divergent results in the preventive and curative effect of the different members of the tetracycline family. In the preventive protocol, antibiotic administration (minocycline or tetracycline) was started 1 week before TNBS instillation and continued up to the day before the sacrifice, which took place 2 days after the induction of the colitis. In the curative protocol, the antibiotic was administered from the day of the colitis induction to 7 days after the induction of the colonic damage. Macroscopic and microscopic analysis of the colonic samples showed that only minocycline had an evident anti-inflammatory effect. However, colonic myeloperoxidase (MPO) activity, a marker of neutrophil infiltration, was reduced by both antibiotics and the colonic depletion of the antioxidant peptide glutathione was counteracted by both antibiotic treatments. The assessment of inflammatory cytokines revealed that tetracycline reduced TNF- $\alpha$ , IL-6 and IL-1B, although to a lesser extent than minocycline. Both antibiotics down regulated the expression of the chemokines: monocyte chemotactic protein-1 (MCP-1), cytokine-induced neutrophil chemoattractant-1 (CINC-1) and intercellular adhesion molecule-1 (ICAM-1) in the same manner. Although tetracycline and minocycline have a similar antimicrobial spectrum, tetracycline didn't show the same efficacy in ameliorating the intestinal inflammation at a macro or microscopic level, even though there were changes to some inflammatory cytokines and chemokines. The effects were only evident in the curative protocol not in the preventive one (N. Garrido-Mesa *et al.*, 2011).

Studies *in vitro* of Caco-2 cells (human epithelial colorectal adenocarcinoma) and in RAW 264.7 cells (mouse macrophages) showed that minocycline reduced the levels of IL-8, IL-17 and nitric oxide synthase (iNOS) expression, whereas tetracycline did not significantly affect this cytokine production. The T<sub>H17</sub> pathway and its related cytokines IL-23 and IL-17 have been described to play a key role in the development of chronic intestinal inflammation; IL-17 contributes to neutrophil migration, expansion and function, and enhances dendritic cell maturation, T cell priming and the production of inflammatory mediators from different cell types. Furthermore, IL-17 can synergise with other cytokines to stimulate the release of additional pro-inflammatory cytokines. When the barrier function was studied, only minocycline

reversed the decline of colonic mucus thickness during colitis. It increased the expression of both mucin 2 (MUC-2) and trefoil factor 3 (TFF-3) (N. Garrido-Mesa et al., 2011).

Tetracyclines can combat oxidative stress, mop up free radicals and inhibit an excessive inflammatory response secondary to antigenic stimulus. Bacterial LPS causes marked upregulation and release of IL- $\beta$ 1, tumour necrosis factor alpha (TNF- $\alpha$ ) and nitric oxide (NO), and this can be inhibited by minocycline. Investigation conducted in human aortic smooth muscle cell showed that doxycycline was more effective in inhibiting matrix metallo-proteinases (MMPs) than minocycline, by upregulating the MMP inhibitor tissue inhibitor of metallo-proteinase-1 (TIMP-1) (Garcia-Alvarez & Oteo, 2010) (Soory, 2008).

Minocycline and doxycyclines have been shown to inhibit angiogenesis by preventing endothelial growth and activity of collagenase. Inhibition of syntheses of MMP-8 and of MMP-9 by endothelial cells in response to doxycycline and to a lesser extent by the chemically modified tetracyclines (CMTs) has been demonstrated at the mRNA level. Elastin degradation and MMP activity are reduced by doxycycline in a model representing aneurismal disease. In a cell culture model of corneal epithelial cells treated with LPS, doxycycline inhibited the degree of formation of IL-1 $\beta$  to an extent that was like that of corticosteroids; it also prevents endotoxemia *in vivo*. Doxycycline can cause dose dependent reduction in the production of cytokines IL-1 $\beta$ , IL-6, TNF- $\alpha$  and interferon gamma (IFN- $\gamma$ ) (Soory, 2008).

Therefore, these studies lead to questions regarding whether the actual therapeutic effect of oxytetracycline in dogs with chronic enteropathies is due to its antimicrobial properties or its anti-inflammatory properties.

### 1.2.3.3 Steroid-responsive enteropathy

Glucocorticoids (GCs) constitute potent anti-inflammatory agents and are first-line therapeutics for the induction of remission in moderate to severe IBD. In dogs, the most common corticosteroids used in IBD are dexamethasone, budesonide, prednisone and prednisolone, with the latter the most commonly used (K. W. Simpson & Jergens, 2011).

The same study that evaluated the effect of metronidazole in healthy dogs also assessed the effect of prednisolone in the faecal microbiota of five healthy dogs. Prednisolone was administered at a dose of 1.0

mg/kg for 14 days. No effect of prednisolone was observed in either bacterial diversity or phylogeny (Igarashi *et al.*, 2014).

The effect of prednisolone on gut microbiota during disease was also evaluated but in conjunction with metronidazole in dogs with IBD. After 30 days following cessation of treatment, no changes were observed in major bacterial groups. However, higher dosages are occasionally used in animals and that could have an effect in the gut microbiome or reduction of the inflammatory response could impact on bacterial composition (Igarashi *et al.*, 2014).

#### 1.2.3.3.1 Mechanism of action

Glucocorticoids mediate their actions by binding the intracellular glucocorticoid receptor GR $\alpha$  present in the cytoplasm of cells. This allows the formation of a homodimer of two activated GRs which is transported into the nucleus and mediates the transcriptional regulation of specific target genes by binding to specific DNA sequences that enhance or inhibit the promoter region of certain genes (Farrell & Kelleher, 2003).

The cellular effects of GCs are dose dependent. A low doses GCs exert an anti-inflammatory function, inhibiting phospholipase A2, the release of pro-inflammatory cytokines and stabilize the granulocyte cell membranes. In patients with IBD, it has been shown that glucocorticoids induce the expression of potent anti-inflammatory molecules such as IL-10, annexin 1 and the inhibitor kappa B alpha (I $\kappa$ B $\alpha$ ) and repress the expression of several genes such as nuclear factor  $\kappa$ B (NF- $\kappa$ B) and activator protein 1 (AP-1), that constitute potent pro-inflammatory molecules (Feldman E, 2004) (Viviano, 2013).

At high doses, GCs exert an immunosuppressive effect and impair macrophage function by down regulating Fc receptor expression, decreasing responsiveness to antibody-sensitized cells and decreasing antigen production. GCs suppress T-cell function and induce apoptosis of T cells and with chorine use B-cell antibody production may be inhibited in some dogs (Viviano, 2013).

In people, it has been shown that besides its anti-inflammatory properties, glucocorticoid therapy restores increased intestinal permeability in collagenous colitis. Previous assumptions considered that it was a secondary effect to the overall attenuation of the inflammatory response. However, studies in various

endothelial and non-intestinal epithelial cell lines provided evidence for the ability of glucocorticoids to stimulate tight junction sealing in the absence of inflammatory stimuli. At the intestinal level, a recent report described upregulation of multiple tight junction proteins by corticosteroids in immature enterocytes as part of their well-known ability to promote intestinal maturation (Fischer *et al.*, 2014). Another *in vitro* study conducted in Caco -2 cells showed that under normal conditions, corticosteroids did not have a significant effect on barrier function but that under the effect of TNF- $\alpha$ , corticosteroid helped to restore the permeability and epithelial barrier. Glucocorticoids also inhibit the transcription of the myosin light chain kinase (MLCK); MLCK catalyses the phosphorylation of myosin light chains that stimulates the energy-driven contraction of the prejunctional actinomyosin ring thereby opening tight junctions and increasing permeability. Additionally, it has been shown that glucocorticoids promote the production of mucus that can help to restore the epithelial intestinal barrier (Boivin *et al.*, 2007).

A defective intestinal epithelial tight junction barrier has been proposed as a crucial factor that contributes to or triggers intestinal inflammation. In people, clinical studies have shown that defects in the epithelial barrier in patients with CD precede the onset of active disease and are a positive predictive factor for early recurrence after treatment. Additionally, a direct correlation exists between normalisation of intestinal permeability and clinical improvement (Boivin *et al.*, 2007).

#### 1.2.3.3.2 Pharmacokinetic properties

Glucocorticoids vary in their potency, route of administration and duration of action. Prednisolone is an intermediate acting corticosteroid with a biologic half-life of 12-36 hours and is administered orally. Prednisone is a prodrug that is metabolised to its active form (prednisolone). Glucocorticoids are unbound to plasma proteins. Prednisolone has four times the anti-inflammatory activity of endogenous cortisol but only 0.3 the mineralocorticoid activity (Feldman E, 2004) (Plumb, 2011).

#### 1.2.3.3.3 Side-effects

As every cell in the body possesses glucocorticoid receptors, the use of these drugs can induce multiple side effects that depend on the dosage, type of glucocorticoid administered and duration of the treatment. This detrimental side effects prevent their long-term use in many animals, and many become resistant to glucocorticoid therapy (Feldman E, 2004) (Plumb, 2011) (Black, 1988). (Table1.1)

2906 Table 1.1: Side-effects of corticosteroids. ACTH: Adrenocorticotrophic hormone, TSH: Thyroid-stimulating  
 2907 hormone, FSH: Follicle-stimulating hormone, LH: Luteinizing hormone, T4: Thyroxine, T3: Triiodothyronine,  
 2908 ADH: Vasopressin, ALT: Alanine aminotransferase C-ALP: corticosteroid- alkaline phosphatase.  
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Body System	Side-effect
Cardiovascular	Reduction capillary permeability Vasoconstriction Increase blood pressure Increase fragility of blood vessels
Nervous system	Lower seizure threshold Decrease response to pyrogens Stimulation of appetite
Endocrine	Suppression ACTH, TSH, FSH, LH and prolactin <ul style="list-style-type: none"> <li>▪ Males: testicular atrophy, decreased libido</li> <li>▪ Females: Anestrus, clitoral hypertrophy</li> </ul> Reduction in conversion of T4 to T3 <ul style="list-style-type: none"> <li>▪ Subclinical hypothyroidism</li> </ul> Inhibition of insulin binding to insulin-receptors <ul style="list-style-type: none"> <li>▪ Diabetes Mellitus (long-term)</li> </ul>
Renal	Interference with the activity of ADH Inhibition COX-1 <ul style="list-style-type: none"> <li>▪ Polyuria- Azotemia</li> </ul>
Haematology	Neutrophilia Monocytosis Lymphopenia
Metabolic electrolytic	- Influence water and electrolyte balance (mineralocorticoid activity) Liver <ul style="list-style-type: none"> <li>▪ Increase gluconeogenesis</li> <li>▪ Hepatomegaly</li> <li>▪ Increase ALT, C-ALP</li> </ul> Increase lipolysis



■ Hypercholesterolemia	
Musculoskeletal	Protein catabolism
	Bone and cartilage growth inhibition
Ophthalmic	Increase intraocular pressure
	Cataracts
	exophthalmos
Gastrointestinal	Ulceration
	Inhibition ulcer healing
Dermatologic	Skin atrophy
	Alopecia
	Abdominal enlargement
	Inhibition healing process
	Calcinosis cutis

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Despite the long list of clinically significant side effects associated with the use of glucocorticoids, the effective modulation of both the innate and acquired immune systems and their relative rapid onset of action make them the first choice in dogs with severe clinical manifestations of CE. Glucocorticoids given for days or weeks rarely have prolonged significant clinical effect if administered correctly. However, the degree of individual variation in response to GC administration among dogs and cats is significant so each animal must be evaluated independently.

Conversely, glucocorticoids inhibit cyclooxygenases, which in turn suppress the production of prostaglandins and can lead to damage to the intestinal mucosa (Black, 1988). It is also unknown how the glucocorticoids affect the microbiota. Maybe, the decrease of inflammation could favour a friendly environment for commensal bacteria or the restoration of the mucosal barrier impedes pathogenic bacteria penetrating into the mucosa. *Escheria coli*, particularly adherent-invasive *E. coli* strains are enriched in patients with ulcerative colitis. The increase in Enterobacteriaceae may indicate the preference of this clade for an inflammatory environment. In boxers with granulomatous colitis, mucosa adherent-invasive *E. coli*, play a key role in the pathogenesis of the disease. However, in boxers, the persistence of *E.coli* is associated with a neutrophil killing defect, and so immune suppression is not effective (Craven, Mansfield, & Simpson, 2011).

### 1.3 Specific objectives and Hypothesis of the project

Most of the studies done to date, have characterized the microbiota only at a single time-point. Due to the large amount of factors that can influence the bacterial diversity in a given individual, it is difficult to correlate specific microbial signatures with disease. In these cases, longitudinal studies that relate changes of the microbial community structure with the individual biology, have the potential to offer a better overview of the changes in the microbiota associated with health, disease, treatment and remission periods.

The project has the potential to help determine how the gut microbiota is established, how it evolves over time and how stable it is during health. Additionally, has the potential to determine whether the microbial composition (especially immunoglobulin-coated bacteria) predicts subsequent risk of activity flares, whether the luminal flora predicts response to therapy and whether the treatments help to restore the core

microbiome in the host. Clinical improvement is not always followed by significant improvement of the histopathologic lesions, so maybe changes in the microbiota could be related to improvement.

The aims of the projects are:

- To assess the development of the microbiota from birth in puppies, and its association with the maternal microbiome. We hypothesize that (1) at birth puppies exhibit a low diversity and stability in their gut microbiota that increases as the puppies grow. (2) The gut microbiota of puppies is closely associated with the maternal faecal and oral microbiota. (3) Weaning and introduction of solid food are key determinants that drive the maturation of the gut microbiota into an adult-like phenotype.
- To assess the dynamics and stability of the microbiota over time and age in healthy dogs. We hypothesize that (1) the diversity and stability of the microbiota vary according to age, reaching its maximum complexity and stability in adulthood. (2) The maximum complexity and stability is reached in adulthood. (3) There are permanent fluctuations in microbiota composition over time. (4) The stability and diversity of the microbiota declines in senior dogs.
- To characterise highly immunoglobulin A and G-coated bacteria in dogs with chronic enteropathies. We hypothesize that (1) dogs with CE possess a higher proportion of highly coated IgA-bacteria compared to healthy dogs and they can distinguish between members of the microbiota that impact disease susceptibility or severity in dogs. (2) Resolution of the clinical signs is associated with the eradication of these highly coated IgA-bacteria. (3) Ig<sup>+</sup> bacteria in faeces are similar to bacteria found in small intestinal mucosa and cytology brush.
- To characterise the disease-associated changes in the microbiome and the relationship between the treatment, the microbiome and the resolution of the clinical signs. We hypothesize that (1) the intestinal microbiota of dogs with CE during periods of remission is the reflection of the core microbiota in pre-clinical states and differs from healthy individuals. (2) The intestinal microbiota of dogs with chronic enteropathy during periods of remission is highly unstable and could predispose to relapse of the clinical signs.

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- To characterise the expression of TSLP in the intestine and its correlation with disease activity. We hypothesize that (1) TSLP is constitutively expressed in the intestine of dogs in response to commensal bacterial colonization. (2) TSLP expression is deregulated in dogs with CE. (3) TSLP activity is correlated with disease severity and remission of clinical signs.

## Chapter 2: Characterisation of gut microbiota at different age stages and its stability over time

### 2.1 Introduction

The gut microbiota is essential for maintaining health as exerts several beneficial effects on the host and interacts with various organs and systems in the body, including the brain, liver, bone and cardiovascular system. However, it can cause harmful effects and it has been implicated to multiple conditions such as diabetes, IBD and even behavioural disorders (Hooda, Minamoto, Suchodolski, & Swanson, 2012; Hooper & Macpherson, 2010). Currently, it is not known whether the changes in the microbiota are primary and could be considered as causative factors of the condition (Manichanh *et al.*, 2012) or whether they are a consequence of the disease itself.

The first step in understanding the symbiotic relationship between gut microbes and their host consists in the characterization of the baseline healthy microbiota.

Various host factors such as genetic background, age, sex, environmental exposures and diet contribute to the development and maintenance of the core intestinal microbiota (Forbes *et al.*, 2011). Marked age-associated changes in the gut microbiota occur throughout the life of an individual human. In infants, the gut microbiota is quite volatile (exhibit fluctuation in the number and genera) and low in richness, with an increase in diversity and stability over the first three years. In adults the gut microbiota is highly diverse and stable whereas in the elderly, stability and diversity decrease again; (Lozupone, Stombaugh, Gordon, Jansson, & Knight, 2012).

Although there have been some studies in dogs (Handl *et al.*, 2011) (Buddington, 2003; Guard *et al.*, 2017), the effects of age on the gut microbiota and how stable it is at different age-stages is not known. It is crucial to define the healthy gut microbiota, not only to understand the biological significance of the different patterns of microbial colonisation associated with disease, but for the establishment of new diagnostic, therapeutic and preventive strategies based on the gut microbiota. In people, the so-called "First thousand days", represent a true window of opportunity for microbiota reprogramming towards a healthy direction (Arbolea *et al.*, 2018).

The aims of this study are to (1) Characterise the dynamics, stability, richness and evenness of the gut microbiota at different age-stages and to (2) characterise the succession of the microbiota in puppies.

## 2.2 Methodology

### 2.2.1 Animals

Faecal samples from a total of twenty-nine healthy dogs were analysed. Adult dogs were privately owned and lived in diverse home environments and were on a variety of commercial diets. Most of the dogs belonged to staff of the University of Melbourne with the exception of dog1 (group 3\_12 month). Dog 2 and 3 from the group of young adults (1\_7 year) and dog 1 and 2 from the group of senior dogs (7\_10 year) were related (brother and sister). Criteria of inclusion included up to date vaccination and deworming status, and no signs of gastrointestinal disease or medication within the previous three months. Owners were requested to fill in a questionnaire at the beginning of the study, were asked to maintain the diet and daily routine as constant as possible and keep a record of any change during the duration of the study. We collected detailed information regarding diet (type, treats, changes within the previous three months, coprophagia, rubbish) health status, previous diseases, travel history, level and type of exercise, body condition score and increase or decrease in body weight in the previous three months. The level of exercise was considerate mild (walkings less than 30 minutes per day), moderate (walkings more than 30 minutes per day or running twice per week) and severe (running every day or more than three times per week). Animals were divided in 5 groups according to age: from 1 day to 10 weeks old (Puppies), from 3 months old to 1 year (Growth), from 1 to 7 year old (Young adult); from 8 to 10 years old (Mature) and older than 10 years old (Senior). Two litters were followed from birth until 10 weeks of age. Detailed information of the mothers was obtained as mentioned above, including previous pregnancies and size of the litters. Mothers were antibiotic-free in the previous three months. For detailed information, see tables 2.1, 2.2 and 2.3. The diet transition scheme in puppies consisted in exclusive milk during the first three weeks, then a combination of milk ad libitum and Advance puppy growth® during 2 weeks, then milk once to twice per day and Advance puppy large growth® during 1 week, after which they are completely weaned. Owners gave written consent and were able to withdraw their animals from the trial at any point.

All experimental procedures were approved from the Animal Ethic Committee of University of Melbourne. (Animal Ethics Committee approval AEC # 1413272.1).

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3047 Table 2.1: Metadata information of mothers  
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Animal	Breed	Age	Diet	Worming	Previous litters
<i>Litter 1</i>					
Mother	Labrador Retriever	5 years	Advance adult active/ transition to advance puppy growth®	Popental®	2 litter (8-6 puppies)
<i>Litter2</i>					
Mother	Labrador Retriever	2 years	Advance adult active/ transition to advance puppy growth®	Popental®	1 litter (6 puppies)

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3055 Table 2.2: Metadata information of puppies

Animal	Breed	Delivery method	Sex/neutering status	Diet
<i>Litter1</i>				
Puppy 1a	Labrador Retriever	Vaginal	Male/Entire	Breast-feeding
Puppy 1b	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding
Puppy 1c	Labrador Retriever	c-section	Male/Entire	Breast-feeding
Puppy 1d	Labrador Retriever	c-section	Male/Entire	Breast-feeding
Puppy 1e	Labrador Retriever	c-section	Female/Entire	Breast-feeding
<i>Litter2</i>				
Puppy 2a	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding
Puppy 2b	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding
Puppy 2c	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding
Puppy 2d	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding
Puppy 2e	Labrador Retriever	Vaginal	Female/Entire	Breast-feeding

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3060 Table 2.3: Metadata Information of growth, adult, senior and mature dogs  
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Animal	Breed	Age	Sex/neutering status	BCS	Diet	Treats	Coprophagia/ rubbish	Worming	Level of exercise	Travel history	Other pets at home
	<i>Dog 10w-1y</i>										
Dog1	Havanese	6m	Female/entire	4/9	Artemis small breed puppy®	Chicken wings/necks (once per week)  Liver treats (three small pieces per day)	NO	Drontal®	Moderate	None	1 ferret
Dog2	Australian kelpie cross	10m	Female/spayed	5/9	Hills puppy®	Dental chews  1/ once per month	Dog and rabbit faeces	Dontral®	Moderate	None	None
Dog3	Pomeranian x terrier cross	11m	Female/spayed	4/9	Advance dry food®	meat	NO	Drontal®	Moderate	None	None
Dog5	Griffon Bruxellois	12w	Male/entire	4/9	Advance puppy®	Chicken, liver treats  Small piece/three times per week	Other dog's food	Drontal®	Moderate	None	1 dog, 2 cats, 2 guinea pigs
	<i>Dogs 1-7 y</i>										
Dog1	Labrador Retriever	2	Male/neutered	4/9	J/d hills®	Pig ears, biscuits, cooked meat. 1 per day	NO	Drontal®	High	National	1dog, 2 cats, 1 guinea pig
Dog2	German wirehaired pointer	6	Male/entire	4/9	Advance dry food®	Biscuits, raw meat, chicken necks, sardines  Amount varies according to activity	Cow manure, kangaroo	Interceptor®	Moderate	National	2 dogs
Dog3	German wirehaired pointer	1	Female/entire	4/9	Advance dry food®	Biscuits, raw meat, chicken necks, sardines  Amount varies according to activity	NO	Interceptor®	Moderate	National	2 dogs

Dog4	Terrier cross	5	Female/spayed	3/9	Hills adult light®	Pig ears, biscuit 1/twice per week	NO	Drontal®	Intense	Europe	2 cats
Dog5	Nova Scotia Duck Tolling Retriever	3	Female/spayed	5/9	T/d Hills®	Pig ears 1/once per week	NO	Sentinel®	Intense	None	None
Dog6	Cairn Terrier	5	Female/spayed	7/9	T/d Hills®	Carrot, brocoli ocassionally	NO	Sentinel®	Moderate	None	None
Dog7	Australian kelpie	3	Female/spayed	8/9	Hills large breed® + tinned food	Homemade food, cat food Amount varies per day	Eats everything	Sentinel®	Moderate	Unknown	1 dog, 2 cats
Dog8	Australian kelpie	4	Female/spayed	5/9	J/d Hills®	Meatballs, liver treats 1/three times per week	NO	Canex®	Intense	None	2 cats
<b>Dogs 7-10</b>											
Dog1	Leonberger	8	Female/spayed	4/9	Black hawk chicken rice®	Pig ears, raw chicken frames, 1 once per week	NO	Drontal®	Moderate	New Zealand	1 dog
Dog2	Leonberger	7	Female/spayed	4/9	Black hawk chicken rice®	Pig ears, raw chicken frames, 1 once per week	NO	Drontal®	Moderate	New Zealand	1 dog
Dog3	Australian kelpie	9	Female/spayed	4/9	Royal canin®	Dental chews, cow/sheep bones 1/ three times per week	NO	Comfortis®	Moderate	National	1 cat, reptiles
Dog4	Cocker spaniel	9	Female/spayed	4/9	Z/d ultra Hills®	Dental chews 1/twice per week	Dog and cat faeces. Facial tissues and toilet paper	Drontal®	Moderate	National	1 dog, 1 cat
Dog5	Australian cattle dog cross	8	Male/neutered	4/9	J/d Hills®	Pig ears, biscuits, cooked meat 1 per day	NO	Drontal®	Moderate	National	1 dog, 2 cats, 1 guinea pig
<b>Dog &gt;10y</b>											
Dog1	Siberian husky cross	11	Male/neutered	5/9	J/d Hills®	Homemade food, biscuits 1/ once per day	Dog, cat and possum faeces	Drontal®	Mild	Canada	None
Dog2	French poodle	15	Female/spayed	3/9	K/d Hills®	None	None	Drontal®	Mild	National	None

## .2.2 Samples

Faecal samples from the two litters were collected at day 1 post birth, then every week until adoption (between 6 – 8 weeks of age) and then at 10 weeks of age. From one puppy, it was possible to collect an extra sample at week 14. Faecal samples from mothers were collected during the last week of pregnancy, first week post-partum and after weaning. Buccal swabs were also collected from mothers, one sample during the last week of pregnancy and another during the first post-partum week. From the remaining dogs, one faecal sample was collected once per month during 6 months. Some dogs were withdrawn early from the study.

One faecal sample was collected per dog during daily walk, upon voiding without contacting the environment (to avoid transfer genetic material) or via rectal examination. Samples were kept in ice immediately (most of samples were collected by the researcher and owners were provided with a faecal kit, containing gloves, sterile container and ice), aliquoted and stored at -80°C before DNA extraction.

For the collection of the buccal swabs, mothers were not allowed to eat or drink for at least one hour prior to having the sample collected and were isolated from other dogs, toys, rawhides and other possible sources of oral contamination for at least 1 hour prior sampling (they were taken for a walk). Just prior to sampling, the dog's mouth was checked to see that no food or other material were present. The tip of a sterile cotton applicator was rotated along the inside cheek for 20 seconds and another sample was taken from the hard palate and tongue (rotated during 5-10s). The swab was hold for ten seconds to let it dry (do not blow) and then was put on ice for transportation and stored at -80°C within 2 hours.

### 2.2.3 Faecal DNA extraction

Faecal DNA was extracted using the Power soil DNA isolation kit (MoBio® laboratories). 250 mg of faeces (except in neonates where the first samples weighted between 80 mg – 220 mg) were processed using the protocol for DNA isolation, detailed in the manufacturer's instructions, with some modifications. Briefly, the faecal pellet was added to a glass bead tube (0.1mm) and 750 µL of bead solution and 60 µL of C1 solution were added. Then, samples were incubated at 94°C during 10 minutes. Afterwards, tubes were placed in the PowerLyzer® 24 and were run at 3000 RPM for 45 seconds. Subsequent steps were done as indicated

by the manufacturer. Extracted DNA was eluted from the spin column in 100µl of C6 solution from Mobio® (10 mM tris-Cl pH 8.0- 8.5). Extracted DNA was quantified and checked for purity, based on UV absorption ratios 260:280 nm and 260:230 nm, on a ND1000 spectrometer. Samples with highly aberrant absorption ratios were re-extracted.

Samples from puppies and mothers were extracted using the alternative protocol for DNA for low biomass soil that incorporates the phenol:chloroform method as indicated by the manufacturer. Briefly, the faecal pellet was placed into a glass bead tube (0.1mm) and 550 µL of bead solution followed by 200 µL of phenol:chloroform:isoamyl alcohol pH 7-8 (Amresco® cat. no. 0883) and 60 µL of C1 solution were added. Samples were mixed using a vortex for 10 minutes and then centrifuged at 10,000 x g for 30 sec. Next, supernatant or upper aqueous layer was removed and placed in a new tube. 100 µL of C2 solution and 100 µL of C3 solution were added; samples were mixed and incubated for 5 minutes on ice. After this step, protocol was done as recommended in the classic protocol.

#### 2.2.4 Buccal swabs DNA extraction

Two sites were sampled: the oral mucosa and tongue. DNA was extracted using the QIAamp® DNA microkit and the protocol for isolation of total DNA from surface and buccal swabs of QIAamp® DNA investigator. Carrier RNA was added to buffer AE to a final concentration of 1 ug/ul. The cotton swab was separated from its shaft using a sterile blade and placed in a 2ml centrifuge tube and DNA was isolated in accordance with manufacturer's instructions. DNA was finally eluted in 30 µl of AB buffer (provided in the kit). DNA purity was measured by spectrophotometry at 260 nm. Purity of DNA was assessed using the ratio of OD<sub>260/280</sub> with a ratio of 1.8–2.0 being of good purity. As sample contained RNA carrier, DNA concentration was measured using Qubit® 3.0 fluorometer.

All samples including the faecal and swabs were quantified using the Qubit® 3.0 Fluorometer (Thermofisher®) and checked for DNA integrity in agarose gel (loading ~100-150ng of genomic DNA per sample).

#### 2.2.5 16S DNA sequencing

The V4 hypervariable region of the bacterial 16S rRNA gene (16Sv4) was PCR-amplified with primers 515F-OH1 (GTGACCTATGAACTCAGGAGTCGGACTACNVGGGTWTCTAAT) and 806R-OH2 (CTGAGACTTGACATCGCAGCGTGYCAGCMGCCGCGGTAA); ~100ng of DNA were loaded directly to a PCR master mix (20  $\mu$ L reaction/sample). This primer pair amplifies the region 533–786 in the *Escherichia coli* strain 83972 sequence (greengenes accession no. prokMSA\_id:470367). Cycling conditions consisted of 95°C for 3 minutes followed by 35 cycles of 95°C for 15 seconds, 60°C for 30 seconds, 72°C for 30 seconds and 72°C for 7 minutes. Individual "barcode" sequences of 8 base pairs were added to each sample so they could be distinguished and sorted during data analysis. Specificity and amplicon size were verified by gel electrophoresis and the amplicons were checked and measured using the Agilent High Sensitivity DNA assay in Agilent 2100 Expert (samples for checking were chosen randomly). The 600 cycle kit was used for paired end sequencing (2x 311 cycles) using Illumina MiSeq. Raw data was demultiplexed and quality filtering using default parameters of the open source software package Quantitative Insights into Microbial Ecology (QIIME).

Taxonomic assignment was done using Operational taxonomic units (OTUs) using a threshold of 97% similarity and the open-reference OTU approach. Measurements of richness (Alpha ( $\alpha$ ) – diversity) and similarity (beta ( $\beta$ )-diversity) were done using Microbiome and Phyloseq package from R. The correlation coefficient, using the Pearson's correlation method, was calculated per each pair of samples. Coefficients of  $\geq 0.98$  were considered as appropriate. For posterior analysis, samples were merged.

Gut microbiota composition can be characterized based on the microbiota abundance and diversity. Abundance of certain bacteria defines the amount of these bacteria in the community; whereas diversity is a measure of variety present. Diversity consists of species richness and evenness. Richness is a measure of a number of different species present and evenness is the relative abundance of the species. Alpha-diversity is a measure of diversity in individual level and beta diversity between subjects.  $\beta$  diversity also refers to the turnover of the community composition from place to place or from time to time.

Alpha-diversity was calculated using the Observed index (richness), the Inverse Simpson, Shannon and Fisher index (richness and evenness).  $\beta$ -diversity was assessed qualitatively using unweighted UniFrac; and quantitatively using Bray-Curtis and Weighted UniFrac.

Raw data was loaded into QIIME 1.9.0 pipeline, and barcodes were extracted (Caporaso *et al.*, 2010). Next, paired-end reads were merged using the paired-end read merger program (PEAR) (Zhang, Kobert, Flouri, & Stamatakis, 2014). Subsequently, data was loaded into QIIME 1.8.0 to demultiplex and to filter out low quality sequencing reads by applying default settings, a minimum number of consecutive high quality base calls to include a read of 0.90 (default: 0.75) and a minimum acceptable Phred score of 33. Samples were run in duplicate.

After filtering, from a total of 486 samples, we obtained a total of 7.567.890 millions of high-quality sequences with a number of reads ranging from 48 to 40382 per sample.

In order to keep only sequences from the same region of the 16S rRNA gene, samples were aligned using the Silva database from MOTHUR (Schloss *et al.*, 2009). Next, we used USEARCH algorithm to cluster similar filtered sequences into Operational Taxonomic Units (OTUs) based on a 97% similarity threshold (Edgar, 2010). Taxonomy assignment to the unqiued sequences was done by aligning the sequences against Greengenes template alignment (DeSantis *et al.*, 2006). The script make\_phylogeny\_py was used to create phylogenetic tress using the FastTree program in Qiime (Price, Dehal, & Arkin, 2009).

To calculate the relative abundance of bacteria at different phylogenetic levels, the OTU table was rarified at 5000 sequences per sample. Five samples were excluded from the analysis: Mother Group: Two samples prepartum (Tongue: Mother1, 48 sequences; Oral mucosa: Mother1, 585 sequences); Puppies group, one sample (Puppy1b, litter1, Week\_5, 1666 sequences), Young Adults group: one sample (Dog2, Month\_2, 716 sequences) and Mature group: one sample (Dog5, Month\_2, 4741 sequences).

To calculate richness and alpha diversity indexes, faecal (included meconium) and oral samples were analysed separately. Samples with less than 500 reads (counts) were removed. All Faecal samples contained more than 500 counts so none of them was removed (Total number of samples: 226). For the oral microbiota, one sample was removed (prepartum tongue sample, mother1, 48 reads). Additionally, taxa that were not present in any of the samples were removed. Richness was calculated based on the number of observed OTUs and diversity was calculated using Shannon, inverse\_simpson and Fisher indexes. All diversity indexes take into account the abundance and evenness of the species present. However, each index gives different information and has advantages and disadvantages.

- Shannon Index: it measures the entropy and uncertainty of the sampling outcome (it assumes all species are represented in a sample and that they are randomly sampled). The Shannon diversity index is nonparametric, which allows for the simultaneous measurement of a richness estimation from heterogeneous samples and takes into account both the relative abundance and total number of species in a microbiome community. The Shannon index increases as both the richness and the evenness of the community increase (Zhu, Wang, Reyes-Gibby, & Shete, 2017).

- Inverse Simpson's Index: It describes the probability that that two randomly chosen reads from a sample of the given community come from different taxa. A higher value for the inverse Simpson's diversity index represents greater diversity. It is is considered a dominance index as it gives more weight to dominant or common species. Thus, the inverse Simpson's diversity index is biased when estimating numerous species that have low abundance within a community (Zhu et al., 2017).

The Shannon index emphasizes the richness component, while Simpson's index stresses the evenness component (Zhu et al., 2017). A Shannon index implies higher uncertainty in correctly predicting the identity of the next species chosen at random for the given sample

- Fisher Index: it describes the relationship between the number of species and the number of individuals of the corresponding species by logarithmic distribution. Compared with the Shannon index and Simpson's index; this index is not influenced by the sample size and is less affected by the abundance of the most common species. Fisher's  $\alpha$  index depends more on the number of species of intermediate abundance. A higher value of Fisher's  $\alpha$  index represents more diversity within the sample. The estimation of Fisher's  $\alpha$  index gives an unbiased estimation of diversity (Zhu et al., 2017).

To calculate between-sample diversity, the OTU matrix was normalized to account for uneven column (sample) sums, through Cumulative sum scaling (CSS) using the command `normalize table.py` available in Qiime. Weighted and unweighted Unifrac metrics were applied to build phylogenetic distance matrices (Lozupone *et al.*, 2012). We also assessed the Bray\_curtis dissimilarity index.

3219  
 3220 The Bray–Curtis dissimilarity index measures the distance between two microbiome samples A and B; by  
 3221 accounting for the abundance information (i.e. diversity). The Bray–Curtis index varies between 0 and 1. If  
 3222 the two microbiome samples A and B are identical in composition, then the index is 0 (i.e., coincidence). If  
 3223 there are no species in common between the two samples, then the index is 1 (i.e., complementarity).

3224  
 3225 UniFrac distance: The unique fraction (UniFrac) measures the difference between microbial samples by  
 3226 incorporating the phylogenetic information. The phylogenetic distance is measured between sets of taxa as  
 3227 the fraction of branch length in the phylogenetic tree that leads to descendants from either sample A or B,  
 3228 but not both. The unweighted UniFrac distance considers whether an OTU is present or absent in  
 3229 a sample but not the abundance information, so it does not account for the evenness component, and  
 3230 cannot address how much of the observed community is attributable to the phylogenetic tree. Weighted  
 3231 UniFrac on the other hand, account for the abundance information of taxa sets, by weighting the branch  
 3232 lengths with differences in abundance.

3233  
 3234 To perform microbial differential abundance testing between the different groups, we used the extension  
 3235 DESeq2 from the Phyloseq package (version 1.18.1) (McMurdie & Holmes, 2013) (Love, Huber, & Anders,  
 3236 2014). DESeq2 estimates variance-mean dependence in count data from high-throughput sequencing  
 3237 assays and test for differential expression based on a model using the negative binomial distribution. Due  
 3238 to the small number of dogs in some of the groups, we focused the analysis on comparing puppies with  
 3239 young adults; puppies with their mothers, mothers with young adults and young adults with mature dogs.  
 3240 Samples with less than 500 reads (counts) were removed. The DESeq function performs multiple-inference  
 3241 correction with the Benjamini-Hochberg method.

## 3242 3243 2.2.6 Statistical Analyses

3244  
 3245 A Shapiro-Wilk test of normality was performed on alpha diversity and Richness to check whether the data  
 3246 was normally distributed or not. As the data was not normally distributed, differences in alpha diversity were  
 3247 calculated using the non-parametric Kruskal-Wallis test (more than two levels) and pairwise comparisons  
 3248 were calculated using the Wilcoxon rank sum test. Tests were carried out using the microbiome R package  
 3249 (version 1.0.2). Graphics were created using the package ggpubr in R. We use the following convention for



symbols indicating statistical significance: ns:  $p > 0.05$ , \*:  $p \leq 0.05$ , \*\*:  $p \leq 0.01$ , \*\*\*:  $p \leq 0.001$  and \*\*\*\*:  $p \leq 0.0001$ .

Differences in beta diversity were calculated in normalized data (CSS OTU table) based on Permutational Multivariate Analysis Of Variance using Distance Matrices and the function Adonis from the program Vegan 2.4.6. in R (Anderson, 2001). PERMANOVA is a distribution-free test that measures the overall difference for multiple responses based on permutation tests and partitions a symmetric distance matrix based on linear models. A P value  $< 0.05$  was considered significant. For microbial differential abundance testing, DESeq2 from the Phyloseq package was used.

## 2.3 Results

### 2.3.1 Sequencing summary

The total number of sequences per group range from 48 to 40382. A summary per group can be seen in Figure 2.1.

Analysis of the negative samples revealed that some of the samples, had the presence of bacterial DNA, most of which corresponded to the phylum Proteobacteria (97%). At class level, 99% of the bacteria were Alphaproteobacteria. At order level Sphingomonadales (53%), Caulobacterales (46%), Burkholderiales (4%), Rhizobiales (3%), Lactobacillales (1%) and Clostridiales (1%) were the most abundant. At family level, Sphingomonadaceae (46%) and Caulobacteraceae (46%) predominated. Other groups presented belonged to the Bradyrhizobiaceae (3%), Oxalobacteraceae (1%) and Streptococcaceae (1%) family. The Enterobacteriaceae family was present in a very low percentage (0.6%).

Sphingomonadaceae and Caulobacteraceae bacteria are commonly isolated from soil, activated sludge, or marine environments what reinforce the idea of contamination of these samples ([www.ncbi.nlm.nih.gov/mesh/?term=Sphingomonadaceae](http://www.ncbi.nlm.nih.gov/mesh/?term=Sphingomonadaceae)).

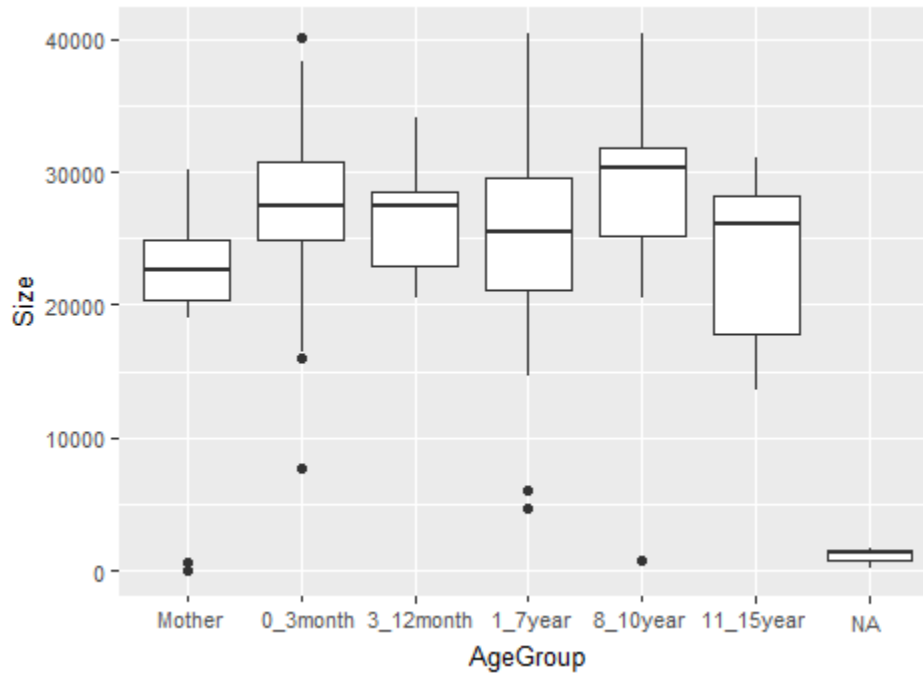


Figure 2.1: Total number of sequences per group. NA corresponds to water samples. Mother N=2; n=19. Puppies (0\_3 month) N=10; n=111. Growth (3\_12 month) N=3; n=15. Young Adult (1\_7 year) N=10. Mature (8\_10 year) N=3; n=17. Senior (11\_15 year) N=2; n=10. NA (Water) n=3. N: Number of Animals, n: number of samples.

### 2.3.2 Diversity analysis and Relative Abundance at different phylogenetic levels according to Age

The most abundant phyla, classes and orders of bacteria were compared among groups of different ages. Mothers and puppies (1 day old to 10 weeks old) showed a different profile compared to the other age groups. At phylum level, bacteria belonged mainly to Bacteroidetes, Firmicutes, Fusobacteria, Proteobacteria and Actinobacteria groups, comprised more than 99% of the phyla present; as it has been previously reported in dogs (Jan S. Suchodolski, 2013). However, the percentage of Proteobacteria was much higher in the group of mothers and puppies compared to the other groups (~ 10-34% versus ~2-5%); whereas the percentage of Fusobacteria was much lower (~2% versus 13-27%). Also, the percentage of Firmicutes comprised 52% of the sequences in mothers compared to 24-35% in the other groups. At Class level, the most abundant groups comprised bacteria belonging to the class Bacteroidia, followed by Clostridia, Fusobacteria and Gammaproteobacteria. However, the percentage of Gammaproteobacteria was significantly higher in mothers and puppies (Figure 2.2). At order level, bacteria belonging to Bacteroidales, Clostridiales and Fusobacteriales group, represented the majority. However, Mothers and puppies had a higher proportion of Lactobacillales (~7-33% versus 2% in the other groups). Additionally, in puppies, the percentage of Enterobacteriales (~30% versus ~5-10%) and in mothers, the percentage of Clostridiales (~41% versus 23-30%) were much higher compared to other groups (data not shown).

When alpha and beta diversity indexes were analysed, there was a significant difference between groups ( $P < 0,001$ ). However, the significant difference in alpha diversity was driven by puppies. When the other groups were compared, there were not significant differences. Adults exhibited the highest diversity index whereas puppies exhibited the lowest one, as it has been published in people (Figure 2.3). Also, when the different type of samples were plotted in a principal coordinate analysis (PCoA) graph using UNIFRAC diversity analysis, faecal samples clustered together but separately from the samples coming from the oral cavity. A more detailed analysis of the faecal samples revealed that samples from puppies exhibited a wider range of distribution and only some of the samples clustered with samples coming from other groups (Figure 2.4).

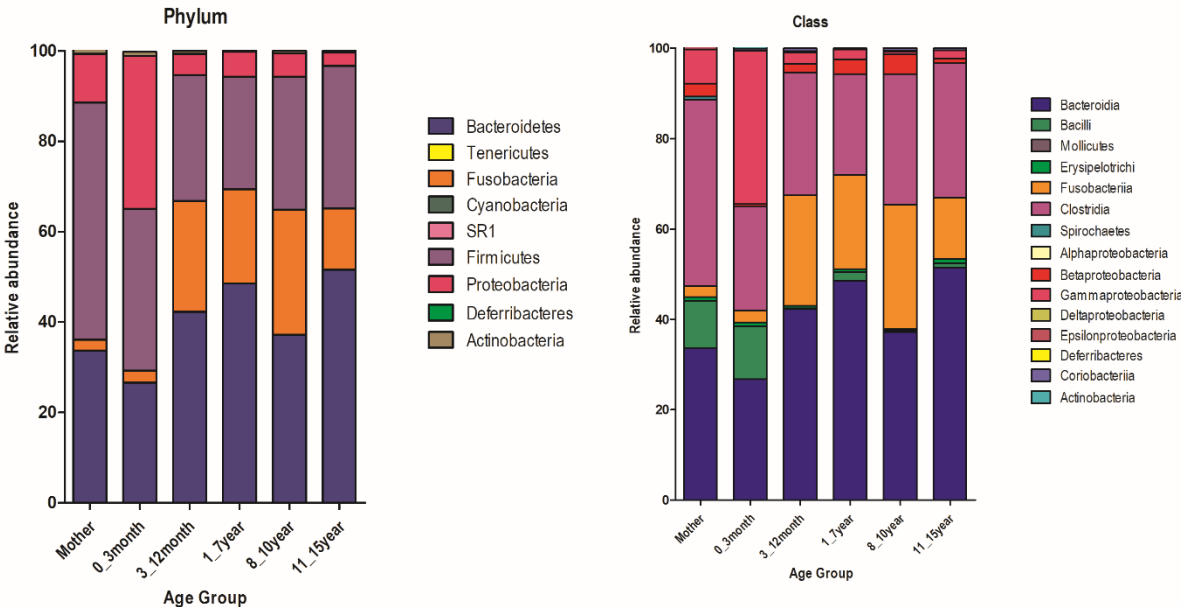


Figure 2.2: Top 20 of the relative abundance of the major phylogenetic levels in the different Age Groups. Mother N=2, n = 11. Puppies (0\_3month) N=10, n = 111; Growth (3\_12month) N=3, n = 15 ; Young Adults (1\_7year) N=10, n = 62 ; Mature (8\_10y) N=3, n = 17 and Senior (11\_15year) N=2,n = 10. Samples were rarified at 5000 sequences. N: Number of Animals, n: number of samples.

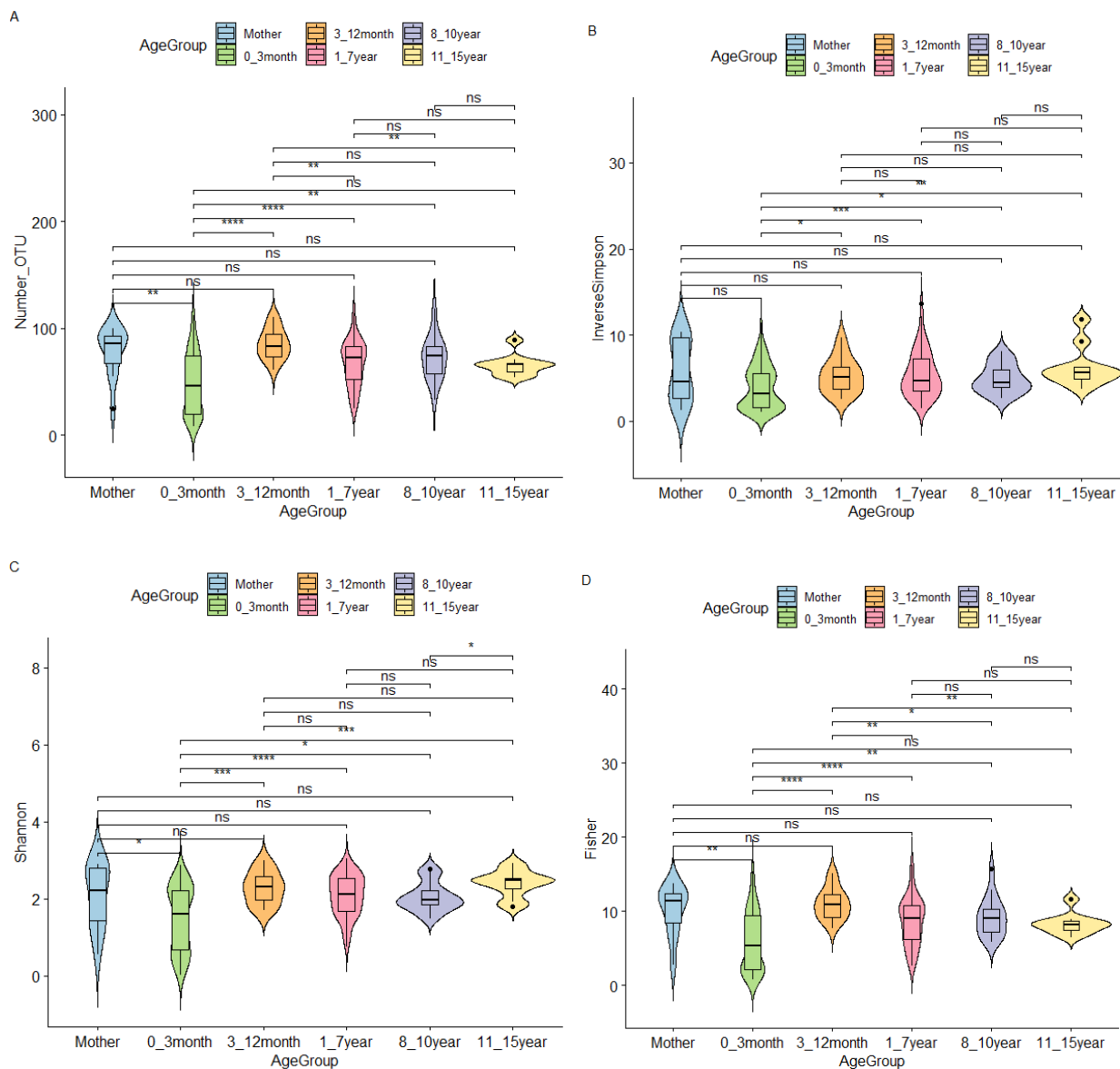


Figure 2.3: Alpha diversity analysis of different Age Groups. A: Number of OTUs (Observed), B: Inversed Simpson's Index, C: Shannon's Index and D: Fisher's Index.. Mother N=2, n = 11 Puppies (0\_3month) N=10, n = 111; Growth (3\_12month) N=3, n = 15 ; Young Adults (1\_7year) N=10, n = 62 ; Mature (8\_10y) N=3 n = 17 and Senior (11\_15year) N=2, n = 10. P value: ns: p > 0.05, \*: p <= 0.05, \*\*: p <= 0.01, \*\*\*: p <= 0.001 and \*\*\*\*: p <= 0.0001. N: Number of Animals, n: number of samples.

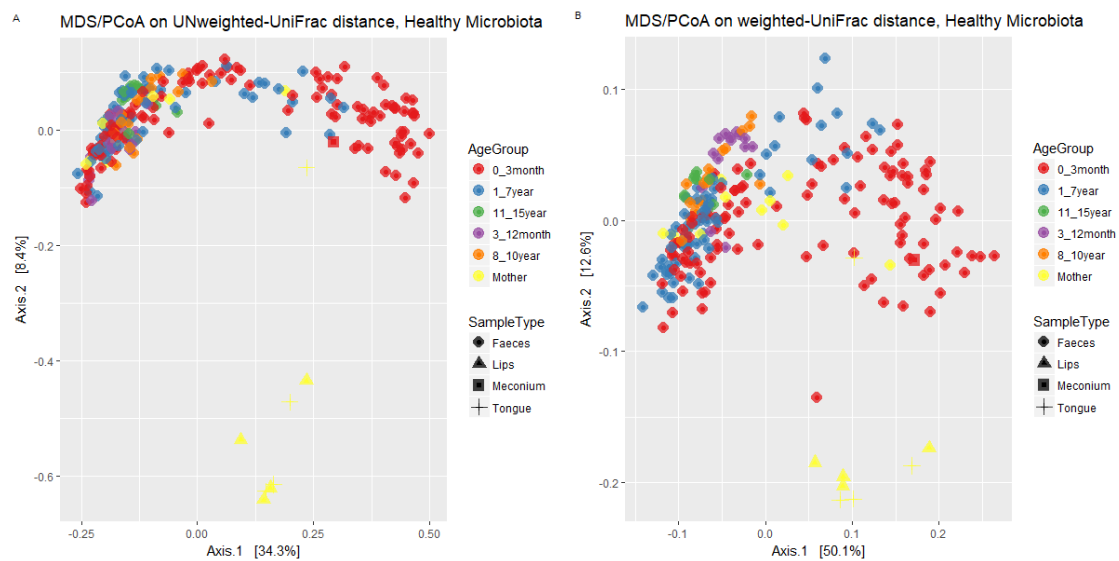


Figure 2.4: Beta diversity analysis. A: Unweighted and B: Weighted UNIFRAC analysis based on age group and sample type. Mother N=2, n = 18 Puppies (0\_3month) N=10, n = 111; Growth (3\_12month) N=3, n = 15 ; Young Adults (1\_7year) N=10, n = 62 ; Mature (8\_10y) N=3, n = 17 and Senior (11\_15year) N=2, n = 10. N: Number of Animals, n: number of samples.

Next, a more detailed analysis was performed in every age group based on the different time points assessed.

### 2.3.2.1 Development of gut microbiota in puppies

#### 2.3.2.1.1 Relative abundance of the major phylogenetic groups

The first weeks of life in a puppy are characterised by low richness and low diversity and the predominance of Proteobacteria (94%), particularly species belonging to the Enterobacteriaceae (69-92%) family.

Analysis of the relative abundance at the highest phylogenetic levels showed that the microbial development was divided in two distinct phases: 1 day-old – 4 weeks-old and 6-14 weeks-old. The first three weeks were characterised mainly by bacteria belonging to the phylum Proteobacteria (69-94%), and a much lower percentage of Firmicutes (5-20%), followed by Fusobacteria (0-20%, increasing over time). In the next two weeks, there was a transition period, where the proportion of Proteobacteria decreased substantially (8-10%), the levels of Firmicutes increased (66-73%) and Bacteroidetes started to become evident (8-23%). From week 6 onwards, the microbial population was dominated by Bacteroidetes (50-76%) and Firmicutes (18-45%), and only a small proportion belonged to the phyla Proteobacteria (1-3%) and Fusobacteria (1-4%) (Figure 2.5). All these changes were correlated with modifications in dietary patterns. The diet transition scheme in puppies consisted in exclusive milk during the first three weeks, followed by introduction to dry food and milk ad libitum for the next two weeks, then milk only once to twice per day and dry food ad libitum for one week, after which they were completely weaned. Thus, introduction of solid food and weaning promoted the predominance of Bacteroidetes and Firmicutes, as it has been seen in people (Palmer *et al.*, 2007).

At class and order level, the same distinct phases were evident. The first phase was dominated by bacteria belonging to the class Gammaproteobacteria (69-87%), the transition phase showed a predominance of Clostridia (55-28%) , Bacilli (17-36%), Bacteroidia (8-22%), and Gammaproteobacteria (6-10%) and after weaning, Bacteroidia (50-76%) and Clostridia (16-33%) overruled (Figure 2.5).

At order level, the main exponents were Enterobacteriales (70-92%) in the first phase, Lactobacillales (16-33%), Clostridiales (28-55%), Bacteroidales (0-20%) and Fusobacteriales (0-10%) in the transition phase and in the second phase; preponderance of Bacteroidales (50-76%) and Clostridiales (14-33%).

At family level, first phase was dominated by members of the Enterobacteriaceae (69-92%) family, the transition phase by Streptococcaceae (1-24%), Lachnospiraceae (23-39%), Bacteroidaceae (4-21%), Veillonellaceae (4-13%) and Lactobacillae (2-11%) and the second phase by Prevotellaceae (36-51%), Paraprevotellaceae (9-31%), Veillonellaceae (5-21%), Lachnospiraceae (9-11%). (Data not shown).

We followed two litters weekly from birth until 10 weeks old. From one of the dogs, it was possible to obtain a sample of meconium. Analysis of the sample revealed the presence of bacterial DNA, mainly from the Proteobacteria phylum (94%). At class level 93% of the bacteria corresponded to Gammaproteobacteria, followed by Clostridia (4%) and Bacilli (1%). At order level, the main groups were Enterobacteriales (92%), Clostridiales (4.5%) and Pasteurallales (1%) and at family level; Enterobacteriaceae predominated (92%), followed by Clostridiaceae (3.9%) and Pasteurellaceae (1%).

#### 2.3.2.1.2 Microbial differential abundance testing

Puppies were compared to Mothers and young adults to assess which taxonomic groups were significantly different between the groups. When puppies were compared to mothers, seven family groups were significantly enriched in puppies: Staphylococcaceae, Enterobacteriaceae, Clostridiaceae, Enterococcaceae, Streptococcaceae and Lachnospiraceae (Table 2.4) (Figure 2.5).

Compared to young adults, fourteen families were enriched in puppies: Enterobacteriaceae, Enterococcaceae, Streptococcaceae, Bifidobacteriaceae, Staphylococcaceae, Bacteroidaceae, Coriobacteriaceae, Clostridiaceae, Peptostreptococcaceae, Ruminococcaceae, Lactobacillaceae, Erysipelotrichaceae, Porphyromonadaceae and Lachnospiraceae (Table 2.5) (Figure 2.5).



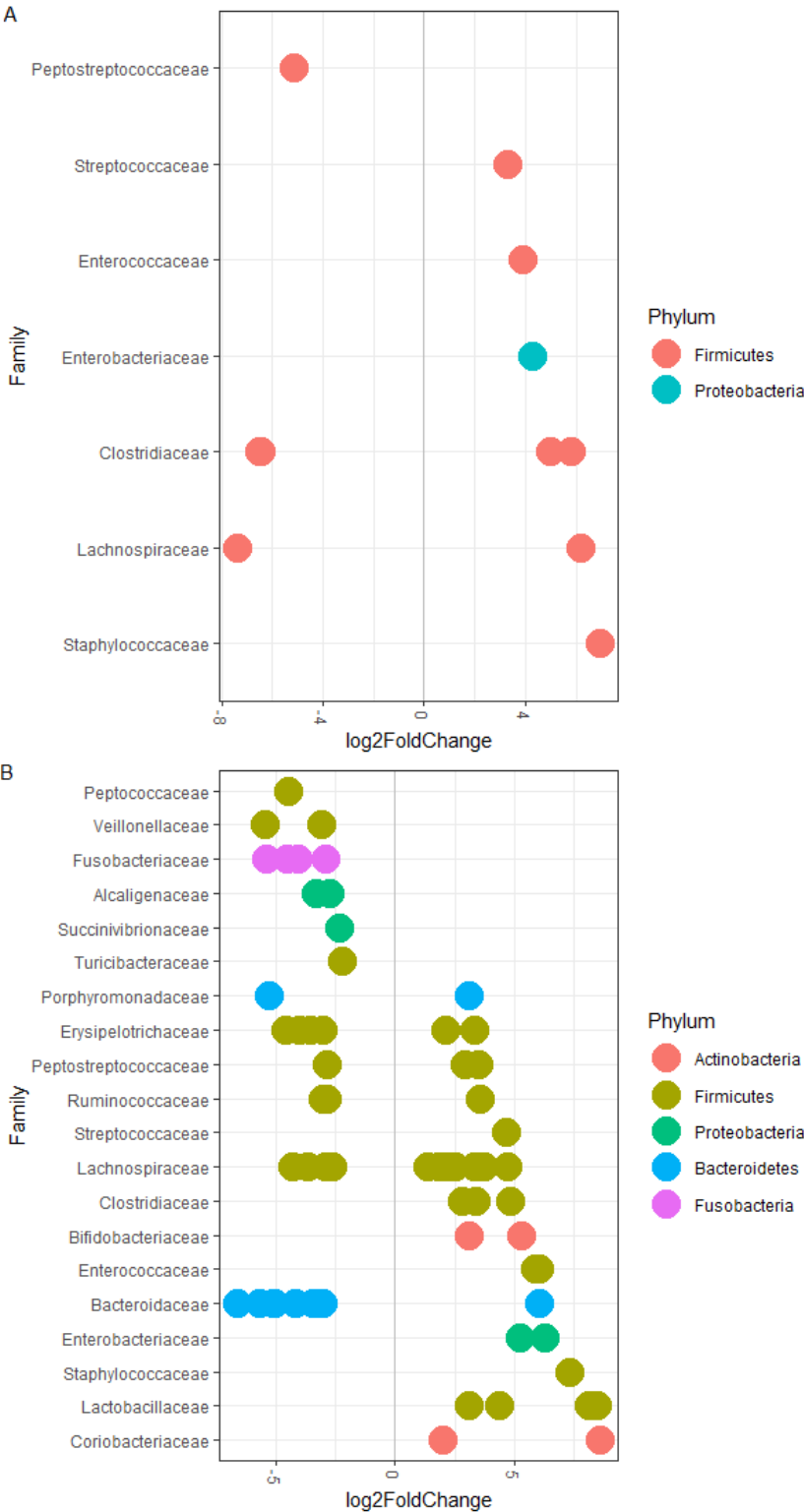


Figure 2.5: Microbial differential abundance family groups. A: Puppios versus Mothers. Puppios versus Young Adults  
N=22 (N: 2 Mothers, 10 puppios and 10 young adults); n=173 (Mother n=11 Adults n= 73, Puppios n=111) N:  
Number of Animals, n: number of samples.

Table 2.4 Microbial differential abundance in puppies compared to mothers

OTU	baseMean	log2FoldChange	lfcSE	stat	pvalue	padj	Phylum	Class	Order	Family	Genus	Species
Otu34	353.1693691938	-5.1454355071	0.9208813455	-5.5875119	2.30e-08	2.90e-06	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	NA	NA
Otu17	384.8406600801	-6.4240526413	1.3191656908	-4.8697845	1.11e-06	7.03e-05	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	NA	NA
Otu78	38.4047479959	-7.3668856417	1.5660030567	-4.7042600	2.54e-06	0.0001070111	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Epulopiscium	NA
Otu91	17.785052334	6.9470560278	1.6099000927	4.3152094	1.59e-05	0.0004133384	Firmicutes	Bacilli	Bacillales	Staphylococcaceae	Staphylococcus	NA
Otu1	20360.4518891	4.2893078303	0.9954389699	4.3089611	1.64e-05	0.0004133384	Proteobacteria	Gammaproteobacteria	Enterobacteriales	Enterobacteriaceae	NA	NA
Otu15	335.7581286428	5.8014579181	1.379421097	4.2057192	2.60e-05	0.0005465315	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	Clostridium	NA
Otu36	145.9881468735	3.9014205056	1.0252664399	3.8052747	0.0001416	0.0022309381	Firmicutes	Bacilli	Lactobacillales	Enterococcaceae	Enterococcus	NA
Otu329	3.472488444	-6.4851117839	1.6784889918	-3.8636605	0.0001117	0.0020106071	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	Clostridium	NA
Otu5	1636.3100092548	3.284600146	0.9014261773	3.6437816	0.0002686	0.0037612593	Firmicutes	Bacilli	Lactobacillales	Streptococcaceae	Streptococcus	NA
Otu29	89.6409667926	4.9987615141	1.3939074802	3.5861501	0.0003355	0.0042285068	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	NA	NA
Otu52	15.5403568414	6.1743384627	1.7423598314	3.5436643	0.0003946	0.0045200465	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Dorea	NA

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Table 2.5 Microbial differential abundance in puppies compared to young adults

OTU	baseMean	log2FoldChange	lfcSE	stat	Pvalue	padj	Phylum	Class	Order	Family	Genus	Species
Otu1	21938.66	6.30180	0.5204226	12.1090062061	9.46334e-34	1.779114e-31	Proteobacteria	Gammaproteobacteria	Enterobacteriales	Enterobacteriaceae	NA	NA
Otu16	39.35623	8.47643	0.7153693	11.8490339167	2.17685e-32	2.046247e-30	Firmicutes	Bacilli	Lactobacillales	Lactobacillaceae	Lactobacillus	NA
Otu36	77.95887	6.04757	0.52880	11.4363834211	2.75116e-30	1.724062e-28	Firmicutes	Bacilli	Lactobacillales	Enterococcaceae	Enterococcus	NA
Otu185	16.96936	-5.4075	0.477274	-11.3300108341	9.31931e-30	4.380088e-28	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	NA
Otu22	263.5918	8.15034	0.8372029	9.7352133326	2.13367e-22	8.022693e-21	Firmicutes	Bacilli	Lactobacillales	Lactobacillaceae	Lactobacillus	ruminis
Otu5	1081.011	4.6771	0.4868035	9.6079465458	7.40119e-22	2.318986e-20	Firmicutes	Bacilli	Lactobacillales	Streptococcaceae	Streptococcus	NA
Otu40	31.19537	5.31713	0.5814029	9.1453574427	5.94328e-20	1.596200e-18	Actinobacteria	Actinobacteria	Bifidobacteriales	Bifidobacteriaceae	Bifidobacterium	NA
Otu91	18.08810	7.29700	0.8099563	9.0091359258	2.07683e-19	4.751001e-18	Firmicutes	Bacilli	Bacillales	Staphylococcaceae	Staphylococcus	NA
Otu4	1925.482	-4.55048	0.5056566	-8.9991646627	2.27445e-19	4.751001e-18	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	NA
Otu9	230.9208	-5.1128	0.5754843	-8.8844114801	6.42603e-19	1.208088e-17	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu54	25.483031	8.58920	0.9934426	8.6459011556	5.33821e-18	9.123522e-17	Actinobacteria	Coriobacteriia	Coriobacteriales	Coriobacteriaceae	Collinsella	aerofaciens
Otu105	56.370305	-3.63301	0.4251828	-8.5445865798	1.28992e-17	2.020956e-16	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu15	222.32382	4.83809	0.6110818	7.91725993	2.42818e-15	3.511287e-14	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	Clostridium	NA
Otu314	3.9266813	-2.881925	0.3826305	-7.5318750306	5.00181e-14	6.716544e-13	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu98	2.621834	-4.6009103	0.6126997	-7.5092410077	5.94715e-14	7.453717e-13	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	Allobaculum	NA
Otu55	12.2536898	-4.7933493	0.6497585	-7.377123577	1.61758e-13	1.900513e-12	Firmicutes	Clostridia	Clostridiales	NA	NA	NA
Otu34	58.7643853	2.9255744	0.4075526	7.1783956871	7.03417e-13	7.800249e-12	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	NA	NA
Otu365	7.6048836	5.8585414	0.8206969	7.1384957173	9.43570e-13	9.855149e-12	Firmicutes	Bacilli	Lactobacillales	Enterococcaceae	Enterococcus	NA
Otu42	7.8992113	-6.591976	0.9326018	-7.0683708982	1.56630e-12	1.502070e-11	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu79	4.3101269	-4.1813756	0.5917840	-7.0657118191	1.59747e-12	1.502070e-11	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu86	13.3223261	-5.672336	0.8727277	-6.4995491855	8.05105e-11	7.212132e-10	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu143	7.0842340	3.5277295	0.5746205	6.1392327263	8.29211e-10	7.085977e-09	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	NA	NA
Otu107	1.46066350	-4.3146561	0.7198442	-5.9938741928	2.04899e-09	1.674834e-08	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu60	8.63422882	3.5653560	0.6032716	5.9100344564	3.42031e-09	2.572112e-08	Firmicutes	Clostridia	Clostridiales	Ruminococcaceae	NA	NA
Otu389	2.87945883	-4.0626553	0.687375	-5.9103897638	3.41291e-09	2.572112e-08	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	NA
Otu85	3.30143764	-3.0496544	0.5247294	-5.8118611149	6.17828e-09	4.467320e-08	Firmicutes	Clostridia	Clostridiales	Ruminococcaceae	NA	NA
Otu31	7.03179950	5.2619866	0.9289690	5.6643292927	1.46007e-08	1.027738e-07	Proteobacteria	Gammaproteobacteria	Enterobacteriales	Enterobacteriaceae	NA	NA
Otu10	298.3814884	-2.7422794	0.4894612	-5.6026488545	2.11006e-08	1.417389e-07	Proteobacteria	Betaproteobacteria	Burkholderiales	Alcaligenaceae	Sutterella	NA

Otu21	220.7266911	-3.0456626	0.5562113	-5.4757291266	4.35737e-08	2.824627e-07	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu24	71.6436380	-3.1445900	0.5821661	-5.4015338636	6.60734e-08	4.140603e-07	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu41	57.6094349	-3.0950020	0.5740161	-5.3918378417	6.97406e-08	4.229435e-07	Firmicutes	Clostridia	Clostridiales	Veillonellaceae	Phascolarctobacterium	NA
Otu110	0.9068748	-3.0074199	0.5902095	-5.095512082	3.47798e-07	2.043324e-06	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	NA	NA
Otu29	58.943227	3.397330	0.6732555	5.0461243182	4.50621e-07	2.568547e-06	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	NA	NA
Otu8	79.6047214	6.0562790	1.2037037	5.0313700901	4.86987e-07	2.692751e-06	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	fragilis
Otu73	14.5919710	-2.8771196	0.6026957	-4.7737515882	1.80854e-06	9.712907e-06	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	NA	NA
Otu90	2.7898926	4.6882532	0.9879575	4.7453996346	2.08050e-06	1.086718e-05	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Coprococcus	NA
Otu70	8.3218808	-2.8481754	0.6061272	-4.698973126	2.61428e-06	1.328564e-05	Firmicutes	Clostridia	Clostridiales	Ruminococcaceae	NA	NA
Otu17	28.4167543	2.8181063	0.6076862	4.6374364161	3.52750e-06	1.745219e-05	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	NA	NA
Otu342	1.7141939	-2.6127557	0.5681342	-4.5988352471	4.24855e-06	2.048041e-05	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Dorea	NA
Otu18	202.416367	-2.9109631	0.6569562	-4.4309850225	9.38030e-06	4.408769e-05	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	NA
Otu360	0.6519828	-2.716082	0.6327396	-4.2925747708	1.766130e-05	8.098354e-05	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu116	41.9484060	2.5434362	0.5972339	4.25869344	2.05652e-05	9.204177e-05	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	producta
Otu339	1.6277657	3.1280652	0.7366927	4.2460922272	2.17531e-05	9.510662e-05	Actinobacteria	Actinobacteria	Bifidobacteriales	Bifidobacteriaceae	Bifidobacterium	NA
Otu12	23.3571267	-3.408838	0.8068022	-4.2251229994	2.38813e-05	0.0001020371	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	NA
Otu19	233.076764	1.8123810	0.4303133	4.2117704567	2.53369e-05	0.0001058553	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu274	2.2077563	4.3481771	1.0470405	4.1528260823	3.28343e-05	0.0001342133	Firmicutes	Bacilli	Lactobacillales	Lactobacillaceae	Lactobacillus	NA
Otu111	2.40610622	-5.0228809	1.2470693	-4.0277477779	5.63170e-05	0.0002252548	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	uniformis
Otu27	97.7555422	2.1978258	0.5485716	4.0064517493	6.16370e-05	0.0002414144	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	NA
Otu431	0.919152	3.1142663	0.7822902	3.9809599122	6.86372e-05	0.000263344	Firmicutes	Bacilli	Lactobacillales	Lactobacillaceae	Lactobacillus	NA
Otu101	1.4274654	3.308168	0.8582400	3.8545960398	0.00059209	0.0004358625	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu97	5.0809336	-4.463573	1.1879525	-3.7573666225	0.00017108	0.0006329733	Firmicutes	Clostridia	Clostridiales	Peptococcaceae	Peptococcus	NA
Otu23	120.99131	3.7333026	1.0077632	3.7045431694	0.00021772	0.0007656374	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	NA
Otu89	7.5726502	-3.3076609	0.9102970	-3.6336063238	0.00024872	0.0009913886	Proteobacteria	Betaproteobacteria	Burkholderiales	Alcaligenaceae	Sutterella	NA
Otu66	11.3882896	1.9570565	0.5426218	3.6066677804	0.00001543	0.0010797966	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu32	3.2264385	-5.44557393	1.56711604	-3.4749015128	0.00051409	0.0017468308	Firmicutes	Clostridia	Clostridiales	Veillonellaceae	Megasphaera	NA
Otu238	1.1869930	-4.00532928	1.15620955	-3.4641897325	0.00018313	0.0017854335	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	[Eubacterium]	dolichum
Otu168	0.819648	3.10430806	0.9083730	3.4174373131	0.00021365	0.0020849414	Bacteroidetes	Bacteroidia	Bacteroidales	Porphyromonadaceae	Parabacteroides	NA
Otu62	14.0793637	-2.3439292	0.72027719	-3.2542044876	0.0011104	0.0036857853	Proteobacteria	Gammaproteobacteria	Aeromonadales	Succinivibrionaceae	NA	NA
Otu114	1.5857154	2.00662644	0.61861367	3.2437473112	0.00117965	0.0037589915	Actinobacteria	Coriobacteriia	Coriobacteriales	Coriobacteriaceae	Collinsella	NA
Otu113	2.8889837	-5.2546686	1.63312038	-3.2175635886	0.00129437	0.0040509101	Bacteroidetes	Bacteroidia	Bacteroidales	Porphyromonadaceae	Parabacteroides	NA

Otu415	3.4678123	-2.2314185	0.6988966	-3.1927731291	0.04091361	0.0043127508	Firmicutes	Bacilli	Turicibacterales	Turicibacteraceae	Turicibacter	NA
Otu43	34.1275528	2.1047581	0.65978038	3.1900889369	0.00142229	0.0043127508	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	[Eubacterium]	biforme
Otu122	1.2821202	3.3175420	1.04812653	3.165211366	0.00154970	0.0046245115	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	[Eubacterium]	dolichum
Otu108	0.8762674	-3.5224505	1.14805618	-3.0681865772	0.00215362	0.006326262	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	NA	NA
Otu6	1230.185370	1.3907784	0.46539971	2.9883525243	0.00280485	0.0081125132	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	[Ruminococcus]	gnavus

### 2.3.2.1.3 Diversity Analysis

Analysis of alpha diversity showed a gradual increase in diversity over time. Thus, samples collected at closer time points showed a more similar  $\alpha$  diversity than samples collected at farther time points (Table 2.6). We observed a high species diversity in meconium compared with samples taken at day 1 post-birth (week\_0). Although it is not possible to draw conclusions from one single sample; in people it has been observed that diversity tends to decrease during the first week postpartum. Probably, due to the presence of microbes poorly adapted to colonize the gastrointestinal tract that are easily lost or replaced (Ferretti et al., 2018). Interestingly, when Inverse simpson index was analysed, two distinct patterns were observed and coincided with the weaning period, where diversity and abundance increased remarkably (P value < 0,001). In general, initiation of solid feeding increases species diversity and consequently with solid food introduction, gut microbiota composition starts to resemble that of an adult microbiota (Figure 2.7).

Beta diversity analysis showed that microbial communities belonging to puppies clustered separately from that of the mother in the first weeks, only resembling that of the mother in the last weeks after weaning (P < 0,001) (Figure 2.8).

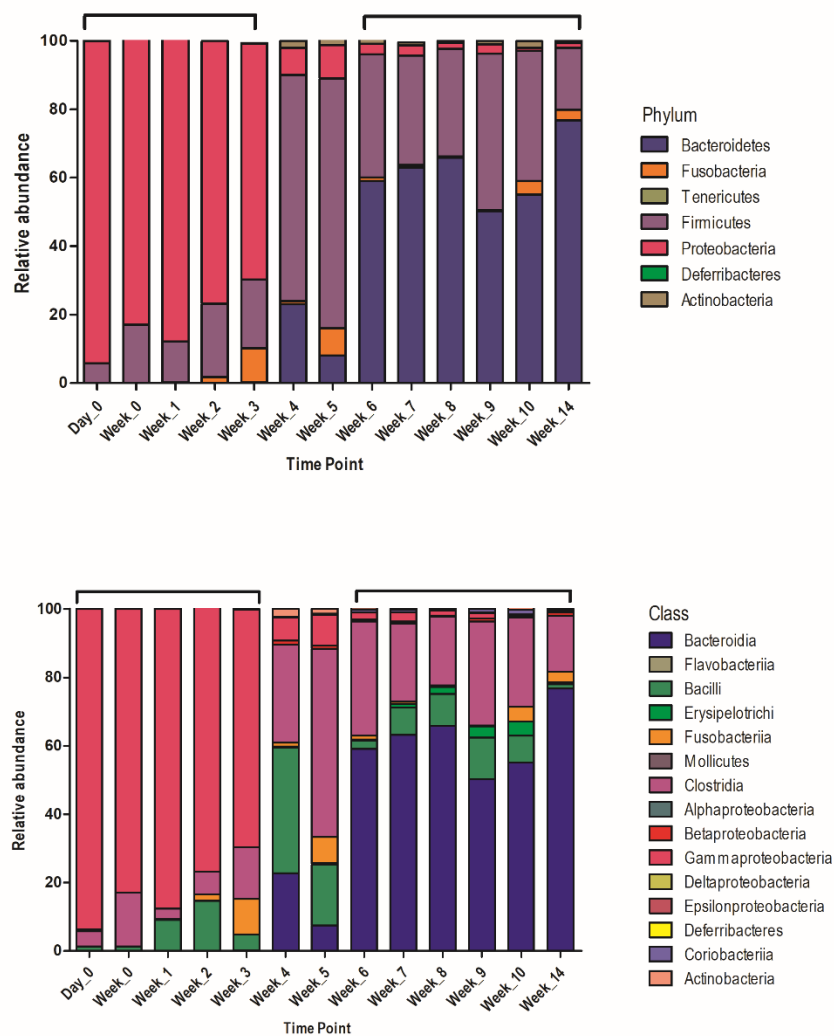


Figure 2.6: Top 20 of the relative abundance of the major phylogenetic levels in puppies over time. Two distinct phases are highlighted: Nursing and weaning. Puppies N=10. Day\_0, n=1; Week\_0, n= 11; Week\_1, n=11; Week\_2, n=10; Week\_3, n=11; Week\_4, n=13; Week\_5, n=11; Week\_6, n=12; Week\_7, n=12; Week\_8, n=5; Week\_9, n=4; Week\_10, n=9; and Week\_14, n=1. Samples were rarified at 5000 sequences. N: Number of Animals, n: number of samples.

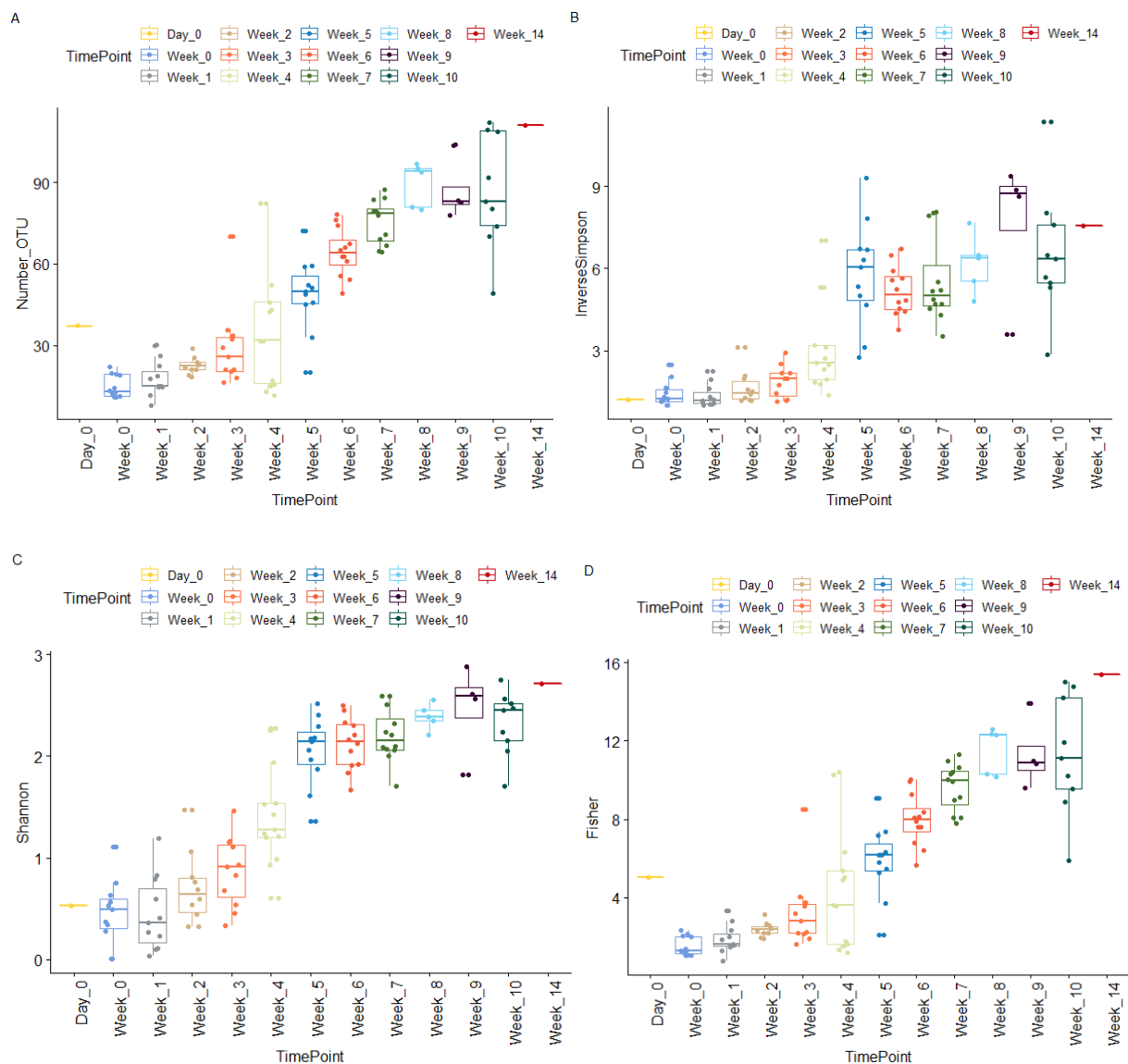


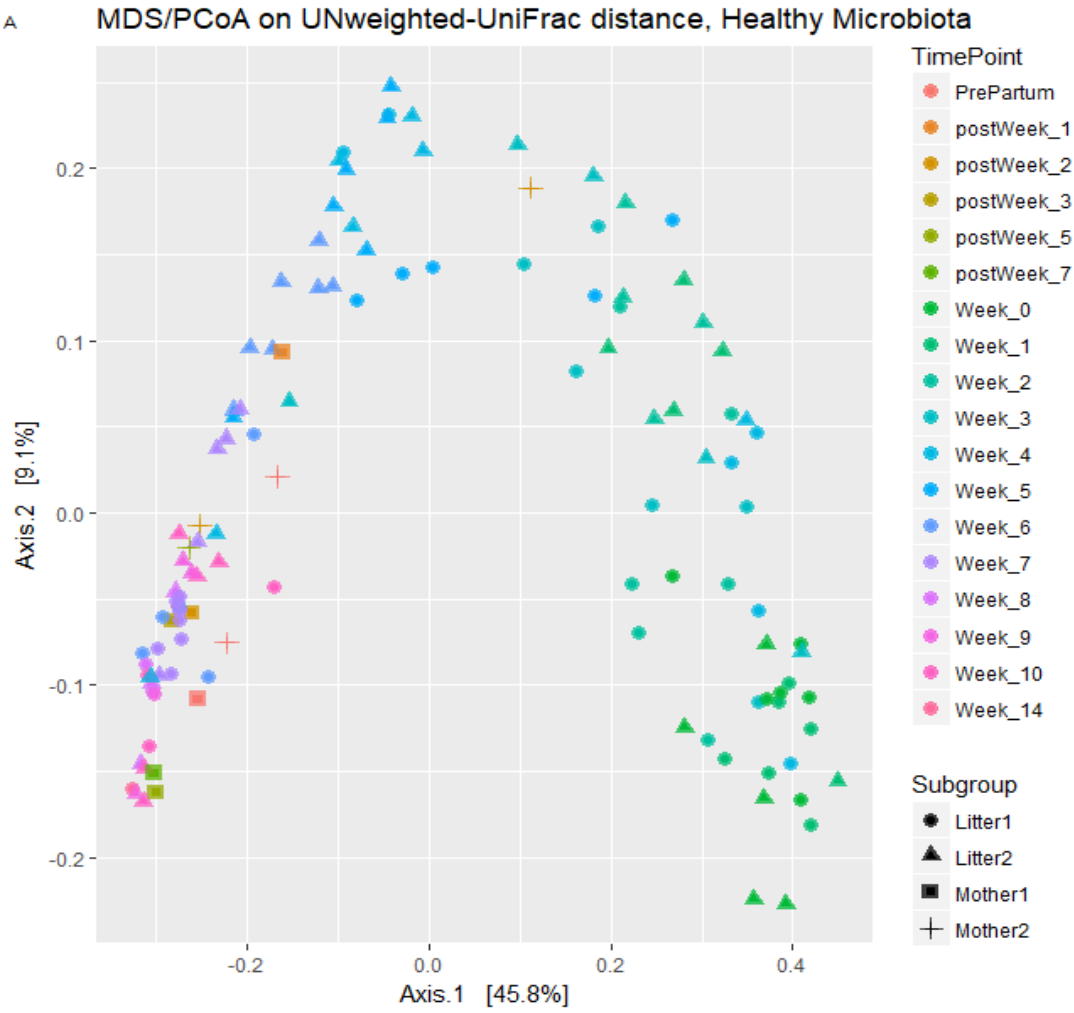
Figure 2.7: Alpha diversity analysis of puppies (0\_3month) at different time points. A: Number of OTUs (Observed), B: Inversed Simpson's Index, C: Shannon's Index and D: Fisher's Index.. Day\_0, n=1; Week\_0, n= 11; Week\_1, n=11; Week\_2, n=10; Week\_3, n=11; Week\_4, n=13; Week\_5, n=11; Week\_6, n=12; Week\_7, n=12; Week\_8, n=5; Week\_9, n=4; Week\_10, n=9; and Week\_14, n=1. N: 10 puppies. At some time-points, samples from the same patient were collected twice per week during consecutive days. N: Number of Animals, n: number of samples.



3462 Table 2.6: Alpha diversity analysis of puppies over time.  
 3463

Observed	Day_0	Week_0	Week_1	Week_2	Week_3	Week_4	Week_5	Week_6	Week_7	Week_8	Week_9	Week_10	Week_14
Day_0	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Week_0		0	0,25	0,0017	0,0016	0,0082	0,00013	5,40E-05	5,30E-05	0,0021	0,0047	0,00019	NA
Week_1			0	0,04	0,01	0,039	0,00017	5,30E-05	5,20E-05	0,0021	0,0046	0,00019	NA
Week_2				0	0,42	0,37	0,001	8,60E-05	8,50E-05	0,0026	0,0057	0,00027	NA
Week_3					0	0,71	0,0078	5,00E-04	1,50E-04	0,0022	0,0049	3,00E-04	NA
Week_4						0	0,063	4,30E-04	1,20E-03	0,0057	0,0077	0,0011	NA
Week_5							0	0,0038	0,00019	0,0022	0,0049	0,0016	NA
Week_6								0	0,0038	0,0019	0,0052	0,0094	NA
Week_7									0	0,013	0,18	0,14	NA
Week_8										0	0,9	0,74	NA
Week_9											0	1	NA
Week_10												0	NA
Week_14													0
InvSimpson	Day_0	Week_0	Week_1	Week_2	Week_3	Week_4	Week_5	Week_6	Week_7	Week_8	Week_9	Week_10	Week_14
Day_0	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Week_0		0	0,69	0,19	0,066	0,0005	8,20E-05	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04	NA
Week_1			0	0,073	0,0018	0,00033	8,20E-05	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04	NA
Week_2				0	0,5	0,0048	0,00016	8,70E-05	8,70E-05	0,0027	0,0058	0,00038	NA
Week_3					0	0,024	0,00011	5,50E-05	5,50E-05	0,0022	0,005	0,00027	NA
Week_4						0	0,0038	0,0051	0,0043	0,01	0,011	0,0013	NA
Week_5							0	0,31	0,64	0,73	0,17	0,49	NA
Week_6								0	0,98	0,15	0,16	0,082	NA
Week_7									0	0,27	0,13	0,15	NA
Week_8										0	0,27	0,89	NA
Week_9											0	0,32	NA
Week_10												0	NA
Week_14													0
Shannon	Day_0	Week_0	Week_1	Week_2	Week_3	Week_4	Week_5	Week_6	Week_7	Week_8	Week_9	Week_10	Week_14
Day_0	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Week_0			0	0,9	0,015	0,00013	8,20E-05	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04	NA
Week_1				0	0,11	0,00013	8,20E+05	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04	NA
Week_2					0	0,19	0,0017	0,00016	8,70E-05	8,70E-05	0,0027	0,0058	0,00028
Week_3						0	0,0045	0,00011	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04
Week_4							0	0,0045	1,80E-03	1,00E-03	0,0031	0,011	0,0011
Week_5								0	0,78	0,34	0,031	0,1	0,095
Week_6									0	0,47	0,051	0,13	0,095
Week_7										0	0,19	0,2	0,41
Week_8											0	0,27	1
Week_9												0	0,25
Week_10													0
Week_14													0
Fisher	Day_0	Week_0	Week_1	Week_2	Week_3	Week_4	Week_5	Week_6	Week_7	Week_8	Week_9	Week_10	Week_14
Day_0	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Week_0			0	0,21	0,0017	0,0016	0,0065	0,00014	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04
Week_1				0	0,038	0,013	0,049	0,00018	5,50E-05	5,50E-05	0,0022	0,005	2,00E-04
Week_2					0	0,31	0,37	0,0011	8,70E-05	8,70E-05	0,0027	0,0058	0,00028
Week_3						0	0,69	0,0086	5,10E-04	1,20E-04	0,0022	0,005	0,00027

Week_4						0	0,064	4,30E-03	8,20E-04	0,0043	0,0078	0,0011	NA
Week_5							0	4,20E-03	1,50E-04	0,0022	0,005	0,0014	NA
Week_6								0	7,30E-03	0,0019	0,0091	0,0077	NA
Week_7									0	0,031	0,1	0,17	NA
Week_8										0	0,9	0,79	NA
Week_9											0	0,94	NA
Week_10												0	NA
Week_14													0
<hr/>													
	n=1	n=11	n=11	n=10	n=11	n=13	n=11	n=12	n=12	n=5	n=4	n=9	n=1
<hr/>													



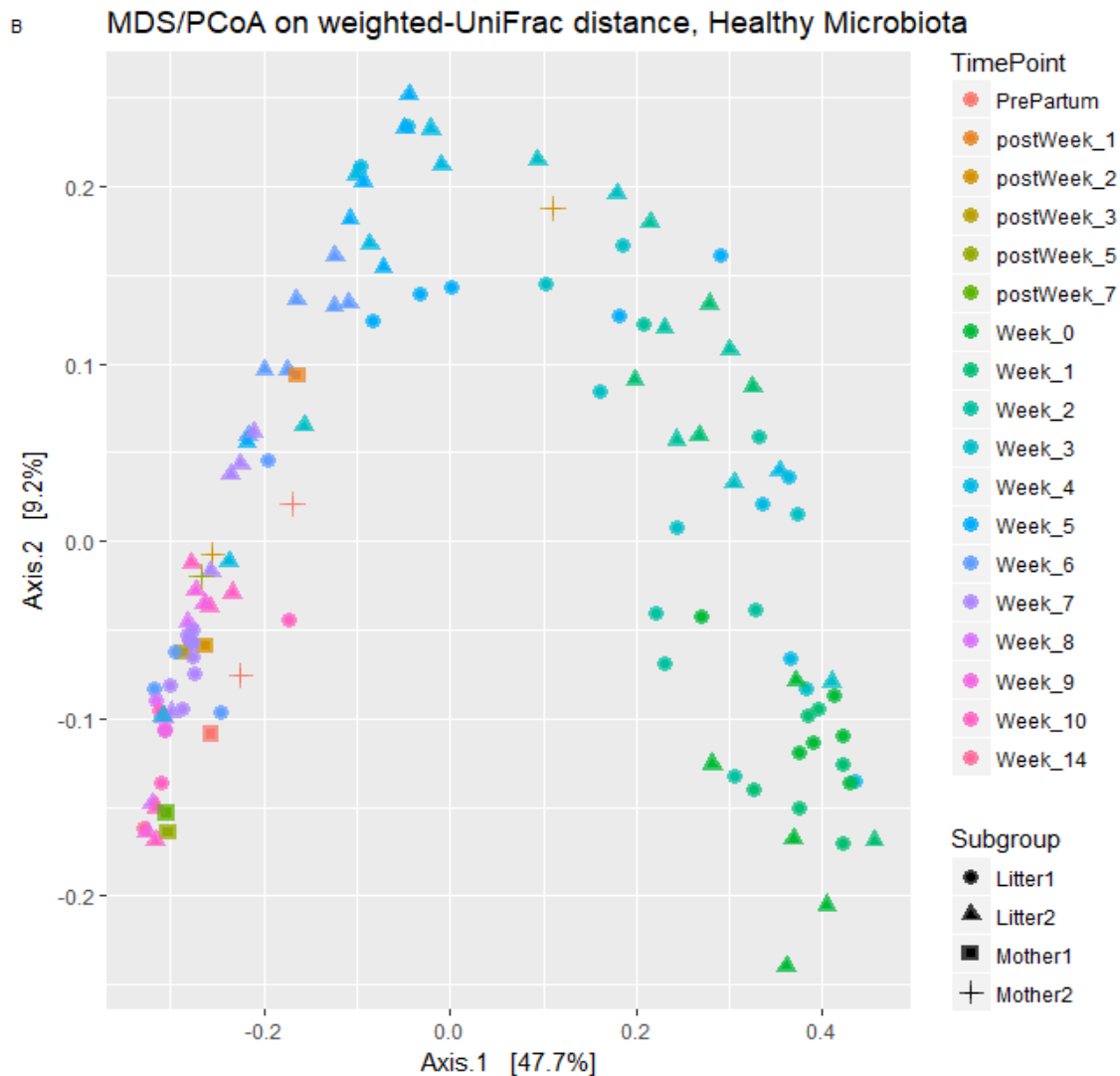


Figure 2.8: Beta diversity analysis in puppies. A: Unweighted and B: Weighted UNIFRAC analysis comparing mother and puppies and different time points. Puppies N=10; Day\_0, n=1; Week\_0, n= 11; Week\_1, n=11; Week\_2, n=10; Week\_3, n=11; Week\_4, n=13; Week\_5, n=11; Week\_6, n=12; Week\_7, n=12; Week\_8, n=5; Week\_9, n=4; Week\_10, n=9; and Week\_14, n=1. Mother N=2; n=11. N: Number of Animals, n: number of samples.

## 2.3.2.2 Characterisation of the maternal microbiota

### 2.3.2.2.1 Relative abundance of the major phylogenetic groups

#### 2.3.2.2.1.2 Gut microbiota

At prepartum, 94% of the mother's gut microbiota belonged to the Firmicutes phylum. Other phyla presented at that time were Proteobacteria (3,5%), Fusobacteria (2%) and Bacteroidetes (0,5%). During the first week after the partum, Firmicutes (57%) and Bacteroidetes (34%) predominated. Interestingly, Proteobacteria, the dominant phylum in puppies during the first weeks; was not present in high quantities during prepartum or immediately postpartum (first week post partum 8%). The phylum proteobacteria in mothers increased rapidly during the two weeks after partum (second week post partum proteobacteria accounted for 31% of all bacteria), suggesting that the influence is bidirectional, and puppies also affect their mothers' gut microbiota. This phylum decreased substantially at week three postpartum following the same pattern seen in puppies (third week postpartum 3%) (Figure 2.9).

At class level, prepartum period was characterised by the preponderance of Clostridia (72%) and Bacilli (21%) and at the order level by Clostridiales (72%) and Lactobacillales (21%). Postpartum was dominated by Bacteroidia (ranging from 31-34% in the first weeks up to 60-70% in the last weeks), Clostridia (21-28%) at class level and by Bacteroidales (ranging from 31-34% in the first weeks up to 60-70% in the last weeks), Clostridiales (28-40%) at order level. Noteworthy, week 1 postpartum was also characterised by a preponderance of Bacilli (28%) at class level, specifically of the order Lactobacilli; and week 2 postpartum by a preponderance of Gammaproteobacteria (23%) at class level, specifically of the order Enterobacteriales (Figure 2.8). At week 7 postpartum, Fusobacteria accounted for ~10% of all bacteria at class level (Fusobacteriales at order level).

#### 2.3.2.3.1.2 Oral microbiota

We investigated the contribution of the oral microbiome in the puppy gut microbiota. Although, in general there is a minimal overlap between the oral cavity and gut microbiota in adults (consortium, 2012); a study have shown that in infants; oral microbiome represented about a quarter of the total abundance of the stool microbiome (at least in the first three days) (Ferretti et al., 2018).

When the relative abundance of the main phylogenetics groups were analysed in samples from the oral microbiota, it could be seen that the majority of the groups that were present in the oral mucosa; were present in the tongue with some differences in abundance of each group. Also, the same trend was observed when samples were compared at different time points (prepartum versus postpartum), with only differences in the abundance of each group (Figure 2.10).

At prepartum, between 60-75% of the bacteria belonged to the phylum Bacteroidetes, with other groups present at much lower percentages, such as Proteobacteria (18-33%), Firmicutes (4-6%). At class level, Bacteroidia (53-68%) predominated, followed by Gammaproteobacteria (13-26%).

Two weeks after labour, the phyla Proteobacteria (49%) and Bacteroidetes (38%) were the most abundant groups. At class level, the same groups were the most abundant, but the relative abundance of each group changed: Bacteroidia (36%) and Gammaproteobacteria (23%). In the tongue, Betaproteobacteria (23%) and Flavobacteria (20%) were present in high quantities (Figure 2.10).

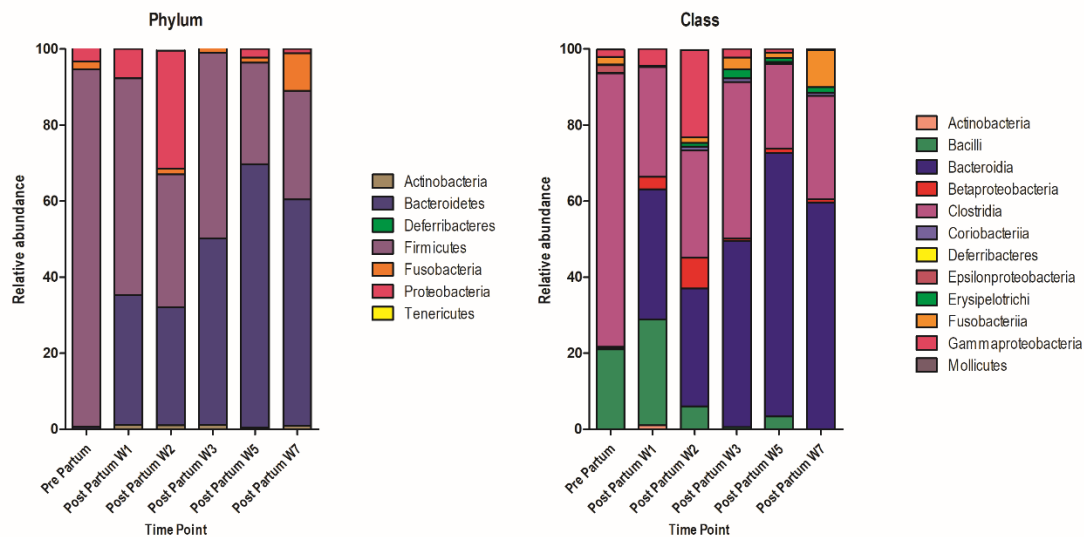
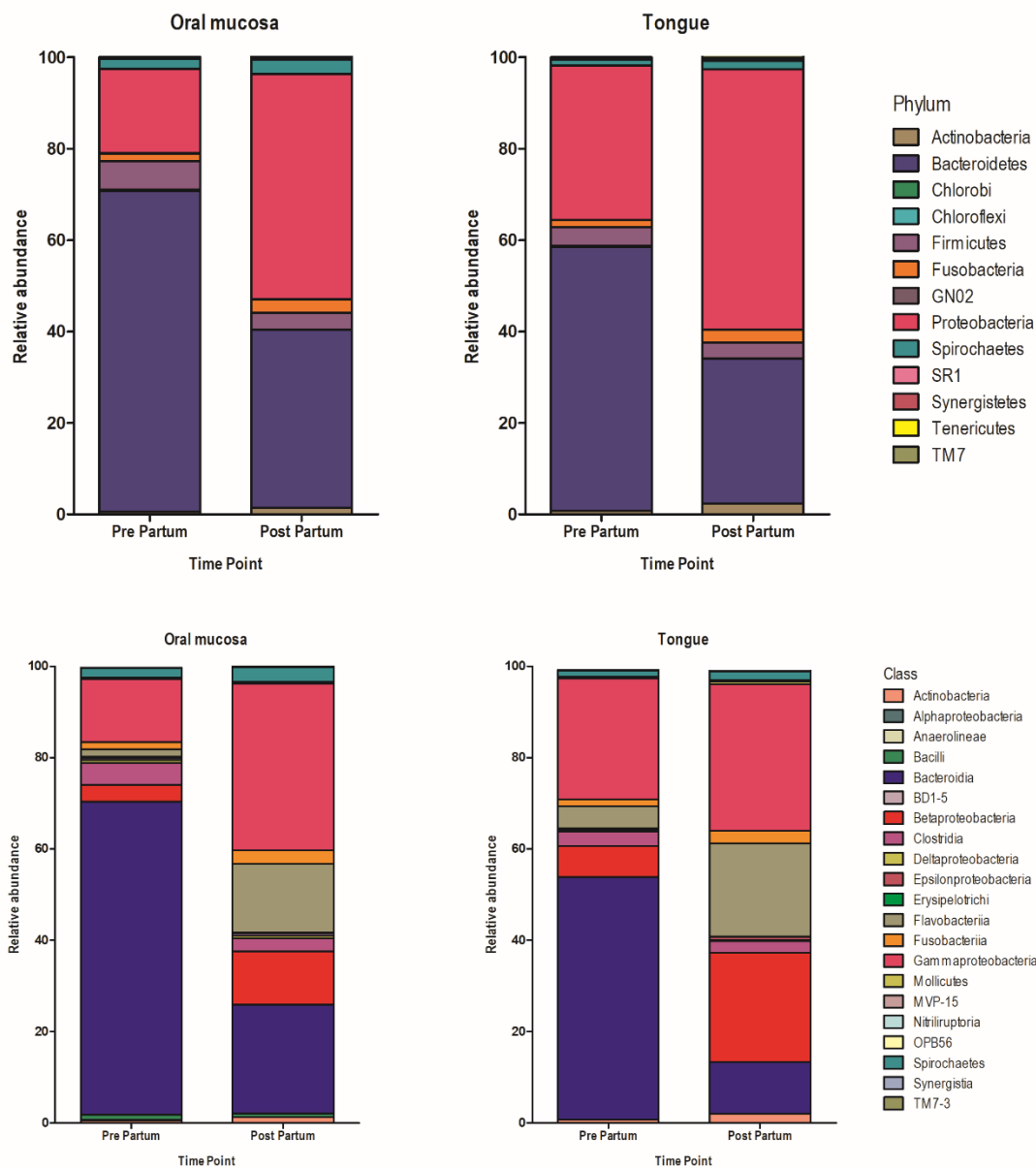


Figure 2.8: Relative abundance of the major phylogenetic levels in the gut microbiota in mothers before and after partum. Mother N=2; n=11. Samples were rarified at 5000 sequences. N: Number of Animals, n: number of samples.



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3531 Figure 2.9: Relative abundance of main phylogenetics groups in oral microbiota: Oral mucosa versus Tongue. Mother

3532 N=2; n=7 (oral mucosa n=4; tongue n=3). Samples were rarified at 5000 sequences.

3533 N: Number of Animals, n: number of samples.



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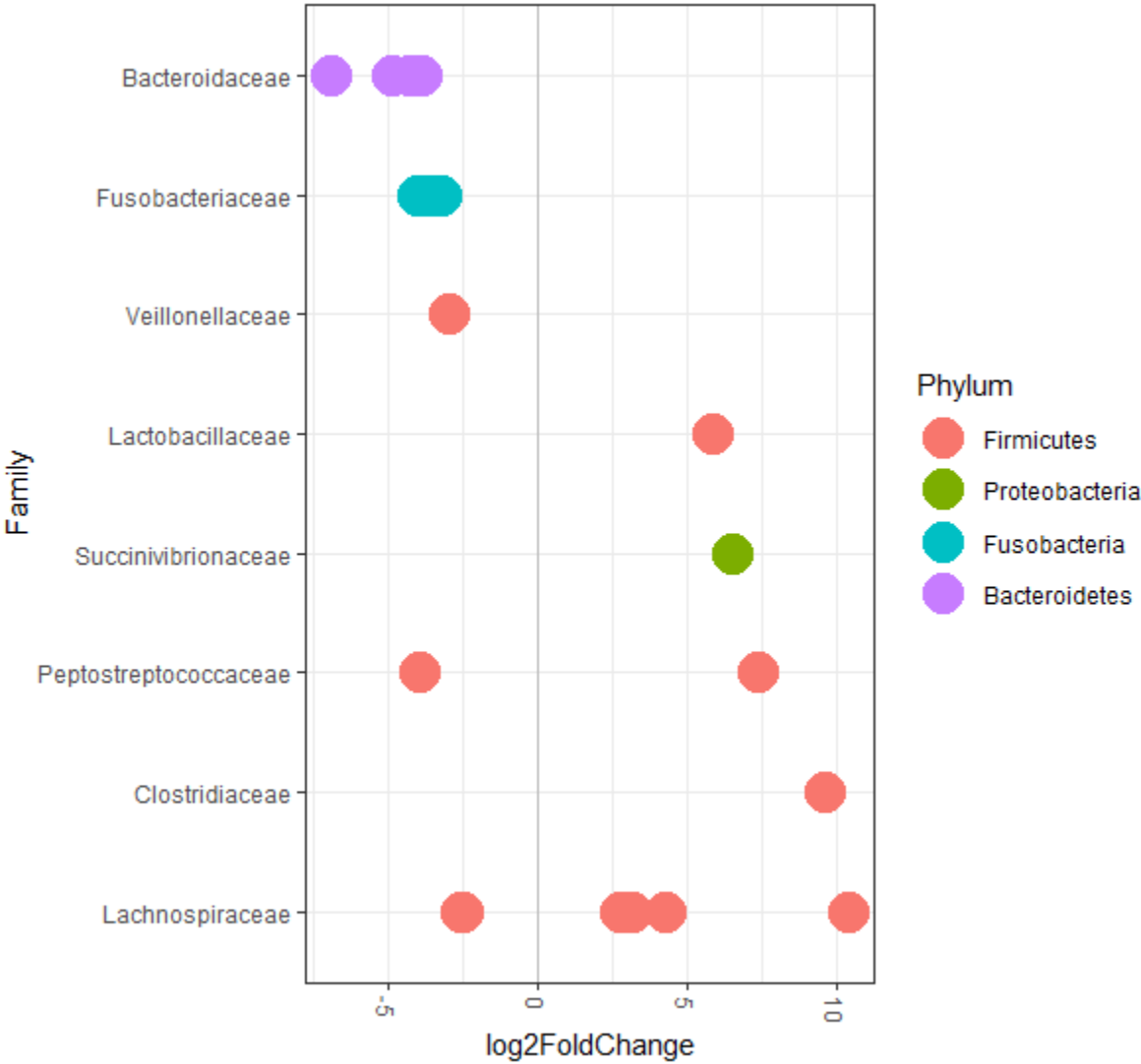
3535 **2.3.2.2.2 Microbial differential abundance testing**

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3537 Mothers were compared to young adults to assess which taxonomic groups in the intestine were  
3538 significantly different between the groups. When mothers were compared to young adults, six family groups  
3539 were significantly enriched in mothers: Clostridiaceae, Peptostreptococcaceae, Lachnospiraceae,  
3540 Lactobacillaceae and Succinivibrionaceae (Table 2.7).

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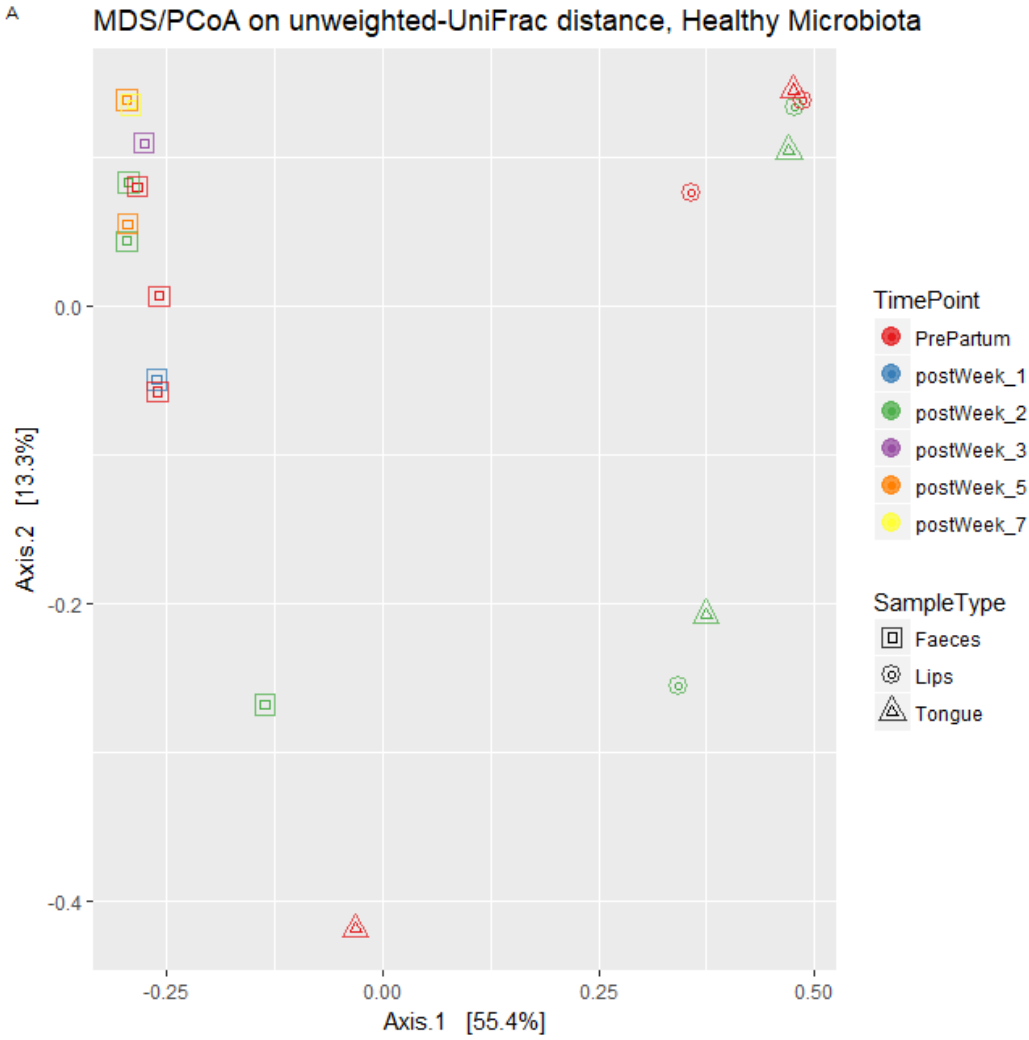
Figure 2.10: Microbial differential abundance family groups. Mother versus Young Adults. Mother N=2; n=11. Adults N=10, n=73.

Table 2.7 Microbial differential abundance family groups. Mother versus Young Adults

Otu	base Mean	log2Fold Change	lfcSE	stat	P value	P adj	Phylum	Class	Order	Family	Genus	Species
Otu 17	712.3	9.609.704	11.854	8.106.	5,22E-10	6,84E-08	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	<NA>	<NA>
Otu 34	586.6	7.369.011	0.9824	7.500.	6,37E-08	4,17E-06	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	<NA>	<NA>
Otu 78	83.8	10.422.383	17.476	5.963.	2,47E-03	1,08E-01	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Epulopiscium	<NA>
Otu 21	479.6	-3.914.828	0.725	-5.396.	6,80E-02	2,23E+00	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 185	41.37	-3.984.128	0.761	-5.231.	1,68E-01	4,41E+00	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	<NA>
Otu 325	3.14	4.305.537	0.879	4.893.	9,91E-01	2,16E+01	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	<NA>
Otu 105	108.45	-2.479.658	0.540	-4.591.	4,40E+00	8,23E+01	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<NA>	<NA>
Otu 4	3.874.74	-3.200.193	0.701	-4.561	5,08E+00	8,32E+01	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	<NA>
Otu 24	163.7	-4.024.523	0.888	-4.531	5,86E+00	8,53E+01	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 9	546.1	-4.220.209	10.045	-4.201	2,66E+01	3,48E+02	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 27	77.9	3.178.349	0.765	4.151	3,30E+01	3,94E+02	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blauti	<NA>
Otu 42	19.9	-6.868.535	17.629	-3.895	9,78E+01	1,07E+03	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 79	11.4	-3.878.843	10.218	-3.795.	1,47E+02	1,48E+03	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 314	9.6	-2.549.188	0.675	-3.776	1,59E+02	1,49E+03	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<NA>	<NA>
Otu 73	33.6	-3.915.888	10.417	-3.759.	1,71E+02	1,49E+03	Firmicutes	Clostridia	Clostridiales	Peptostreptococcaceae	<NA>	<NA>
Otu 22	14.	5.892.301	16.024	3.676.	2,36E+02	1,93E+03	Firmicutes	Bacilli	Lactobacillales	Lactobacillaceae	Lactobacillus	ruminis
Otu 12	46.0	-4.872.392	13.450	-3.622.	2,92E+02	2,12E+03	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 3	2.667	-2.951.090	0.811	-3.635.	2,77E+02	2,12E+03	Firmicutes	Clostridia	Clostridiales	Veillonellaceae	Megamonas	<NA>
Otu 189	15.94	2.770.786	0.772	3.586.	3,35E+02	2,31E+03	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<NA>	<NA>
Otu 389	4.60	-3.544.481	10.581	-3.349	8,09E+02	5,30E+03	Fusobacteria	Fusobacteriia	Fusobacteriales	Fusobacteriaceae	Fusobacterium	<NA>
Otu 402	1.70	2.740.856	0.8461	3.239	1,20E+03	7,48E+03	Firmicutes	Clostridia	Clostridiales	<NA>	NA>	<NA>
Otu 68	65.38	6.548.851	20.448	3.202	1,36E+03	8,11E+03	Proteobacteria	Gammaproteobacteria	Aeromonadales	Succinivibrionaceae	Anaerobiospirillum	<NA>

#### 2.3.2.2.3 Diversity Analysis

Analysis of alpha diversity showed that the lowest diversity was present during the first week postpartum. Beta diversity analysis showed that microbial communities in mothers clustered together according to the type of sample, being faecal samples separated from oral microbiota as expected (P value: <0,001). However, time point didn't account much in the observed differences (P value: 0.437) (Figure 2.11).



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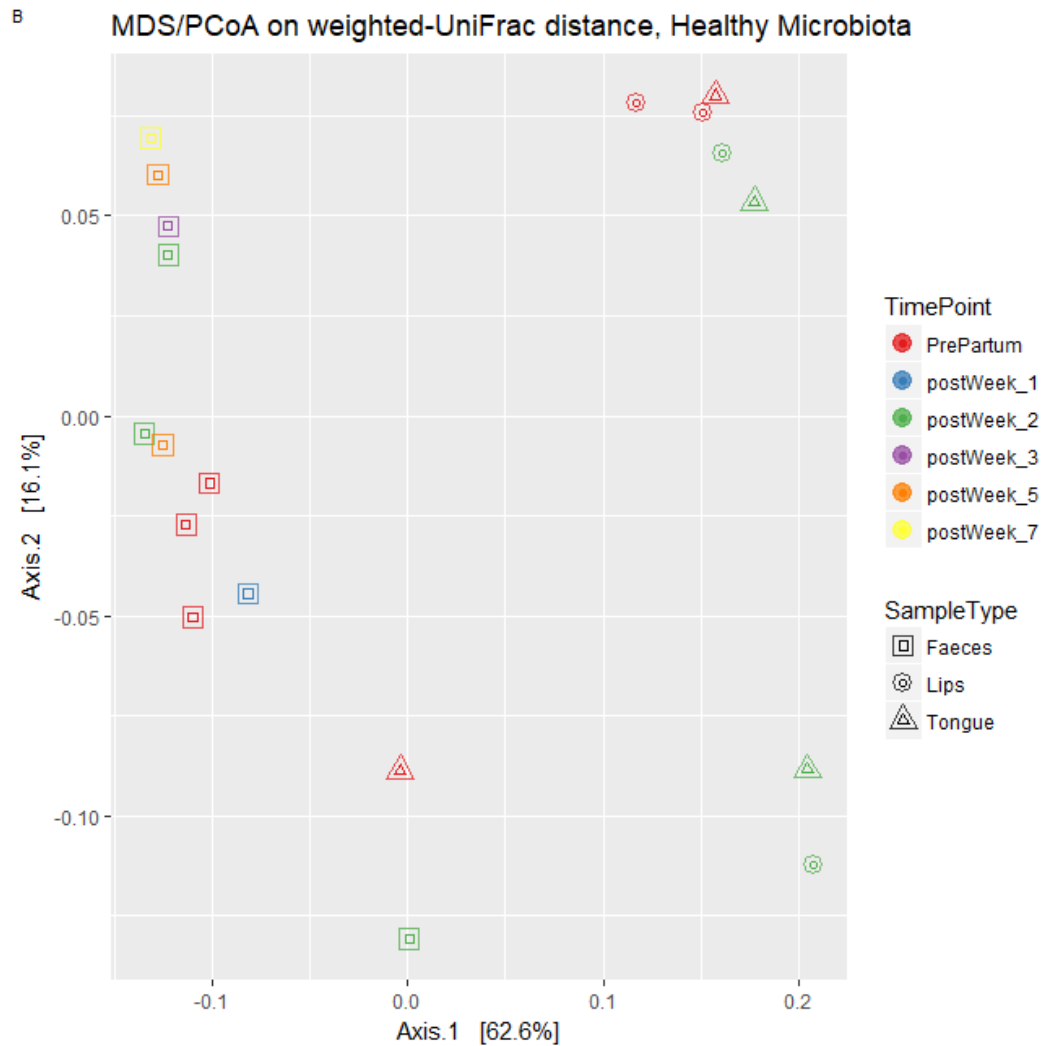


Figure 2.11: Beta diversity analysis in mothers. A: Unweighted and B: Weighted UNIFRAC analysis comparing different time points and type of samples. Mother N=2; n=19 (Faeces=11, oral mucosa n=4; tongue n=3).

### 2.3.2.3 Gut microbiota in growing dogs

#### 2.3.2.3.1 Relative abundance of the major phylogenetic groups

During this period, the gut microbiota undergoes its final significant shift attributed to the continued influence of a varied solid food diet and especially to a greater environmental exposure. Although gut microbiota development followed a similar trend over time toward a more like adult-like microbiota; this was not yet completed by one year of age. Three dogs were assessed in this group. For one dog, it was possible to collect only three samples as the owner had to move out and it was difficult for her to bring her puppy. For dog2, five-time points were collected. The last sample could not be collected as the dog suffered from an urinary tract infection and received antibiotics. For dog1, seven samples were collected in total. Samples six corresponded to the transition from puppy to an adult diet (mix in a proportion 50:50 same brand) and sample seven was adult diet exclusively. At phylum level, the first five time points were characterised by Bacteroidetes (38-53%), followed by Firmicutes (23-40%), Fusobacteria (16-26%) and Proteobacteria (2-10%). Time-point six and seven corresponded with the diet transition and it was characterised by the predominance of Fusobacteria (46-52%) followed by Firmicutes (29-15%), Bacteroidetes (14-19%) and Proteobacteria (4-18%) (Figure 2.12).

At class level, Bacteroidia (38-53%), followed by Clostridia (10-39%), Fusobacteria (16-26%), Gammaproteobacteria (1-7%) and Betaproteobacteria (1-2%) preponderated in the first five time-points. The last two-time points were ruled in decreasing order by Fusobacteria (46-52%), Clostridia (15-28%), Bacteroidia (19-14%), Gammaproteobacteria (2-15%) and Betaproteobacteria (1-3%) (Figure 2.12).

At order level, Bacteroidales (53-38%), Clostridiales (39-10%), Fusobacteriales (26-16%), Enterobacteriales (0-7%) and Burkholderiales (1-2%), The last two-time points had the same groups but the abundance changed. Fusobacteriales (46-52%), followed by Clostridiales (28-15%) and Bacteroidales (19-14%) predominated.

#### 2.3.2.3.1 Diversity Analysis

Alpha diversity analysis showed that diversity is still increasing over time and a lot of variation exists, (Figure 2.13). When Beta diversity was studied, samples were dispersed over time; and the differences among

3599 subjects was significant (P value:  $< 0,001$ ). However, samples coming from the same individual clustered  
3600 together (Figure 2.14).

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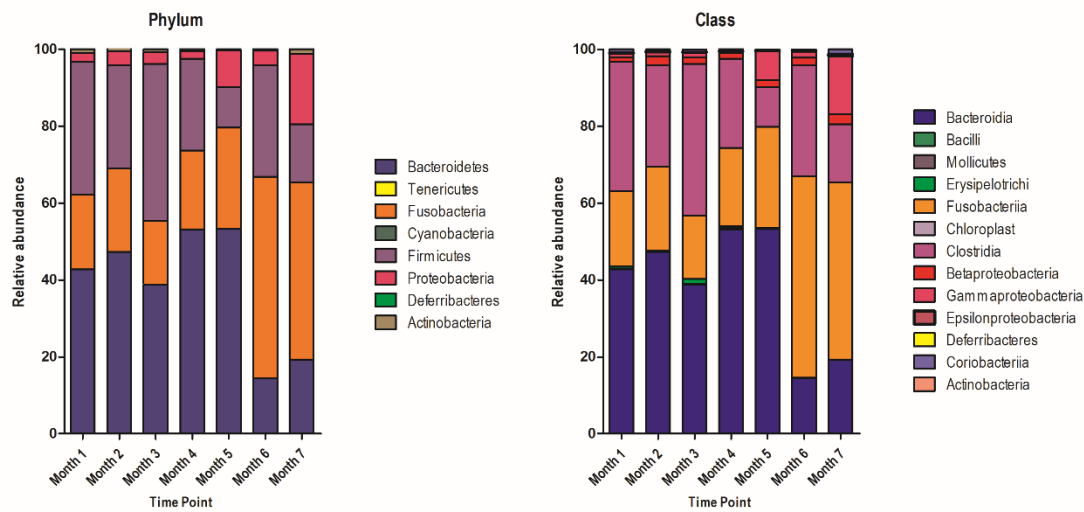


Figure 2.12: Top 20 of the relative abundance of main phylogenetics groups in the group 3 -12 months.

N=3, n=15. Samples were rarified at 5000 sequences.

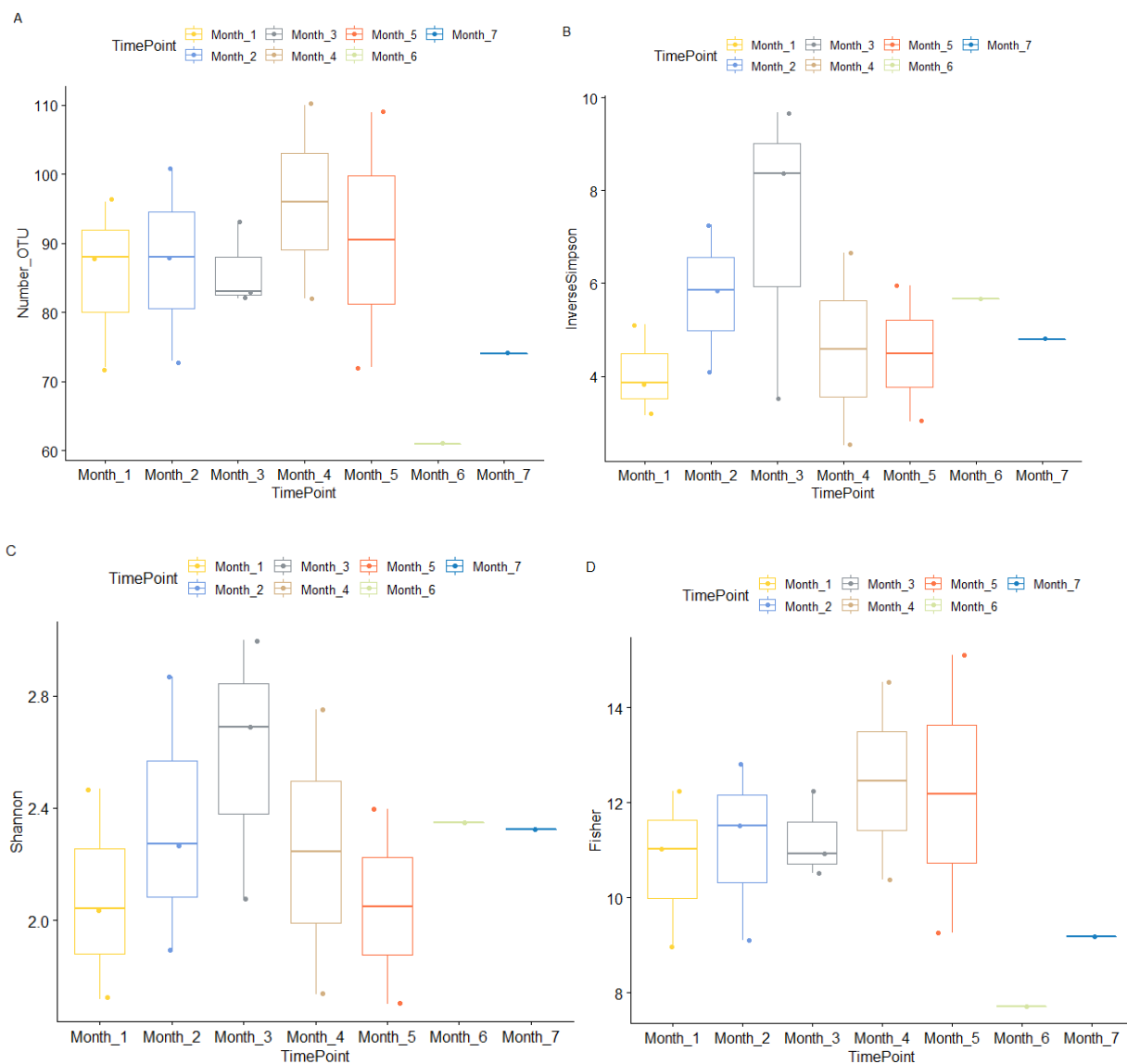
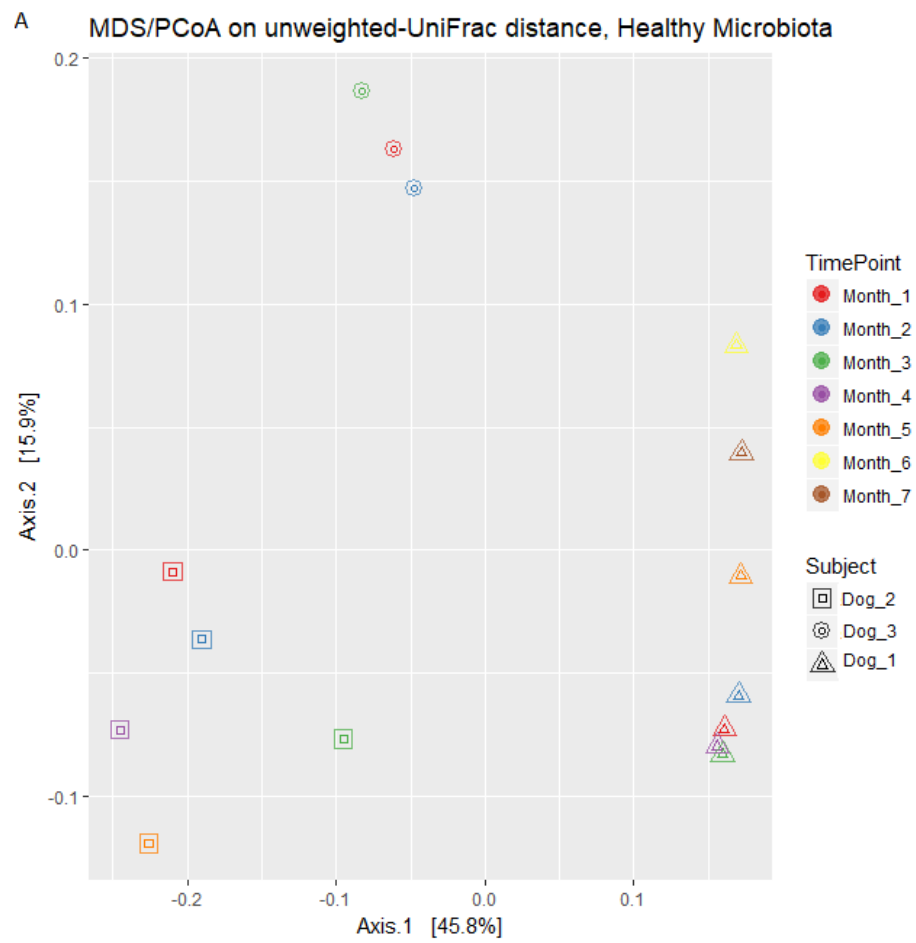


Figure 2.13: Alpha diversity analysis of puppies (growth group) at different time points. A: Number of OTUs (Observed), B: Inversed Simpson's Index, C: Shannon's Index and D: Fisher's Index.. Month\_1, n=3 Month\_2, n= 3; Month\_3, n=3; Month\_4, n=2 Month\_5, n=2; Month\_6, n=1; Month\_7, n=1 N: 3 puppies.



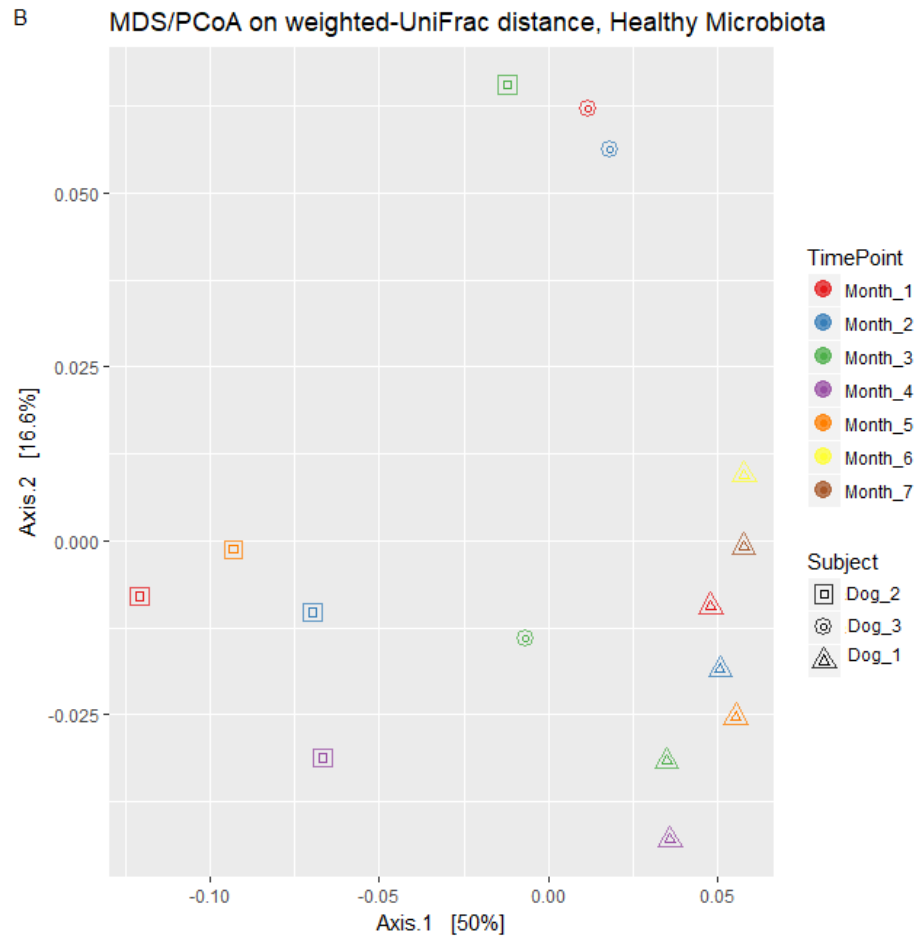


Figure 2.14: Beta diversity analysis in Growth puppies. A: Unweighted and B: Weighted UNIFRAC analysis comparing different time points and subjects. N=3, n=15.

#### 2.3.2.4 Gut microbiota in adulthood

##### 2.3.2.4.1 Relative abundance of the major phylogenetic groups

###### 2.3.2.4.1.1 Young adults

At this age stage, highest phylogenetic levels were constant during the sampling period and their relative abundance and classification were in accordance with previous reports in healthy dogs (Jan S. Suchodolski, 2013). At phylum level, the most abundant group in decreasing order were Bacteroidetes (41-56%), Firmicutes (18-32%), Fusobacteria (18-27%), Proteobacteria (3-9%) and Actinobacteria and Tenericutes (less < 1%) (Figure 2.15).

At Class level, Bacteroidia (41-56%) predominated, followed by Fusobacteria (18-27%), Clostridia (17-26%), Gammaproteobacteria (0,3-7%) and Betaproteobacteria (2-4%) (Figure 2.14). The order level, followed the same trend with Bacteroidales being the most abundant (41-56%). Other groups that were present were Fusobacteriales (18-27%), Clostridiales (17-26%), Lactobacillales (1-6%), Enterobacteriales (0,3-6%) and Burkholderiales (2-4%).

###### 2.3.2.4.1.2 Mature dogs

Although the number of dogs was small in this group, the same characteristics that were observed in young adults were observed in mature dogs. At phylum level, the most abundant group in decreasing order were Bacteroidetes (20-50%), Firmicutes (20-43%), Fusobacteria (23-32%), Proteobacteria (2-10%) and Actinobacteria (less < 1%) (Figure 2.15).

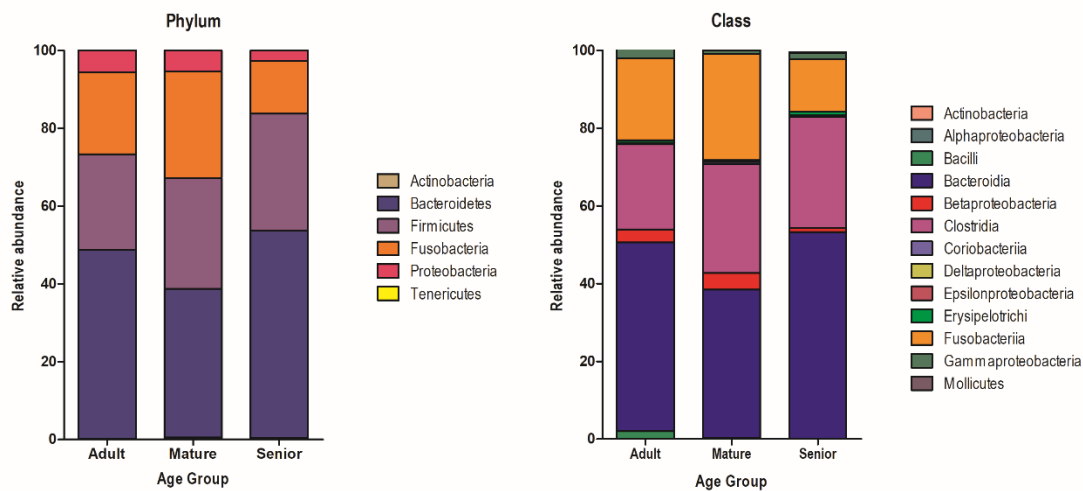
At Class level, Bacteroidia (30-50%) predominated, followed by Fusobacteriia (23-32%), Clostridia (19-32%), Betaproteobacteria (1-10%), Gammaproteobacteria (0-4%) and Bacilli (0-1%) (Figure 2.14). The order level, followed the same trend with Bacteroidales being the most abundant (20-50%). Other groups that were present were Fusobacteriales (23-32%), Clostridiales (19-44%), Lactobacillales (0-1%), Enterobacteriales (0-4%) and Burkholderiales (2-10%).

###### 2.3.2.4.1.3 Senior dogs

Only two dogs in this group were followed over time. One of the dogs had a history of chronic kidney disease that has been under control over the last year with diet only. However, the dog was withdrawn from the study at month-3 due to a flare-up of clinical signs (dog2). In people, it has been reported that kidney disease is associated with alterations in the gut microbiota and thus could have an effect in the microbiota profile of this dog.

In general, the same groups that were observed in adult and mature dogs; were present in this group. (Figure 2.15) At phylum level, the most abundant group varied at different sample-points. The groups presented were Bacteroidetes (34-72%), Firmicutes (16-42%), Fusobacteria (3-39%), Proteobacteria (1-9%) and Actinobacteria and Tenericutes (less < 1%) (Figure 2.15).

At Class level, Bacteroidia (34-72%) predominated, followed by Clostridia (15-40%), Fusobacteriia (3-39%), Gammaproteobacteria (0-9%), Betaproteobacteria (0,5-2%), Erysipelotrichi (0,5-2%) (Figure 2.15). The order level, followed the same trend with variations at different time-points. The main group presented were Bacteroidales (34-72%), Fusobacteriales (3-39%), Clostridiales (15-40%), Lactobacillales (0-2%), Enterobacteriales (0-8%), Burkholderiales (0,5-2%) and Erysipelotrichales (0,5-2%).



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3683 Figure 2.15: Top 20 of the relative abundance of main phylogenetics groups during adulthood. Young Adult (1\_7

3684 year) N=10. Mature (8\_10 year) N=3; n=17. Senior (11\_15 year) N=2; n=10. N: Number of Animals, n: number of

3685 samples. Samples were rarified at 5000 sequences. N: Number of Animals, n: number of samples.

#### 2.3.2.4.1 Microbial differential abundance testing

Young adults were compared to mature dogs (senior were not compared as only two dogs were part of the group) to assess which taxonomic groups were significantly different between the groups. When young adults were compared to mature dogs, three family groups were significantly enriched in mature dogs: Lachnospiraceae, Bacteroidaceae and Erysipelotrichaceae (Table 2.8) (Figure2.16).



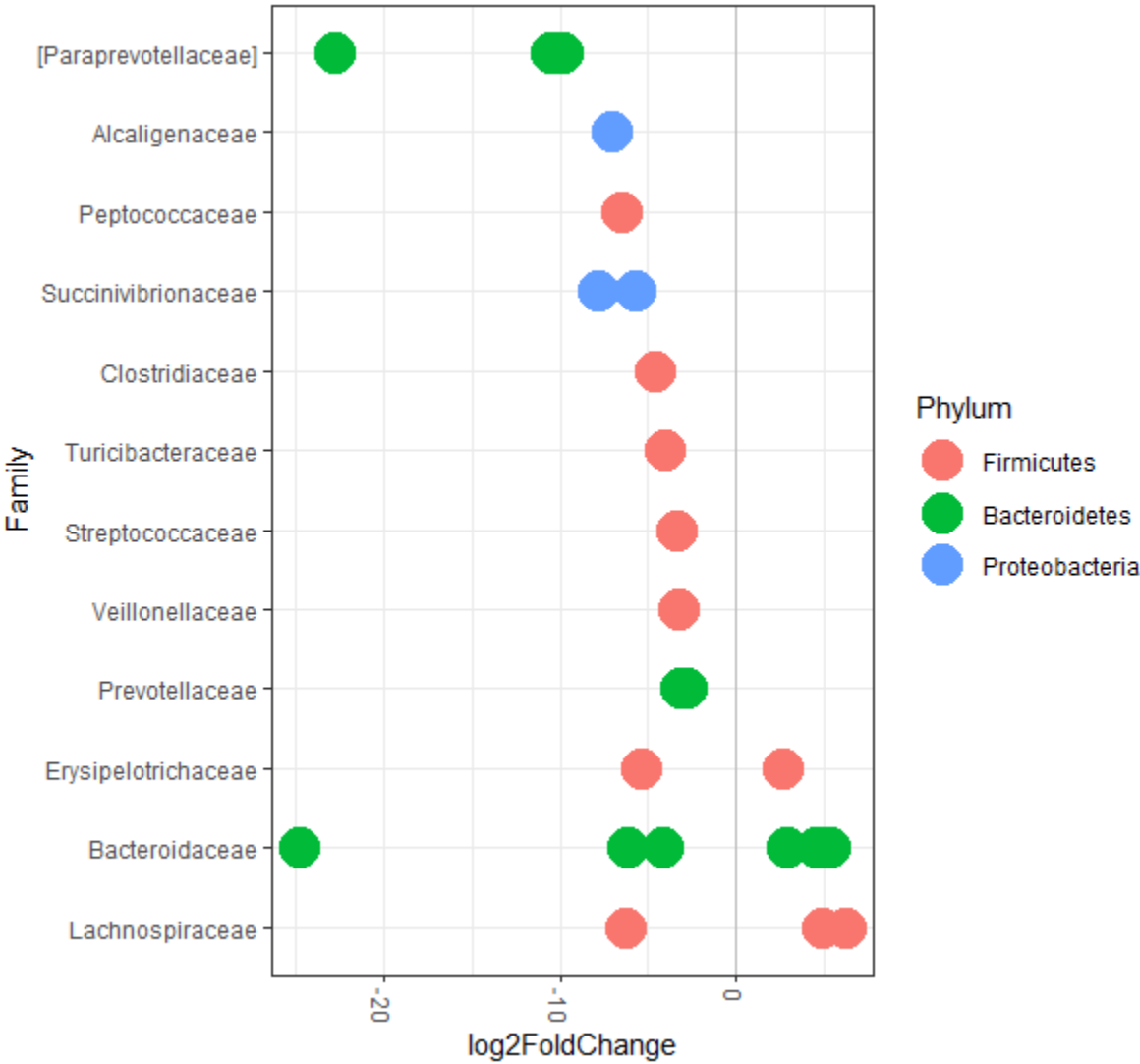


Figure 2.16: Microbial differential abundance family groups. Adults versus Mature dogs. Young Adult (1\_7 year) N=10. Mature (8\_10 year) N=3; n=17.

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Table 2.8 Microbial differential abundance. Adults versus Mature dogs.

OTUs	baseMean	log2Fold Change	lfcSE	stat	pvalue	Padj	Phylum	Class	Order	Family	Genus	Species
Otu 86	37.422.286	- 24.821.94 0	16.706.00 0	- 14.858.09 9	6,16E-44	9,06E-42	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 7	1.741.884.00 8	- 10.486.02 4	0.9072448	- 11.558.09 8	6,72E-25	4,94E-23	Bacteroidetes	Bacteroidia	Bacteroidales	[Paraprevotellaceae ]	[Prevotella]	<NA>
Otu 51	38.825.444	- 22.810.41 4	20.222.31 2	- 11.279.82 5	1,65E-23	8,09E-22	Bacteroidetes	Bacteroidia	Bacteroidales	[Paraprevotellaceae ]	[Prevotella]	<NA>
Otu 129	2.566.284	4.915.869	0.7411646	6.632.628	3,30E-05	1,21E-03	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<NA>	<NA>
Otu 62	30.746.709	-7.792.746	12.808.82 4	-6.083.889	1,17E-03	3,45E-02	Proteobacteria	Gammaproteobacteria	Aeromonadales	Succinivibrionaceae	<NA>	<NA>
Otu 101	4.554.658	6.286.515	11.990.53 9	5.242.896	1,58E-01	3,49E+0 0	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<NA>	<NA>
Otu 262	5.719.285	5.339.105	10.201.16 8	5.233.818	1,66E-01	3,49E+0 0	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 46	35.485.640	-4.044.139	0.7876929	-5.134.157	2,83E-01	5,21E+0 0	Firmicutes	Bacilli	Turicibacterales	Turicibacteraceae	Turicibacter	<NA>
Otu 25	86.700.152	-5.378.564	10.760.86 1	-4.998.266	5,78E-01	9,45E+0 0	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	Catenibacterium	<NA>
Otu 89	17.786.500	-7.003.729	14.415.95 7	-4.858.317	1,18E+0 0	1,74E+0 1	Proteobacteria	Betaproteobacteria	Burkholderiales	Alcaligenaceae	Sutterella	<NA>
Otu 26	14.921.234	-6.194.880	13.485.53 0	-4.593.723	4,35E+0 0	5,82E+0 1	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	coprophilus
Otu 79	11.299.099	-4.171.525	0.9121217	-4.573.430	4,80E+0 0	5,88E+0 1	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 12	709.325.663	4.570.068	10.530.45 1	4.339.859	1,43E+0 1	1,61E+0 2	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 97	12.740.339	-6.524.413	15.376.57 4	-4.243.086	2,20E+0 1	2,31E+0 2	Firmicutes	Clostridia	Clostridiales	Peptococcaceae	Peptococcus	<NA>
Otu 23	12.190.828	-6.307.198	15.072.25 8	-4.184.640	2,86E+0 1	2,80E+0 2	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	<NA>
Otu 41	132.989.408	-3.283.415	0.7894174	-4.159.289	3,19E+0 1	2,93E+0 2	Firmicutes	Clostridia	Clostridiales	Veillonellaceae	Phascolarctobacterium	<NA>
Otu 58	22.911.766	-9.789.246	24.305.42 6	-4.027.597	5,63E+0 1	4,87E+0 2	Bacteroidetes	Bacteroidia	Bacteroidales	[Paraprevotellaceae ]	<NA>	<NA>
Otu 110	5.674.794	2.655.857	0.6633804	4.003.521	6,24E+0 1	5,10E+0 2	Firmicutes	Erysipelotrichi	Erysipelotrichales	Erysipelotrichaceae	<NA>	<NA>
Otu 24	479.217.696	2.920.607	0.7505152	3.891.470	9,96E+0 1	7,71E+0 2	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	Bacteroides	<NA>
Otu 2	5.019.573.60 3	-3.160.872	0.8238806	-3.836.566	1,25E+0 2	9,17E+0 2	Bacteroidetes	Bacteroidia	Bacteroidales	Prevotellaceae	Prevotella	copri

Otu 61	5.966.725	-4.602.013	12.798.22 8	-3.595.820	3,23E+0 2	2,26E+0 3	Firmicute	Clostridia	Clostridiales	Clostridiaceae	Clostridium	<NA>
Otu 391	3.070.538.46 8	-2.797.306	0.8323433	-3.360.760	7,77E+0 2	5,19E+0 3	Bacteroidetes	Bacteroidia	Bacteroidales	Prevotellaceae	Prevotella	copri
Otu 35	7.885.452	-5.649.372	17.178.83 1	-3.288.566	1,01E+0 3	6,44E+0 3	Proteobacteri a	Gammaproteobacteri a	Aeromonadales	Succinivibrionaceae	Anaerobiospirillum	<NA>
Otu 5	51.363.321	-3.333.347	10.374.98 1	-3.212.870	1,31E+0 3	8,05E+0 3	Firmicutes	Bacilli	Lactobacillales	Streptococcaceae	Streptococcus	<NA>

3703

#### 2.3.2.4.2 Diversity Analysis

When Alpha diversity was analysed, community structure was highly variable but exhibited less variability within the individual over time and it was not significantly different. Representative data from the young adult group is shown in figure 2.17.

Analysis of Beta diversity showed subject-specific grouping of samples and it seems that each dog harbours a subject-specific core (Young adults versus mature dogs: P value: <0,001). (Figure 2.18).

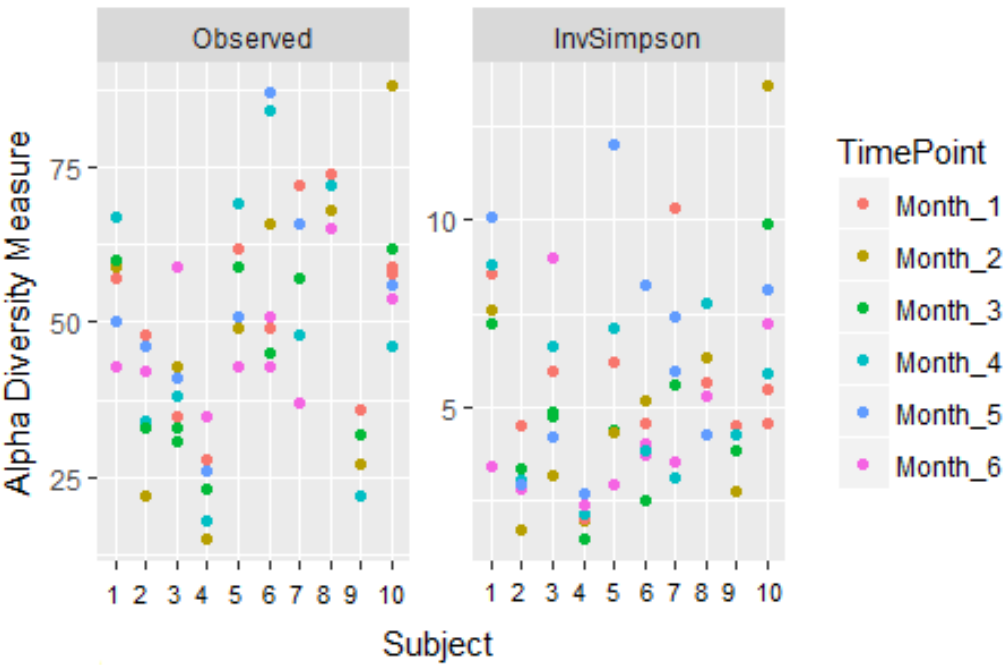
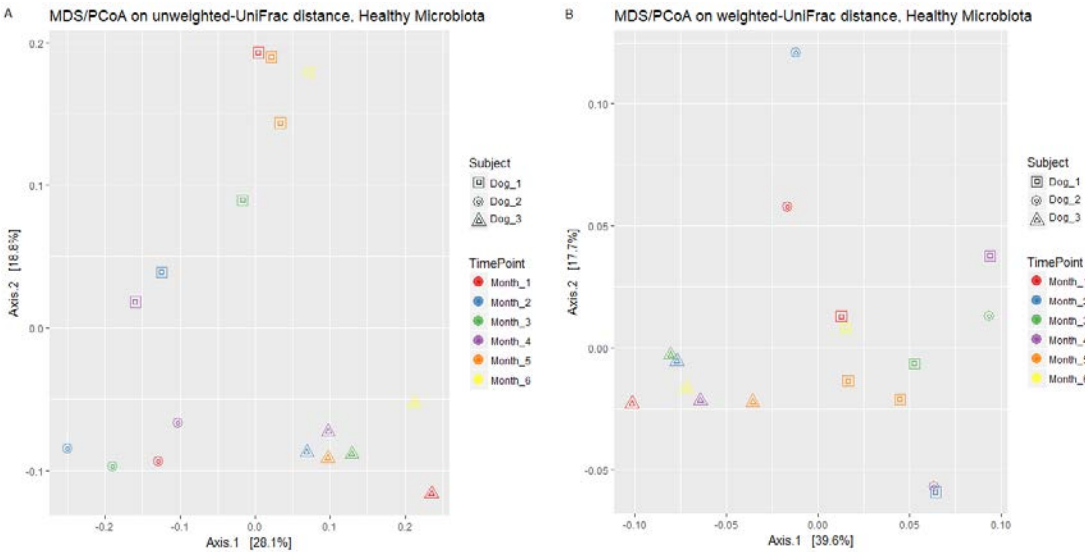
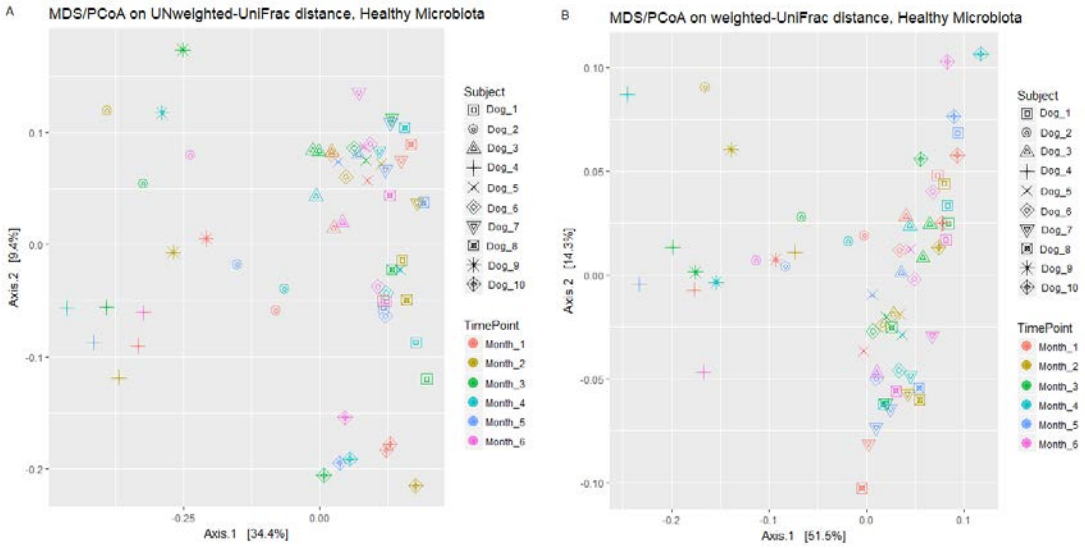


Figure 2.17: Alpha diversity analysis of adult dogs at different time points and per subject. Observed (Number of OTUS) and Inversed Simpson index analysis. The same level of dispersion was seen in senior and mature dogs.



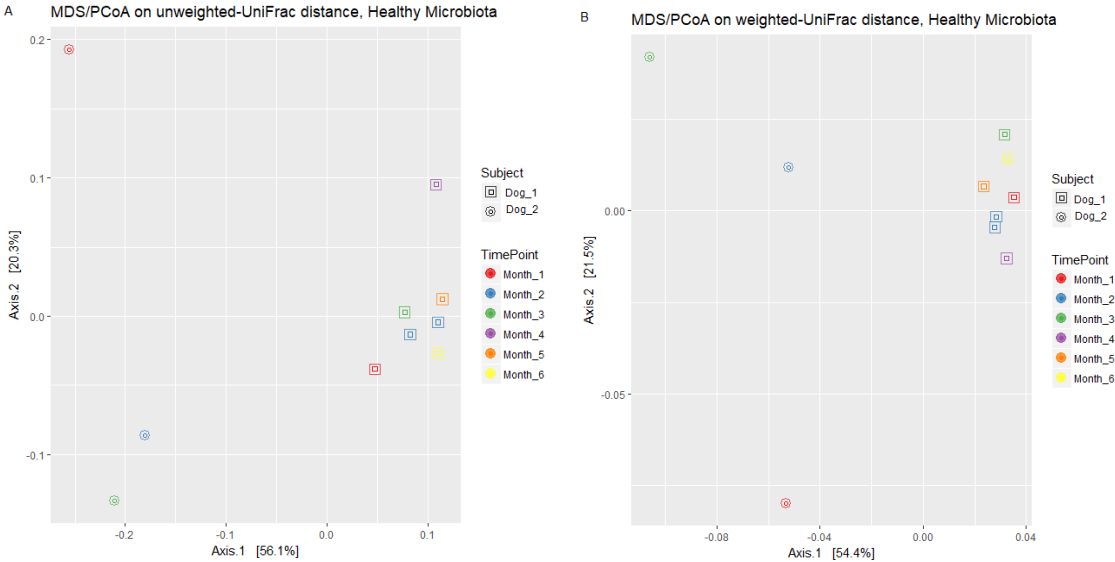


Figure 2.18 : Beta diversity analysis in adult (upper panel ), mature (middle panel) and senior dogs (lower panel). A: Unweighted and B: Weighted UNIFRAC analysis comparing different time points and subjects.

## 2.4 Discussion

Gut microbiota plays a crucial role in physiologic, metabolic and immunologic processes and thus; it helps the body to keep a homeostatic state. The first step in understanding the symbiotic relationship between gut microbes and their host consists in the characterisation of the baseline healthy microbiota and how the microbiota evolves and is established. Differences in microbial colonization patterns are not only important for microbial composition, but also because they influence the concomitant development of the immune system. The microbiome is dynamic and responds to a variety of factors including diet, environment, medical interventions, and disease states. Also, developmental milestones (such as infancy and ageing) mark important changes in the gut microbiota. These stages are accompanied by numerous physiological changes, including changes in hormonal levels, inflammation, and metabolic states.

Previously, it was believed that the first microbial contact took place immediately after birth. However, recent studies in humans, have found bacterial DNA in placenta, amniotic fluid and meconium (Aagaard *et al.*, 2014). It is suggested that in people, the foetal microbiome is acquired in the last trimester from the microbiome in the mother's amniotic fluid (Laing, Barnett, Marlow, Nasef, & Ferguson, 2018). Meconium are the first faeces produced after birth and are mainly composed of the amniotic fluid ingested during the foetal period. Bacterial composition of meconium is about 61% shared with the bacterial composition of amniotic fluid, further suggesting bacterial colonization *in utero* (Ardissone *et al.*, 2014).

We followed two litters weekly from birth until 10 weeks old. From one of the dogs, it was possible to obtain a sample of meconium. Analysis of the sample revealed the presence of bacterial DNA, mainly from the Proteobacteria phylum (94%). Although, it is impossible to draw conclusions from a single sample, findings are similar to those reported in babies. In babies, it has been found that approximately 67% of the meconium samples contained bacterial DNA (Ardissone *et al.*, 2014). In babies, meconium shows low species diversity, high between-subject variation, and enrichment of Proteobacteria at the expense of reduction of Bacteroidetes (Hu *et al.*, 2013).

Controversy about the presence of bacteria prenatally remains in people. First, the presence of bacterial DNA doesn't necessarily mean viable bacteria or that they or their products are really exerting a physiological role in the foetus and later on during the baby's life or in the assembly and succession of a



microbial community. Currently, this is unknown. Also, Lauder *et al.* (2016) using quantitative PCR of 16S rRNA gene, found that placental samples and negative controls contained low and indistinguishable bacterial copy numbers, suggesting that findings could be more related to contamination introduced during the DNA purification or sequencing process (Lauder *et al.*, 2016). Additionally, it has been found that reagent and laboratory contamination can critically impact the results (Salter *et al.*, 2014). We sequenced some negative samples, including the water used for resuspension of the DNA. Although some of the samples, showed bacterial DNA, most of which corresponded to the phylum Proteobacteria (97%); at lower phylogenetic levels, bacteria were clustered in groups not found (or found less than 1% abundance) in meconium.

Numerous factors in early life contribute to the establishment and maintenance of the microbiome. This stage is characterised by enormous dietary changes, new environmental exposures, and maturation of the immune system; all of which shape the gut microbiota (Nuriel-Ohayon, Neuman, & Koren, 2016).

In people and mice, several studies have shown that bacterial gut colonization is influenced by delivery mode, gestational age at birth, feeding patterns, sanitary conditions, antibiotic administration and maternal diet. After birth, the intestine of puppies becomes rapidly colonized by bacteria present in the birth canal, skin, milk, and surrounding environment. Samples collected one day after birth (week 0) were characterised by the predominance of Proteobacteria (83%) and Firmicutes (17%). At class level, Gammaproteobacteria (82%), Clostridia (16%) and Bacilli (1%) were the most abundant groups and at family level, Enterobacteriaceae (83%), Clostridiaceae (15%) and Enterococcaceae (1%) predominated.

This was in accordance with previous studies performed in people and dogs. The first colonizers in the intestine are mainly facultative anaerobic bacteria (Enterobacteriaceae, Enterococcaceae and Pasteurellaceae). It is thought that their main purpose is to consume oxygen and create a more suitable environment for obligate anaerobes. However, they rapidly change to strict anaerobes (Palmer *et al.*, 2007). In puppies, although Enterobacteriaceae was still abundant during the first weeks (69-93%), proportions of obligated anaerobes increased over time and predominated after three weeks postpartum. At this time-point, the main families comprised the groups Streptococcaceae (24%) (Facultative anaerobe), Lachnospiraceae (23%), Bacteroidaceae (21%) and Lactobacillaceae (11%) (anaerobes). The percentage of Enterobacteriaceae decreased to 7%.

3795  
 3796 When we compared puppies with young adults, fourteen bacterial groups were enriched in puppies. The  
 3797 Proteobacteria are thought to play a key role in preparing the gut for colonization by the strict anaerobes  
 3798 required for healthy gut function by consuming oxygen, and lowering redox potential in the gut environment  
 3799 (Moon, Young, Maclean, Cookson, & Bermingham, 2018) (Shin, Whon, & Bae, 2015).  
 3800  
 3801 On the other hand, when we analysed the relative abundance of the different bacterial groups at the  
 3802 highest phylogenetic levels, we found that the microbial development was divided into two distinct phases  
 3803 mainly correlated with diet. One phase characterised by mainly breast feeding (nursing) and another phase  
 3804 characterised by solid food as the main dietary source (weaning).  
 3805  
 3806 In babies, delivery method greatly influences the composition of their gut microbiota. In babies delivered by  
 3807 C-section, the skin and the environment constitute the main source of bacteria; whereas, vaginally-  
 3808 delivered infants' gut microbiota resembles that of their mothers' faecal microbiota (Dominguez-Bello *et al.*,  
 3809 2010). The infants born by C-section also exhibit delayed colonization of the phylum Bacteroidetes, and  
 3810 lower alpha diversity during the first 2 years of life (Jakobsson *et al.*, 2014). However, the differences in  
 3811 species diversity between delivery modes are decreased after 4 months, and almost disappear by the age  
 3812 of 12 months (Backhed *et al.*, 2015).  
 3813  
 3814 In one of the litters, the first two puppies were born vaginally and the other three via C-section. Contrary to  
 3815 what it has been observed in people,  $\beta$ -diversity analysis did not show any difference regarding delivery  
 3816 method at any time point (P value: 0.864). In dogs, mothers frequently lick their puppies during the first  
 3817 weeks. This constitute a critical step in the health of growing puppies. Immediately after birth, mothers do  
 3818 this to clean their puppies and encourage them to breathe; stimulate the reflex to urinate and defecate as  
 3819 well as for establishing a bond. We believe that this action could help to transfer gut microbiota among  
 3820 puppies and among mother and puppies, distributing the microbiota evenly. Additionally, puppies and their  
 3821 mothers are in close relation with other dogs living in the facility, which could help to increase the exposure  
 3822 to every type of bacteria. The same scenario may not apply to dogs that are born in private houses.  
 3823 Another factor that we have to consider is that the impact of a C-section on the gut microbiota and  
 3824 especially on the immune system development; is strongly dependent on whether the procedure is elective

or an emergency. Now that, the onset of labor has been shown to have effects in immune response and microbial exposure (Arbolea et al., 2018).

Due to the aforementioned action, we hypothesised that the mother's oral microbiota could also influence the gut microbiota in puppies. However,  $\beta$ -diversity analysis showed that at any time point, oral microbiota clustered separately from those of the puppies and those from mother's gut microbiota. One limitation of this finding, is the use of different DNA extraction methods for faecal and oral samples. This could potentially have an effect on the bacterial population present. A recent study in babies showed that all maternal body sites contribute to the common mother-infant species, being the stool microbiome the major contributor. Stool microbiome accounts for 22.1% of the overall microbial abundance in the infant gut, followed by the vagina (16.3%), the oral cavity (7.2%) and the skin (5%). However, those bacteria coming from the vagina, the oral cavity and the skin are only transient colonizers as it seems that they are not adapted to the intestinal environment and also because of the selection forces that are happening within the intestinal environment (Ferretti et al., 2018).

Studies in humans, have reported spatial differences in the oral microbiota, so we collected two samples from the oral cavity, one coming from the tongue and another one coming from the cheek mucosa (M. W. Hall *et al.*, 2017). We did not find differences in the type of groups presented, although the relative abundance was slightly but not significantly different. Prepartum oral samples were mostly composed of Bacteroidetes (58-70%) followed by Proteobacteria (18-33%). Other phyla present comprised Firmicutes, Spirochaetes, Fusobacteria and Actinobacteria. This was in accordance with previous studies. (Elliott, Wilson, Buckley, & Spratt, 2005). Porphyromonas (64-44%) was the predominant genus in oral samples in our study, which is in agreement with previous studies (Oh *et al.*, 2015). Other genera present comprised Moraxella (4-16%) Treponema (1-2%), Pausterella (1-2%), [Prevotella] (2-6%), Fusobacterium (1.5%) and Capnocytophaga (0.5-1%).

Interestingly, samples taken from the oral microbiota two weeks after partum, showed a different profile. At phylum level, Proteobacteria (49%) followed by Bacteroidetes (38%) predominated. At genus level, Porphyromonas (10-22%), Moraxella (11-21%), Pausterella (3-12%), Treponema (2-3%), Capnocytophaga (3-8%), Fusobacterium (2%), Lampropedia (2-5%), Lautropia (2-3%), Conchiformibius (1,5-3%), Bacteroides (0,51%) and Actinomyces (0,5-1%).

3856  
 3857 Proteobacteria was the predominant phylum in puppies during the first few weeks, so there is a possibility  
 3858 that the constant action of cleaning the puppies could also influence oral microbiota. However, some  
 3859 studies have shown that Proteobacteria predominates in the oral microbiota. Thus, there is a possibility that  
 3860 the increase in Proteobacteria could be an indication of normalization of the oral bacterial population (Other  
 3861 studies have shown the predominance of Bacteroidetes) (Flancman, Singh, & Weese, 2018) (Isaiah et al.,  
 3862 2017). As our main purpose was the analysis of the gut microbiota in puppies and not the oral microbiota,  
 3863 we did not collect more samples. However, it would have been interesting to assess the oral microbiota  
 3864 after weaning, to check whether the oral microbiota returned to a normal profile or not. Also, it would be  
 3865 interesting to assess the oral microbiota during pregnancy and characterise it. In people, changes in the  
 3866 oral microbiota has been reported during pregnancy (Fujiwara *et al.*, 2017) (Borgo, Rodrigues, Feitosa,  
 3867 Xavier, & Avila-Campos, 2014).

3868  
 3869 Studies in humans have shown that the early stages of colonization are characterized by profound  
 3870 interindividual variation of the gut microbiota. However, we did not find significant differences in beta  
 3871 diversity between subjects at any time-point during the first 10 weeks of life (P value: 0.478). These findings  
 3872 don't necessarily mean that the gut microbiota is similar among all puppies at this age-stage. Our study has  
 3873 several limitations. We only analysed samples from one breed (Labrador Retriever), coming from the same  
 3874 genetic background that were born and grew up in the same facility under the same diet and environmental  
 3875 conditions. This could have contributed to the results obtained.

3876  
 3877 Also, development and establishment of the gut microbiota is characterised by temporal variation and  
 3878 increasing compositional diversity over time. We could evidence the same phenomenon in our study, where  
 3879 the richness increased gradually and the proportions and the type of bacteria kept changing over time;  
 3880 indicating that the gut microbiota is highly unstable during the first period of life.

3881  
 3882 On the other hand, when we analysed the relative abundance of the different bacterial groups at the  
 3883 highest phylogenetic levels, we found that the microbial development was divided into two distinct phases  
 3884 mainly correlated with diet. One phase, where the main diet consisted in milk and and another phase  
 3885 characterised by solid food as the main dietary source and where composition converges toward a mature  
 3886 configuration. Thus, diet plays a predominant role in shaping the microbiome, and weaning is one of the

key determinants that drive the maturation of the gut microbiota into an adult-like phenotype, similar to what it is seen in babies and in other studies in dogs (Backhed *et al.*, 2015).

Microbial colonisation of the neonatal gut is greatly influenced by the maternal gut microbiota. We collected faecal samples from the mother, just few days before the partum and at different time-points after, until weaning. Pregnancy is characterised by extensive hormonal, metabolic and immunological changes aimed to support foetal growth and development (Nuriel-Ohayon *et al.*, 2016).

Early pregnancy is characterized by a maternal anabolic state; where maternal metabolic adaptations, mediated by pregnancy hormones, facilitate lipogenesis, glycogenesis, and adipocyte hypertrophy. Late pregnancy on the other hand, is characterised by a catabolic state where increasing production of progesterone, estradiol and placental lactogen participate in mediating insulin and leptin resistance that support growth of the foetus and prepare the body for the energetic demands of lactation (Nuriel-Ohayon *et al.*, 2016).

In people, the maternal gut microbiota is known to increase from early to late pregnancy and is accompanied by an increase in diversity between mothers ( $\beta$ -diversity) and reduction in richness ( $\alpha$ -diversity). Some studies have reported increases of Proteobacteria and Actinobacteria in the last trimester (Koren *et al.*, 2012), others increases of Firmicutes and decreases in Bacteroidetes (Xia *et al.*); and others no dramatic changes in gut microbiota composition during pregnancy (DiGiulio *et al.*, 2015).

We observed that the majority of the bacterial population belonged to the Firmicutes phylum (94%) in the samples taken antepartum. Some of the proposed mechanisms by which gut microbiota play a role during pregnancy include enhanced absorption of glucose and fatty acids, increased fasting-induced adipocyte factor secretion, induction of catabolic pathways, and stimulation of the immune system (Collado, Isolauri, Laitinen, & Salminen, 2008; Koren *et al.*, 2012).

It has been shown that bacteria from the phylum Firmicutes increase the efficiency of energy harvest from host diet. Several mechanisms are implicated in this process. They have the ability to digest a larger diversity of dietary polysaccharides and modulate host genes that affect energy deposition in adipocytes and hepatic lipogenesis (Backhed *et al.*, 2004). In fact, it has been reported that an increase in the

3918 *Firmicutes* to *Bacteroidetes* ratio is correlated with obesity (Khan, Gerasimidis, Edwards, & Shaikh, 2016)  
 3919 (Million, Lagier, Yahav, & Paul, 2013).

3920

3921 In people, it has been observed that there is a depletion in the genus levels of *Faecalibacterium*, a butyrate-  
 3922 producing bacterium with anti-inflammatory activities, during the third trimester of pregnancy (Haro et al.,  
 3923 2016). We also found that the prepartum samples contained a significant lower proportion of this genus  
 3924 compared to the postpartum samples (0,02 versus 3-4%).

3925

3926 Prepartum and first week postpartum were also characterised by a higher proportion of Bacilli (28%) at  
 3927 class level, especially of the order Lactobacilli compared to the other time-points. Lactobacilli are known  
 3928 due to their probiotic properties and it has been reported that during this period they are useful to prevent  
 3929 the growth of pathogenic bacteria, help digestion and for shaping host innate and adaptive immune system  
 3930 responses (Konstantinov, van der Woude, & Peppelenbosch, 2013).

3931

3932 The postpartum period is also characterised by significant changes not only at hormonal levels but in the  
 3933 gut microbiota. It has been reported that at least 1 month after birth, the mothers' microbiotas do not yet  
 3934 return to their baseline (Koren *et al.*, 2012). We collected some samples at different time points after the  
 3935 partum. In one of the mothers, we collected the last sample one week after weaning. Although the gut  
 3936 microbiota was resembling that of an adult; by that time-point, it had not returned to their baseline yet.

3937

3938 It would therefore be of interest to investigate what changes occur in the maternal gut microbiota during  
 3939 pregnancy, partum and postpartum period; how they correlate with the microbiota of puppies, how long the  
 3940 postpartum transition period lasts, and whether a return to baseline microbial populations ever occurs.  
 3941 Since the postpartum period is also associated with dramatic hormonal changes including a significant  
 3942 decrease in progesterone and estrogen levels, it would be interesting to test the direct effects of the  
 3943 hormonal changes on the microbiome.

3944

3945 The microbial communities belonging to puppies clustered separated from that of the mothers during the  
 3946 first weeks, being more closely related after weaning. This is in contrast to a previous study made in  
 3947 puppies, where dams clustered separately from the puppies at any time point (Guard *et al.*, 2017).

3948

Mother from litter 1 received two doses of antibiotic (Clavulox<sup>®</sup> (amoxycillin and clavulanic acid), one during the c-section and another one next day. Studies in people and mice have shown that antibiotics in general reduce bacterial diversity. During pregnancy, the use of category B antibiotics (azithromycin, amoxicillin, and cefaclor) increased the fecal relative abundance of Proteobacteria and *Enterobacter*, while reducing the relative abundance of Firmicutes and *Lactobacillus*. Another study found that maternal antibiotic treatment during pregnancy and lactation in mice, reduced adaptive antiviral immune responses in the infant mice, suggesting a broad immune effect on the offspring (Gonzalez-Perez *et al.*, 2016). However, we did not evidence significant changes in the gut microbiota in the mother or puppies, when we compared them with the other mother and litter. Future studies with more subjects and longer follow-up periods are needed; to unravel the effect of antibiotics on early stages of microbial development and later in life.

Next, we followed some puppies after weaning during six months and found that further changes in the developing microbiota still existed during this period. Although the configuration of the gut microbiota was resembling that of the adult, diversity was still increasing over time and it was converging towards a mature configuration.

Dogs at this stage exhibited a significant variation between individuals, however samples from the same individual clustered together. After weaning, animals are exposed to a variety of diets and environmental conditions, different lifestyles and hygienic practices; that add to the complexity in the microbiota and influence the individual assembly of the gut microbiota.

Adulthood microbiota is characterised by high stability and resilience over time. However, the gut microbiota differs among individuals and within individuals. When we analysed the samples from adult dogs (1 year – 7 years) we found that at the highest phylogenetic levels, the gut microbiota was highly stable. However, there was high variability within and between individuals at the lower phylogenetic levels. Noteworthy, samples from the same dog clustered together during  $\beta$ -diversity analysis. It has been reported that at the level of species and strain only 5% to 20% of bacterial species overlap between individual animals (J. S. Suchodolski *et al.*, 2005). Variabilities within the same subject could be due to the presence of incidental colonizers at the time of the sampling and between subjects could be a reflection of ecosystem adaptation to different environmental factors. In people, evidence suggests that there is not a

core microbiome at species level but there may be one at phylum level. Each adults has its own profile, where bacterial species may vary between 12 and 2.187-fold (Laing et al., 2018) (Qin et al., 2010).

It has been reported that the Firmicutes is the major group represented in faeces (30% -95%), followed by Bacteroides, Actinobacteria, and Fusobacteria (Jan S. Suchodolski, 2013). Although we found the same groups in our study, Bacteroidetes (41-56%), was the predominant group, followed by Firmicutes (18-32%), This difference could be due to different methodologies employed or it could be a characteristic of the Australian population. In people, variations have been reported at different geographic locations (De Filippo *et al.*, 2017). This could be in part, due to the continuous horizontal gene transfer between gut microbes and environmental microbes (Laing et al., 2018).

At order level, we found bigger differences compared to previous reports. It has been reported that at class level, faeces samples are dominated by the groups Clostridiales (60-78%), Fusobacteriales (0,3-10%), Erysipelotrichales (0-8%), Lactobacillales (1-5%), Coriobacteriales (1-2,5%) and Enterobacteriales (0,1-2%) (Jan S. Suchodolski, 2013). In our study, we found that Fusobacteriales (18-27%), Clostridiales (17-26%), Lactobacillales (1-6%), Enterobacteriales (0,3-6%) and Burkholderiales (2-4%) predominated. Erysipelotrichales were present at very low levels (<1%). Within these orders, the genera Prevotella (22-44%), Fusobacterium (18-28%), [Prevotella] (4-13%), Megamonas (6-11%) and Bacteroides (4-11%).

The gut microbiota homeostasis is crucial for healthy aging and like infancy, application of intervention measures at this period could help to maintain longevity. As age advances, several physiological changes occur in the body. Ageing influences gut microbiota and vice versa. Ageing is linked to physiological changes that impact food digestion and absorption and to decline in the normal function of the immune system. In older people, the gut microbiota is extremely variable between individuals, and differs from the core microbiota and diversity levels of younger adults. When we analysed the dissimilarities in beta diversity between young adults and mature (P value: 0.001), young adults and senior (P value: 0.005), and mature versus senior (P value: 0,001), we found that the difference was statistical significant (despite the low number of dogs in the mature and senior group); suggesting that the gut microbiota is changing during ageing. However, we need to consider that biological age is not always related with chronological age (Kim & Jazwinski, 2018). Gut microbial diversity inversely correlates with biological age but not with chronological age. Studies in people have shown that with advancing chronological age, the diversity of the



gut microbiota increases, whereas as biological age increases; overall richness decreases, and the abundance of some microbial genera such as *Ruminococcus*, *Coprobacillus* and *Eggerthella* increases (Jackson et al., 2016). Thus, it would be advisable to consider the use of biological or functional measures in future aging studies (Kim & Jazwinski, 2015).

In older people it has been reported that there are an elevated proportion of facultative anaerobes (Proliferation of Enterobacteriaceae) (Biagi *et al.*, 2010) and an alteration of the relative proportions of the Firmicutes and the Bacteroides. The elderly have a higher number of Bacteroides while younger individuals have a higher proportion of Firmicutes. In general, infants and elderly have a Firmicutes/Bacteroidetes ratio  $< 1$  and adults a ratio  $> 1$  (Mariat *et al.*, 2009). However, we did not see any of these changes in mature or senior dogs, when they were compared to adult dogs (Firmicutes/Bacteroidetes ratio: 0,5 – 0,7).

Studies in older dogs, have reported more *Clostridium perfringens* and *Streptococcus spp.*, and fewer *Bacteroides*, *Bifidobacterium*, and *Lactobacillus spp.* (Benno, Nakao, Uchida, & Mitsuoka, 1992). DGGE fingerprinting profiles also cluster according to age, and levels of *Bacteroides* are significantly lower in older dogs (J. M. Simpson, Martineau, Jones, Ballam, & Mackie, 2002). We also found lower proportions of *Lactobacillus*, however; levels of *Bacteroides* were higher in older dogs compared to adults, especially in mature dogs (8% versus 24%) (P value:  $<0,001$ ).

In general, we found that the most intense development occurs during the first year of life. In adults, although the intestinal microbiota is stable, it presents variations over time and differs among individuals and within individuals, but it has the tendency to cluster by subject (Subject-specific core). Thus, it would be advisable to collect various samples in order to establish the normal pattern in each subject and to have a better understanding of the dynamics of the gut microbiota during disease.

Fortunately, it is known that even though there are great fluctuations in the proportional representation of microbial lineages, the gene content tends to remain stable, and can give a better estimation of the metabolic functions of the microbiota at any given time point (Qin et al., 2010). Thus, metagenomis studies could be very useful to describe community structure in terms of functional diversity rather than taxonomic diversity alone (Weinstock, 2011).

We had several limitations in our study. The number of subjects per group was small, especially for mature and senior dogs, which could have hindered some of the differences reported in previous studies. Although, differences in sample processing techniques, sequencing technologies, and statistical methods; complicate any direct comparison between different sequencing studies. Also, changes in gut microbiota have been reported between breeds. In puppies, we only assessed the gut microbiota in one particular breed. It would be interesting to assess the gut microbiota development in other breeds and/or other environmental conditions (privately owned). Also, it would be interesting to include the assessment of the microbiota in placenta, meconium, milk and maternal skin and vaginal microbiota in future studies and to determine their influence in the development and establishment of the gut microbiota in puppies. Another limitation of our study is that our taxonomic profiling was limited to species-level resolution. Strain-level metagenomic profiling is essential for identifying the instances of transmission from external sources to the puppies (Ferretti et al., 2018). Also, we need to take into account that the classification of dogs based on age, can be affected according to the kind of breed, as small and large breed mature and age at different rates.

Finally, it has been shown that timing and selection of the first solid foods are factors that have an important effect in the correct development of the gut microbiota (Arbolea et al., 2018). Thus, this aspect could be another interesting factor to investigate.

## Chapter 3: Characterisation of immunoglobulin-coated (Ig-coated) bacteria in faeces from dogs with chronic enteropathies

### 3.1 Introduction

Canine chronic enteropathies (CE) constitute a group of disorders that cause chronic gastrointestinal tract inflammation, persistent or recurrent gastrointestinal signs in dogs and are also termed inflammatory bowel disease (IBD) (Walker *et al.*, 2013). Although they occur frequently in dogs, many aspects of their pathogenesis remain to be unravelled and the therapeutic approach in some cases remains challenging (Craven *et al.*, 2011). In general, it is considered that the triad host genetics-immune system-microenvironment; particularly dietary antigens and the gastrointestinal flora; are closely related to the development of gastrointestinal disease (Koboziev, Reinoso Webb, Furr, & Grisham, 2014).

In CE, it is proposed that either an inappropriate response to a normal gut microbiota leads to excessive immunological responses; or alternatively changes in the composition of the gut microbiota elicit pathological responses from a normal mucosal immune system. It has been found that the intestinal microbiota and associate genome influence the metabolic environment of the intestine and the activity of both the innate and adaptive immune system. Thus, depending on the genera, they can decrease, promote or perpetuate the inflammatory response in the intestine (Pabst, 2012). Immunoglobulins (Igs) are part of the adaptive immune system and constitute crucial arms that directly influence the function and structure of the microbiota (Olsson *et al.*, 2014). Although, intestinal IgA is the predominant isotype produced in the intestine, other immunoglobulins such as IgM and IgG can be produced as well. This is particular important in dogs, where several breeds have been reported to be IgA deficient (Olsson *et al.*, 2014). In general, it is considered that pathogens and commensals with strong immune stimulatory activities trigger affinity maturation more effectively than other commensals that are less frequently sample and are under-represented in germinal centres. Thus, relative levels of bacterial coating with Igs might be predicted to correlate with the magnitude of the inflammatory response triggered by specific intestinal bacterial species (Palm *et al.*, 2014).

Few studies related to immunoglobulins have been done in dogs with CE and the results are mixed. One showed increased numbers of plasma cells in the intestinal mucosa (particularly IgA<sup>+</sup> and IgG<sup>+</sup> cells)

(Jergens *et al.*, 1999) , another study found higher concentrations of IgA and lower concentration of IgG compared to healthy patients (Jergens *et al.*, 1996); whereas another study found no difference in the plasma cell populations in the mucosa compared to healthy patients (although they found lower levels of IgA in blood) (Batt *et al.*, 1991). More recently; Maeda et al have reported decreased IgA concentrations in faeces, duodenum and peripheral mononuclear cells of dogs with IBD (Maeda *et al.*, 2013). The discordance in results could be given by several factors such as methodology (duodenum vs, colon), different criteria of classification of the entities (Small intestinal bacterial overgrowth (SIBO), antibiotic/responsive diarrhoea (ARD), or IBD) and breed of dogs (as some breeds have been reported to be IgA-deficient, particularly German-Shepherd dogs).

Using 16S high-throughput sequencing methodologies, previous studies have found dysbiosis and reduced bacterial diversity in canines with chronic enteropathies (CE) (Garcia-Mazcorro *et al.*, 2012; J. S. Suchodolski *et al.*, 2008; Walker *et al.*, 2013). However, the identification of bacteria responsible of causing or exacerbating inflammation has remained challenging. Given the complexity, the large amount of factors that shape the intestinal microbiota, the interpersonal variation and the amount of specific susceptibility loci that can influence microbial composition; it is likely that some bacteria may cause problems only in few individuals while being harmless in others (Manichanh *et al.*, 2012). In these cases, longitudinal studies that relate changes in an individual biology to microbial community structure have the potential to offer a better overview wherein the subject itself represents the best control (internal control).

Due to the importance of IgA and IgG in the intestinal immune system and the ability of these immunoglobulins to detect microorganisms responsible for exacerbating or causing clinical inflammation; we hypothesize that (1) dogs with CE possess a higher proportion of highly coated IgA-bacteria compared to healthy dogs in the faeces; (2) we can use flow cytometry to distinguish between members of the microbiota that impact disease susceptibility or severity in dogs and (3) resolution of the clinical signs in dogs with CE is associated with the eradication of these highly coated IgA-bacteria.

The aim of the study is to characterise immunoglobulin A and G-coated bacteria in dogs with chronic enteropathies over time using flow cytometry and 16S RNA sequencing.

## 3.2 Methodology

### 3.2.1 Study dogs

Dogs with signs of chronic gastrointestinal disease (> 3 weeks), including persistent and/or recurrent vomiting and/or diarrhoea and/or weight loss; presented at the veterinary hospital of the University of Melbourne were enrolled into the prospective study. A total of 9 dogs were enrolled (New study). Dogs underwent a complete clinical evaluation by an internal medicine specialist. Dogs were evaluated for co-morbidities and extra-intestinal disease prior to inclusion by a combination of faecal analysis (faecal flotation and faecal cytology), blood testing (including canine pancreatic lipase immunoreactivity, cobalamin and canine trypsin-like immunoreactivity) and abdominal ultrasound. Dogs were not included in the trial if there was a history of dietary or medical therapy 3 weeks prior to analysis, or if hypoalbuminemia (albumin < 20 g/L) was present.

The disease activity was scored using the canine chronic enteropathy activity index (CCECAI). For this score, nine signs (attitude/activity, appetite, vomiting, stool consistency, stool frequency, weight loss, albumin levels, ascites/peripheral oedema and pruritus) are scored from 0 to 3 based on the magnitude of their alterations. The scores are added, yielding a total cumulative score. Five categories are defined: insignificant disease, 0–3; mild disease, 4–5; moderate disease, 6–8; severe disease, 9–11; very severe disease >11 (Allenspach, Wieland, Grone, & Gaschen, 2007).

One animal withdrew from the study and the second endoscopy could not be performed (CE Dog 3). Three dogs did not fully participate in the study but owners agreed to donate some samples for the study (CE Dog22 [colon and ileum biopsy; cytology brush], CE dog 21 [cytology brush and faeces] and CE dog 12 [faeces]). According to the classification based on response to treatment, five dogs had diet-responsive enteropathy (DRE); five dogs had antibiotic-responsive enteropathy (ARE) and two dogs had steroid-responsive enteropathy (SRE) (Dandrieux, 2016) Detailed information about the patients can be found in table 3.1.

Samples from dogs of a previous study performed between 2012 and 2014 were included (Old study). Selection criteria were the same as specified above. A total of 12 dogs were enrolled in this study.

According to the classification based on response to treatment, five dogs had diet-responsive enteropathy (DRE); six dogs had antibiotic-responsive enteropathy (ARE) and one dog had steroid-responsive enteropathy (SRE) (Dandrieux, 2016). Detailed information about the dogs can be found in Table 3.1

Controls: Healthy pet-owned dogs with no signs of gastrointestinal disease, no antibiotic or other drug treatment or change of diet in the previous 6 weeks were also recruited into the study. Detailed information regarding diet (type, treats, and changes within the previous three months; coprophagia, and rubbish), health status, previous diseases, travel history, level and type of exercise, body condition score and increase or decrease in body weight in the previous three months was collected. Detailed information about the control dogs can be found in Table 3.2.

All experimental procedures were approved from the Animal Ethic committee of University of Melbourne. (Animal Ethics Committee approval AEC # 1112072.2). (Healthy dogs AEC #1413272.1)

Owners gave informed, written consent in which they agreed to participate in initial and follow-up diagnostic evaluation. They could withdraw their animals from the trial at any point.

4174 Table 3.1 Metadata information of dogs with Chronic Enteropathies

Patient	Breed	Age (y)	Neutering status	Type CE	Localisation	CCECAI-1	CCECAI-2	Duration Signs (month)	Concurrent diseases	Treatment	Study period
CE Dog 1	Spoodle	5	Male castrated	SRE	Mixed	5	0	24	Polyarthritis Bronchopathy	Z/D Hills® Sensitivity Royal canine® Hypoallergenic Royal canine® Prednisolone Clorambucil (Gabapentin)	New
CE Dog 2	Japanese Spitz	1.5	Female spayed	ARE	Mixed	8	2	4	None	Sensitivity Royal canine® Hypoallergenic Royal canine® Oxytetracycline 200mg	Old
CE Dog 3	Whippet	4	Female spayed	ARE	SI	6	NA	15	Separation anxiety	Sensitivity Royal canine® Hypoallergenic Royal canine® Oxytetracycline 200mg	New
CE Dog 4	Border Collie	5	Male castrated	ARE	SI	Unknown	Unknown	14	EPI	Hypoallergenic Royal canine® Oxytetracycline 200mg (Creon®)	New
CE Dog 5	Maltese Cross	6.5	Male castrated	ARE	SI	11	2	31	None	Sensitivity Royal canine® Oxytetracycline 200mg	Old
CE Dog 6	Labrador Retriever	2	Female spayed	ARE	SI	7	1	5	Incontinence (USMI)	Sensitivity Royal canine® Oxytetracycline 200mg (stilboestrol)	Old



CE Dog 7	Golder Retriever	5	Male castrated	FRE	SI	1	1	18	None	Hypoallergenic Royal canine®	New
CE Dog 8	Staffordshire Bull Terrier	2	Male castrated	FRE	Mixed	11	2	24	None	Hypoallergenic Royal canine®	Old
CE Dog 9	Labrador Retriever	10	Female spayed	SRE	Mixed	7	0	14	PLE EPI	Hypoallergenic Royal canine® Oxytetracycline Prednisolone Clorambucil (Creon®)	New
CE Dog 10	Labrador Retriever		Female spayed	FRE	Mixed	7	4	12	Otitis	Z/D Hills®	New
CE Dog 11	Great Dane	2,5	Male castrated	FRE	LI	4	0	28		Sensitivity Royal canine®	Old
CE Dog 12	Maltese Terrier	3,5	Female entire	FRE	Unknown	Unknown	Unknown	4	None	Z/D Hills® Hypoallergenic Royal canine® Omeprazole	New
CE Dog 13	GSD	14	Female spayed	FRE	Mixed	6	0	7	None	Z/D Hills® Sensitivity Royal canine® Hypoallergenic Royal canine®	New
CE Dog 14	GSD	1	Male entire	FRE	Mixed	3	0	2	None	Sensitivity Royal canine®	Old
CE Dog 15	Chihuahua	9	Male castrated	FRE	Mixed	Unknown	Unknown	24	None	Z/D Hills® Sensitivity Royal canine®	New

										Hypoallergenic Royal canine®	
CE Dog 16	GSD	1.5	Female spayed	ARE	Mixed	12	1	3	Anxiety	Sensitivity Royal canine® Hypoallergenic Royal canine® Oxytetracycline (Fluoxetine, diazepam)	Old
CE Dog 17	Maltese Cross	5	Female Spayed	FRE	SI	6	0	12	None	Sensitivity Royal canine® Hypoallergenic Royal canine®	Old
CE Dog 18	GSD	3.5	Male castrated	ARE	SI	9	0	3	None	Sensitivity Royal canine® Hypoallergenic Royal canine® Oxytetracycline	Old
CE Dog 19	Greyhound	2	Female spayed	ARE	Mixed	5	0	Unknown	Greyhound alopecia	Hypoallergenic Royal canine® Oxytetracycline	New
CE Dog 20	Basset Hound	3	Male entire	ARE	Mixed	5	0	24	None	Sensitivity Royal canine® Hypoallergenic Royal canine® Oxytetracycline	Old
CE Dog 22	Toy Poodle	2.5	Male castrated	ARE	LI	9	0	18	None	Sensitivity Royal canine® Oxytetracycline	Old
CE Dog 23	Flat Coated Retriever	9	Male castrated	SRE	SI	Unknown	Unknown	8	Trigeminal neuropathy	Z/D Hills® Hypoallergenic Royal canine® Oxytetracycline	New

										Prednisolone	
CE Dog 24	Labrador Retriever	3.6	Female spayed	ARE	Mixed	Unknown	Unknown	32	None	Hypoallergenic Royal canine® Oxytetracycline	New
CE Dog 25	Weimarane r	1.7	Male castrated	FRE	Mixed	4	0	3	None	Hypoallergenic Royal canine®	Old

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4183 Table 3.2 Metadata information of dogs with Chronic Enteropathies

Dog	Breed	Age (years)	Diet	Neutering Status
H dog 1	Labrador	2	J/D Hills®	Male castrated
H dog 2	Leonberger	7	Black Hawk chicken rice®	Female spayed
H dog 3	German Wirehaired Pointer	6	Advance Dry Food® Homemade	Male entire
H dog 4	Nova Scotia Duck Tolling Retriever	3	T/D Hills®	Female spayed
H dog 5	Cairn Terrier	5	T/D Hills®	Female spayed
H dog 6	Siberian Husky Cross	11	J/D Hills®	Male castrated
H dog 7	Catledog Cross	8	J/D Hills®	Male castrated
H dog 8	Kelpie	4	T/D Hills®	Female Spayed
H dog 9	Kelpie	9	Adult Royal canin®	Female Spayed
H dog 10	German_Wirehaired_pointer	1	Advance Dry Food® Homemade	Female entire
H dog 11	Terrier Cross	5	Adult Light Hills®	Female Spayed

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## 3.2.2 Treatment

The treatment for the study consisted first of a diet trial (hydrolysed or hypoallergenic prescription diet determined on previous dietary history) (DRE), followed by antibiotic treatment in non-responders (oxytetracycline) (ARE), and then a prednisolone trial if needed until resolution of the clinical signs ensues (SRE). Dietary trial consisted in the administration of an elimination diet exclusively for two weeks. If the dog showed improvement of the clinical signs after this period (>75% reduction in CCEAI), treatment continued. If no response to treatment was seen, antibiotic treatment was added to the therapy. Oxytetracycline 10mg/kg twice a day for two weeks was prescribed. After this period or if clinical signs were worsening, dogs were prescribed prednisolone. Initially, prednisolone at a dose of 2mg/kg once per day for 10 days was instituted, followed by a tapering protocol.

## 3.2.3 Samples

### 3.2.3.1 Tissue samples

Two endoscopic examinations (upper and lower endoscopy) prior to treatment trial and after treatment success (defined as a decrease in the clinical IBD activity index of at least 75% for at least six weeks) were performed by an experienced endoscopist (CM – JD). Food was withheld from dogs 12 hours prior to the collection of tissue specimens. Biopsies from the stomach, duodenum, ileum and colon were collected for histology analysis. Two samples of each section and a cytology brush from the duodenum were stored at -80°C for further analysis. The cytology of the duodenum was performed using a Gastroscope cytology brush – Sheath diameter (1.8mm), length 160cm (Device technologies®). Cytology brush sample was collected before the biopsies were taken.

### 3.2.3.2 Faeces

Serial stool samples (at the beginning, during treatment and remission periods at different time points) were collected upon voiding without contacting the environment (to avoid transfer of genetic material) or via rectal examination and placed in a container at 4°C immediately. Aliquots of 250 mg were done and stored

within four hours after collection at -80°C, until further analysis. In healthy dogs, two samples were collected, one month apart and aliquoted in the same way as described above.

### 3.2.4 Histology

Endoscopic biopsies of the stomach, duodenum and colon before and after treatment were placed in 10% neutral-buffered formalin, routinely processed and stained with haematoxylin and eosin (H&E). Specimens were evaluated by a board-certified veterinary pathologist with experience in gastrointestinal pathology and scored using published international guidelines. (World Small Animal Veterinary Association WSAVA).

### 3.2.5 DNA isolation intestinal biopsies and cytology brush

DNA from intestinal biopsies was done using the QIAamp DNA minikit (Qiagen®) using the protocol for DNA isolation from tissues of the handbook. For isolation of DNA from cytology brushes, the kit QIAamp DNA microkit (Qiagen®) was used using the protocol for Isolation of Total DNA from Surface and Buccal Swabs of QIAamp DNA Investigator Handbook. Carrier RNA was added to buffer AE to a final concentration of 1 ug/uL. The brush was separated from its shaft using a sterile blade and placed in a 2 mL centrifuge tube, and DNA was isolated in accordance with manufacturer's instructions. DNA was finally eluted in 30 µl of AB buffer (provided in the kit). DNA purity was measured by spectrophotometry at 260 nm. Purity of DNA was assessed using the ratio of OD<sub>260/280</sub> with a ratio of 1.8–2.0 being of good purity. As sample contained RNA carrier, DNA quantitation was measured using Qubit® 3.0 fluorometer (ThermoFisher scientific®). Additionally, 3ul of sample were run in agarose gell. DNA was stored at -80°C.

### 3.2.6 Flow cytometry and Sorting of IgA<sup>+</sup> and IgA<sup>-</sup> bacteria

One aliquot of faeces was placed in a sterile conical tube and 2.5 mL of cold (4°C), sterile, filtered (0.22 µm, Millipore®) phosphate buffered saline (PBS) 1X was added (Phosphate buffered saline 10X concentrate, P5493 Sigma®). Samples were incubated on ice for 1 hour. Samples were homogenised by vortexing every 15 minutes during 1 minute until the faecal material was completely dissolved. Next, samples were centrifuged at 40 x *g* during twenty minutes at 4°C to separate larger faecal particles from bacteria. Supernatants were passed through a 70 µm sterile filter (Cell strainer, Z742103 Sigma®) into a

new, sterile tube. Aliquots of 500  $\mu\text{L}$  were stored at  $-80^{\circ}\text{C}$ . 10  $\mu\text{L}$  of the faecal bacterial supernatant was diluted in 500  $\mu\text{L}$  of 5  $\mu\text{M}$  Tris buffer containing 5  $\mu\text{M}$  SYTO 17 and bacteria were counted using 50  $\mu\text{L}$  of the CountBright™ Absolute Counting Beads, for flow cytometry (Catalog number: C36950) in FACS Aria III (BD Biosciences) from the Faculty of Veterinary and Agricultural Sciences of University of Melbourne. From the aliquots stored at  $-80^{\circ}\text{C}$ ,  $10^7$  bacteria were washed with 1ml sterile and filtered PBS 1X containing 1% (w/v) Bovine Serum Albumin (BSA) (Bovine Serum Albumin heat shock fraction, protease free, fatty acid free, essentially globulin free, pH 7,  $\geq 98\%$ , A7030 Sigma®) (staining buffer) and centrifuged for 5 minutes ( $8000 \times g$ ,  $4^{\circ}\text{C}$ ). A sample of this bacterial suspension was saved (100  $\mu\text{L}$ ) as the pre-sort sample for 16S rRNA sequencing analysis (before centrifugation). Next, Supernatant was removed and the pellet was resuspended in 1 mL of staining buffer and centrifuged again for 5 minutes ( $8000 \times g$ ,  $4^{\circ}\text{C}$ ). Next, supernatant was removed and the bacterial pellet was resuspended in 100  $\mu\text{L}$  of blocking buffer (Staining buffer containing 20% normal goat serum for IgA (G9023 Sigma®) or staining buffer containing 20% normal sheep serum for IgG (S3772 Sigma®), incubated for 20 minutes on ice and then stained with 100  $\mu\text{L}$  of staining buffer containing Goat anti-dog IgA:FITC 1:200 (Serotec SEAA131F, Abacus ALS) or Sheep anti-dog IgG:FITC (Serotec SEAA132F, Abacus ALS) 1:200. Samples were incubated during 30 minutes on ice away from light. Samples were then washed with 1 mL of staining buffer and centrifuged for 10 minutes ( $8000 \times g$ ,  $4^{\circ}\text{C}$ ). The procedure was repeated. Finally, the bacterial pellet was resuspended in 500  $\mu\text{L}$  of 5  $\mu\text{M}$  sterile tris buffer containing 5  $\mu\text{M}$  SYTO 17 (SYTO™ 17 Red Fluorescent Nucleic Acid Stain - 5 mM Solution in DMSO, catalog number: S7579, Invitrogen™), kept on ice and in the dark before cell sorting analysis. All buffers were prepared freshly under sterile conditions.

Threshold settings were set to the minimal allowable voltage for SSC. Gating was based on forward and side scattering properties; followed by their ability to bind the DNA dye Syto17. Ig+ and Ig- populations were then identified by their ability to bind fluorescently labelled antibodies; 100,000 events from each population were sorted (Figure 3.1: healthy dog; figure 3.2 dog CE) In addition, samples of sheath fluid were collected immediately before and after sorting to assess any potential contaminants in fluid lines. Cell sorting was carried out using MoFlo™ Astrios (Beckman Coulter, Inc) from the Faculty of Medicine, Dentistry and Health Sciences of University of Melbourne. Each fraction was stored at  $-80^{\circ}\text{C}$  prior to PCR and sequencing of bacterial 16S rRNA genes.

Multiple precautions were taken to minimise potential contamination of sorted samples. Sterile filtered PBS 1X was used for sheath fluid, the flow cytometer was sterilised according to the manufacture's recommended protocol, the sheath fluid filter was replaced routinely. Before commencing the cell sorting and between samples; sheath fluid system was washed with sodium hypochlorite 2.5% for 2 minutes, followed by sterile filtered PBS 1X during 4 minutes.

Every run was done using samples from healthy dogs, and dogs with CE belonging to the new study and old study. All visits from each dog were sorted the same day.

- Initial testing:

The flow cytometer (FACSAria III (BD Biosciences)) was calibrated with BD FACSuite CS&T Research Beads (BD Biosciences). Measurements were performed with 10,000 events, at a flow rate of 1,000 events per second. The fluorescence was recorded bi-exponentially and forward scatter (FSC) recorded logarithmically. To determine the specificity of the antibodies fluorescein (FITC), ChromPure goat IgG, whole molecule (Jackson Laboratories) and Fluorescein (FITC)-conjugated AffiniPure F (ab') 2 fragment donkey anti-sheep (Jackson laboratories) was used for isotype control for IgA and IgG respectively. The following antibody concentrations were tested: 1:50, 1:100, 1:200 and 1:400. Syto 17 concentration were tested at the following concentrations: 1  $\mu$ M and 5 $\mu$ M and 20  $\mu$ M. The highest concentration resulted in bacterial death. Threshold was set up in FSC 200. Antibody concentrations were selected at 1:200 and syto17 at 5  $\mu$ M.

MoFlo™ Astrios (Beckman Coulter, Inc) was calibrated with Astrios QC beads (Beckman Coulter, Inc) for the optical alignment and Flow-Check™ Pro Fluorospheres (Beckman Coulter, Inc) to confirm drop charge delay values.

### 3.2.7 Bacterial 16S rRNA gene analysis

The V4 hypervariable region of the bacterial 16S rRNA gene (16Sv4) was PCR-amplified with primers 515F-OH1 (GTGACCTATGAACTCAGGAGTCGGACTACNVGGGTWTCTAAT) and 806R-OH2 (CTGAGACTTGACATCGCAGCGTGYCAGCMGCCGCGGTAA); 2,5  $\mu$ L were added directly to a PCR



master mix (20  $\mu$ L reaction/sample). This primer pair amplifies the region 533–786 in the *Escherichia coli* strain 83972 sequence (greengenes accession no. prokMSA\_id:470367). Cycling conditions consisted of 95°C for 3 minutes followed by 35 cycles of 95°C for 15 seconds, 60°C for 30 seconds, 72°C for 30 seconds and 72°C for 7 minutes. A 10 min 95°C step at the beginning of the PCR was added to heat lyse the bacteria. Individual "barcode" sequences of 8 base pairs were added to each sample so they could be distinguished and sorted during data analysis. Specificity and amplicon size were verified by gel electrophoresis and the amplicons were checked and measured using the Agilent High Sensitivity DNA assay in Agilent 2100 Expert (samples for checking were chosen randomly). The 600 cycle kit was used for paired end sequencing (2x 311 cycles) using Illumina MiSeq. Raw data was demultiplexed and quality filtering using default parameters of the open source software package Quantitative Insights into Microbial Ecology (QIIME).

Taxonomic assignment was done using Operational taxonomic units (OTUs) using a threshold of 97% similarity and the open-reference OTU approach. Measurements of richness (Alpha ( $\alpha$ ) – diversity) and similarity (beta ( $\beta$ )-diversity) were done using Phyloseq from R.

Raw data was loaded into QIIME 1.9.0 pipeline, and barcodes were extracted (Caporaso *et al.*, 2010). Next, paired-end reads were merged using the paired-end read merger program (PEAR) (Zhang *et al.*, 2014). Subsequently, data was loaded into QIIME 1.8.0 to demultiplex and to filter out low quality sequencing reads by applying default settings, a minimum number of consecutive high-quality base calls to include a read of 0.90 (default: 0.75) and a minimum acceptable Phred score of 33.

16S rRNA analysis was performed on samples collected from the flow cytometer droplet stream before and after every sort, thus permitting any identification of any sequences that did not originate from the sorted sample. Additionally, buffers and PCR water were sequenced to check for potential contamination. Samples were run in duplicate.

After filtering, from a total of 1400 samples, we obtained a total of 13,334,258 of high-quality sequences with reads ranging from 1 to 256,276 per sample.

In order to keep only sequences from the same region of the 16S rRNA gene, samples were aligned using the Silva database from MOTHUR (Schloss *et al.*, 2009). Next, we used USEARCH algorithm to cluster similar filtered sequences into Operational Taxonomic Units (OTUs) based on a 97% similarity threshold (Edgar, 2010). Taxonomy assignment to the unique sequences was done by aligning the sequences against Greengenes template alignment (DeSantis *et al.*, 2006). The script make\_phylogeny.py was used to create phylogenetic tress using the FastTree program in Qiime (Price *et al.*, 2009).

Taxonomic assignment was done using Operational taxonomic units (OTUs) using a threshold of 97% similarity and the open-reference OTU approach. Measurements of richness (Alpha ( $\alpha$ ) – diversity) and similarity (beta ( $\beta$ )-diversity) were done using Microbiome and Phyloseq package from R. The correlation coefficient, using the Pearson's correlation method, was calculated per each pair of samples. Coefficients of  $\geq 0.98$  were considered as appropriate. For posterior analysis, samples were merged.

To calculate the relative abundance of bacteria at different phylogenetic levels, the OTU table was rarified at 1000 sequences per sample. Five samples were excluded from the analysis: One faecal sample IgG-active, three small intestinal biopsies (2 active and 1 remission) and one colon biopsy (Remission).

To calculate richness and alpha diversity indexes, faecal and intestinal samples were analysed separately. Samples with less than 1000 reads (counts) were removed. Only one faecal sample was removed (CE dog 11: IgG- visit 1) Thirty pre sorting water samples and two negative water samples were excluded as well. For the intestinal microbiota, four samples were removed (three small intestine biopsies: CE dog 7 active, CE dog 13 active, CE dog 14 remission; one Colon biopsy: CE dog 2 remission). Additionally, taxa that were not present in any of the samples were removed. Richness was calculated based on the number of observed OTUs and diversity was calculated using Shannon, inverse\_simpson and Fisher indexes. (Total number of samples: 622).

To calculate between-sample diversity, the OTU matrix was normalized to account for uneven column (sample) sums, through Cumulative sum scaling (CSS) using the command normalize\_table.py available in Qiime Weighted and unweighted Unifrac metrics were applied to build phylogenetic distance matrices (Lozupone *et al.*, 2012). We also assessed the Bray\_curtis dissimilarity index.

To perform microbial differential abundance testing between the different groups, we used the extension DESeq2 from the Phyloseq package (McMurdie & Holmes, 2013) (Love et al., 2014).

Hierarchical clustering in the IgA positive population was performed using the weighted UniFrac distance and the UPGMA method (hclust parameter method="average"). In the 'average' method the distance between the two groups is the distance between the centers of gravity of the two groups. Results were plotted as an annotated dendrogram.

### 3.2.8 Relative enrichment of IgA and IgG taxa

Samples from individual animals subjected to FACS followed by bacterial 16S rRNA gene sequencing of the "input" (pre-sort), the IgA<sup>+</sup>, and IgA<sup>-</sup> fractions. The differential representation of each taxon between the IgA<sup>+</sup> and IgA<sup>-</sup> fractions was expressed in the form of an Ig index enrichment.

First, phylum, class, order, family, genus and species taxonomy were summarised. The threshold used for designating that a taxon was called as present was  $\geq 0.1\%$  relative abundance in either the IgA<sup>+</sup>, IgA<sup>+</sup> or input fractions. Enrichment at the family-level taxon in the IgA<sup>+</sup>/IgG<sup>+</sup> or IgA<sup>-</sup>/IgG<sup>-</sup> fraction was chosen; now that at species and genus level, many of the bacteria were not assigned to any group. A pseudo count (equal to 0.001, which was the lower limit of detection of fractional representation in the community) was then added to every taxon detected in both the IgA<sup>+</sup> and IgA<sup>-</sup> fractions generated from every faecal sample. The fractional abundance of a given taxon in the positive population was divided by the fractional abundance of that given taxon in the negative population and the result was log transformed.

A paired Wilcoxon test was done for comparing the significance of differences in abundances of that taxon in the IgA<sup>+</sup> to IgA<sup>-</sup> fractions prepared from a group of samples. If a taxon was not detected in a sample, that sample was excluded from analysis of that taxon. IgA index values of zero represent samples in which a taxon was detected in equal proportions in both the IgA<sup>+</sup> and IgA<sup>-</sup> fractions.

This value represents a relative measure of targeting; but does not provide an absolute quantitation of the IgA response since the total amount of IgA bound to each taxon is not determined.

4401  
 4402 - Contamination samples  
 4403  
 4404 A sample of water was collected before the sorting of each sample. Group of families that were present in  
 4405 this sample, were removed from the analysis of the sorted sample. Samples with fewer than 1000 reads  
 4406 after removing contaminating sequences were not included in the analysis.

4407  
 4408 **3.2.9 Statistical Analyses**  
 4409  
 4410 Differences in the percentage of bacterial immunoglobulin coating were calculated with the unpaired t-test.  
 4411 Differences between samples of the same patient at different time points were evaluated with the paired t-  
 4412 test using the program GraphPad Prism 7.04. All data were distributed normally. A P value < 0.05 were  
 4413 considered significant.

4414  
 4415 A Shapiro-Wilk test of normality was performed on alpha diversity and Richness to check whether the data  
 4416 was normally distributed or not. As the data was not normally distributed, differences in alpha diversity were  
 4417 calculated using the non-parametric Kruskal-Wallis test (more than two levels) and pairwise comparisons  
 4418 were calculated using the Wilcoxon rank sum test. Tests were carried out using the microbiome R package.  
 4419 Graphics were created using the package ggpubr in R. We use the following convention for symbols  
 4420 indicating statistical significance: ns:  $p > 0.05$ , \*:  $p \leq 0.05$ , \*\*:  $p \leq 0.01$ , \*\*\*:  $p \leq 0.001$  and \*\*\*\*:  $p \leq$   
 4421 0.0001.

4422  
 4423 Differences in beta diversity were calculated in normalized data (CSS OTU table) based on Permutational  
 4424 Multivariate Analysis Of Variance using Distance Matrices and the function Adonis from the program  
 4425 Vegan 2.4.6. in R (Anderson, 2001). A P value < 0.05 was considered significant. For microbial differential  
 4426 abundance testing, DESeq2 from the Phyloseq package was used.

4427  
 4428 **3.3 Results**  
 4429  
 4430 **3.3.1 Immunoglobulin coating of faecal bacteria in healthy dogs**

4431

The percentage of bacteria coated with immunoglobulin A and G was determined during cell sorting analysis. Healthy dogs contained on average  $21 \pm 9\%$  of IgA+ bacteria versus  $78 \pm 10\%$  IgA- bacteria and on average  $17 \pm 8\%$  of IgG+ bacteria versus  $82 \pm 9\%$  IgG- bacteria.

To assess the stability of immunoglobulin concentrations in healthy dogs, two faecal samples were collected one month apart. In the first visit, the average of bacteria coated with immunoglobulin A was  $20 \pm 10\%$  of IgA+ bacteria versus  $78 \pm 10\%$  IgA- bacteria, whereas in the second visit the percentage was  $21 \pm 9\%$  of IgA+ bacteria versus  $82 \pm 10\%$  IgA- bacteria. Coefficients of variation among visits per patient ranged between 5-63% for IgA+ and between 2-17% for IgA- and there were no significant differences among the visits in any of the parameters assessed. In the first visit, the average of bacteria coated with immunoglobulin G was  $17 \pm 6\%$  of IgG+ bacteria versus  $81 \pm 7\%$  IgG- bacteria, whereas in the second visit the percentage was  $17 \pm 10\%$  of IgG+ bacteria versus  $82 \pm 10\%$  IgG- bacteria. Coefficients of variation among visits per patient ranged between 2-17% for IgG+ and between 4-16% for IgG (Figure 3.3).

### 3.3.2 Immunoglobulin coating of faecal bacteria in dogs with chronic enteropathies

The percentage of bacteria coated with immunoglobulin A and G during active disease and shortly after remission of clinical signs was determined during cell sorting analysis and flow cytometry.

Clinical remission was associated with a decrease in immunoglobulin coating: IgA+ from  $22 \pm 12\%$  to  $12 \pm 10\%$  ( $P < 0.01$ ) and IgG+ from  $16 \pm 10\%$  to  $8 \pm 4\%$  of IgG+ ( $P < 0.01$ ). In contrast, the percentage of uncoated population was inversely proportional to the positive population, increasing over time: IgA- from  $76 \pm 13\%$  to  $87 \pm 10\%$  IgA ( $P < 0.01$ ) and IgG- from  $82 \pm 10\%$  to  $91 \pm 4\%$  ( $P < 0.01$ ) (Figure 3.4 and 3.5).

Contrary to what was expected, the active disease profile was like the profile of healthy dogs, whereas the remission period showed significant lower percentages of immunoglobulin coated bacteria compared to healthy population ( $P < 0.01$ ). Samples paired by dog showed the same trend and it was statistically significant in all cases (Figure 3.8 and 3.9).

Some dogs were followed during the treatment period to assess the dynamic of the coating over time. In general, the trend was dominated by decreases in the percentage of coated bacteria and increases in the

4463 percentage of non-coated bacteria, suggesting that treatment and response are associated with attenuation  
4464 of the immune response. (Figure 3.6).

4465  
4466 In one of the dogs, an extra sample was collected six months later after the resolution of the clinical signs.  
4467 The percentage of the positive population increased in relation to the previous sample, without the  
4468 presence of clinical signs. This could suggest that the dog was at a subclinical stage or the percentage of  
4469 the populations were normalizing with regards to the healthy one. More studies will need to be analysed in  
4470 order to unravel this question (Figure 3.7).

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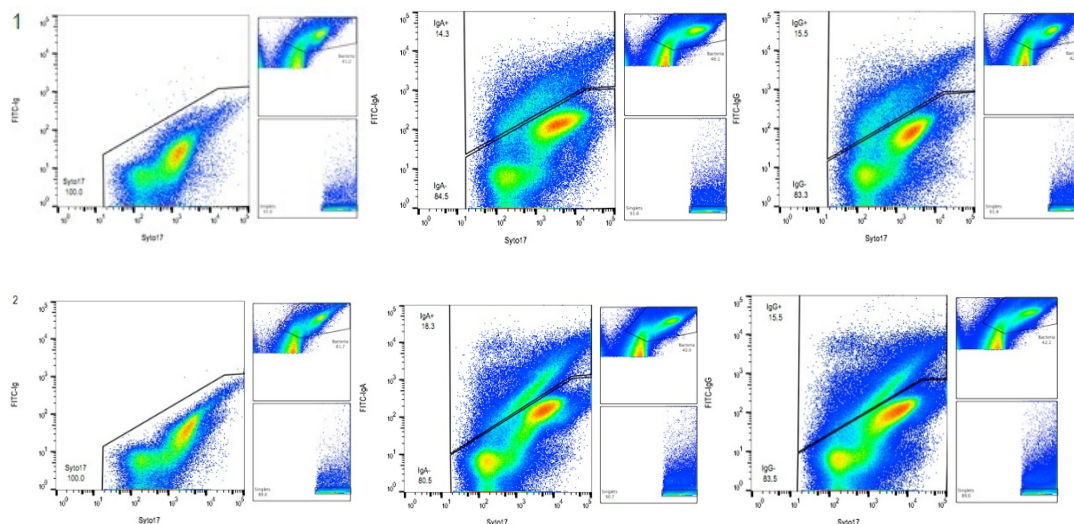


Figure 3.1: Flow cytometry healthy dog. Representative samples. Left panel: samples stained only with syto 17. Middle panel: Cells stained with syto17 and FITC-IgA. Right panel: Cells stained with syto17 and FITC-IgG. Upper line: visit 1. Lower line: Visit 2. For microbial fraction identification the trigger was set up based on side scatter properties (SSC) (small upper panel). Then, singlets were selected based on SSC-width vs SCC-height (small lower panel). FITC-negative window population was set up in samples containing only Syto 17. These windows were applied to samples stained with FITC-IgA or FITC-IgG to distinguish negative population from the positive one. Each sample was analyzed individually.

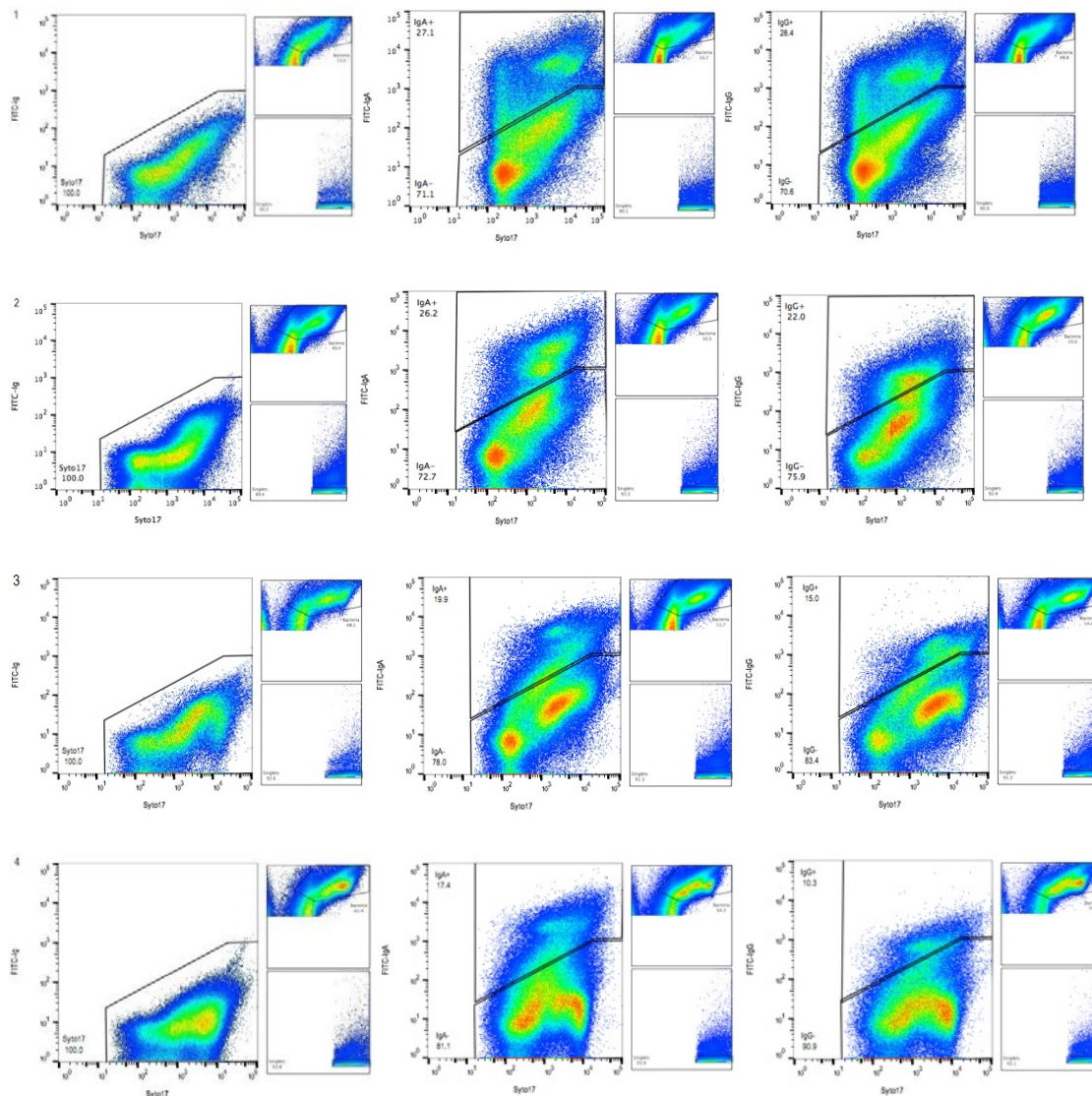


Figure 3.2: Flow cytometry dog with CE. Representative samples. Left panel: samples stained only with syto 17. Middle panel: Cells stained with syto17 and FITC-IgA. Right panel: Cells stained with syto17 and FITC-IgG. Lines correspond to visit 1 - visit 4 (CE remission). For microbial fraction identification the trigger was set up based on side scatter properties (SSC) (small upper panel). Then, singlets were selected based on SSC-width vs SCC-height (small lower panel). FITC-negative window population was set up in samples containing only Syto 17. These windows were applied to samples stained with FITC-IgA or FITC-IgG to distinguish negative population from the positive one. Each sample was analyzed individually.



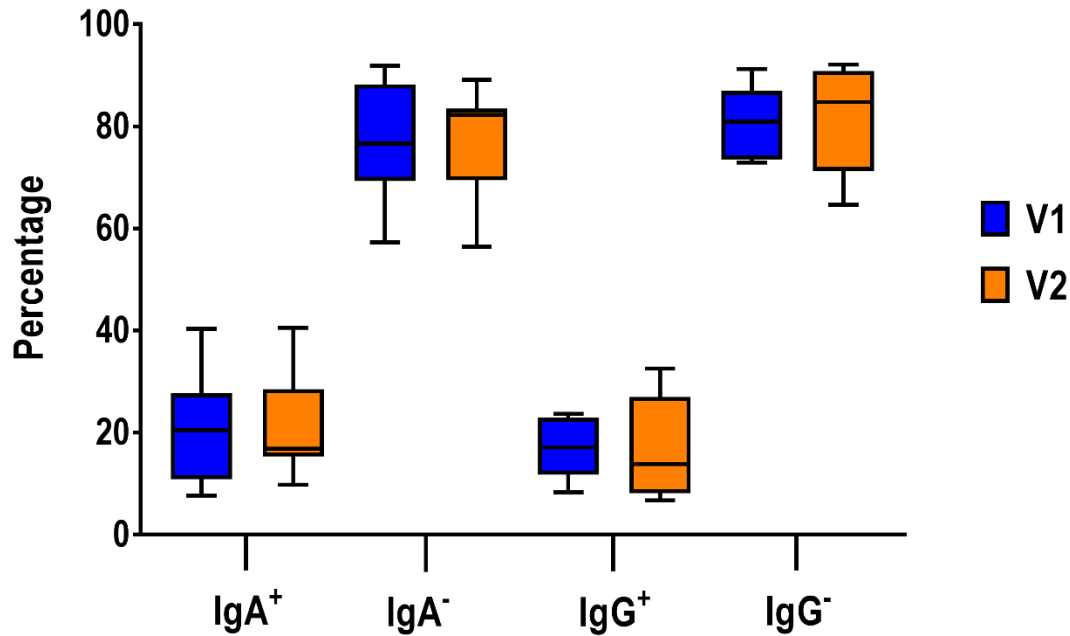


Figure 3.3: Percentages of faecal bacteria coated with immunoglobulin A (IgA) and immunoglobulin (IgG) from healthy dogs (n=11). V1: Visit 1 V2: Visit 2.

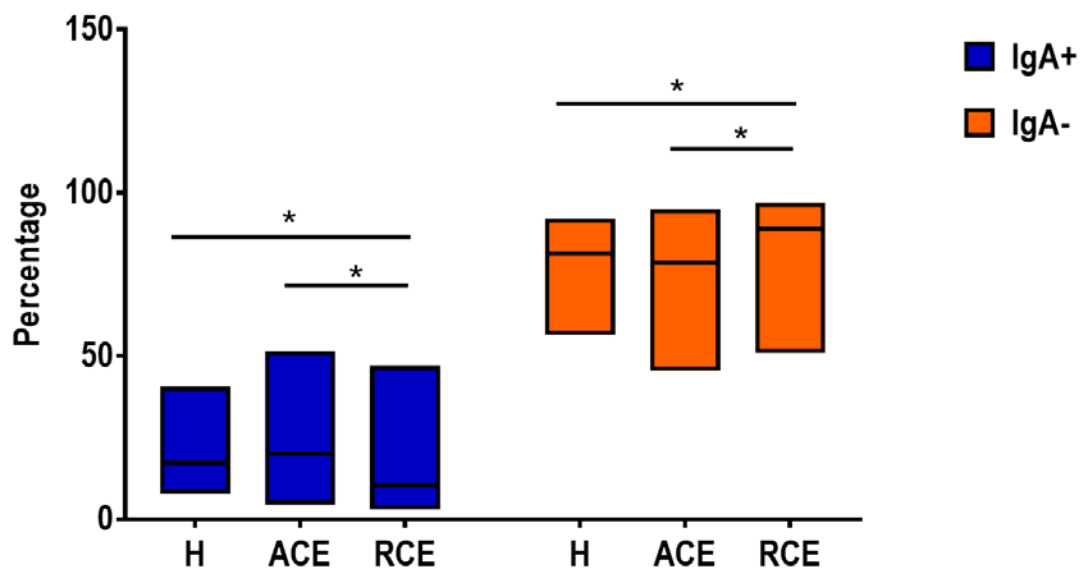


Figure 3.4: A: Percentages of faecal bacteria coated with immunoglobulin A (IgA) from healthy dogs (H) with chronic enteropathies during active disease (pre-treatment (ACE)) and during remission (Post-treatment (RCE)).\* P value :< 0,01 student t-test. Horizontal bars shown with individual data points represent sample median.

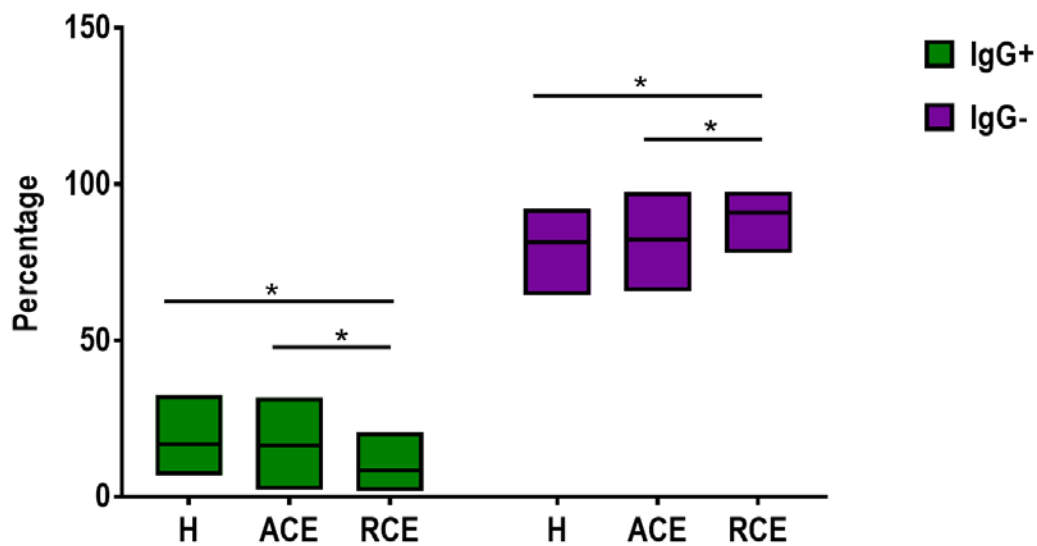


Figure 3.5: Percentages of faecal bacteria coated with immunoglobulin G (IgG) from healthy dogs (H) with chronic enteropathies during active disease (pre-treatment (ACE)) and during remission (Post-treatment (RCE)). \* P value :< 0.01 student t-test. Horizontal bars shown with individual data points represent sample median.

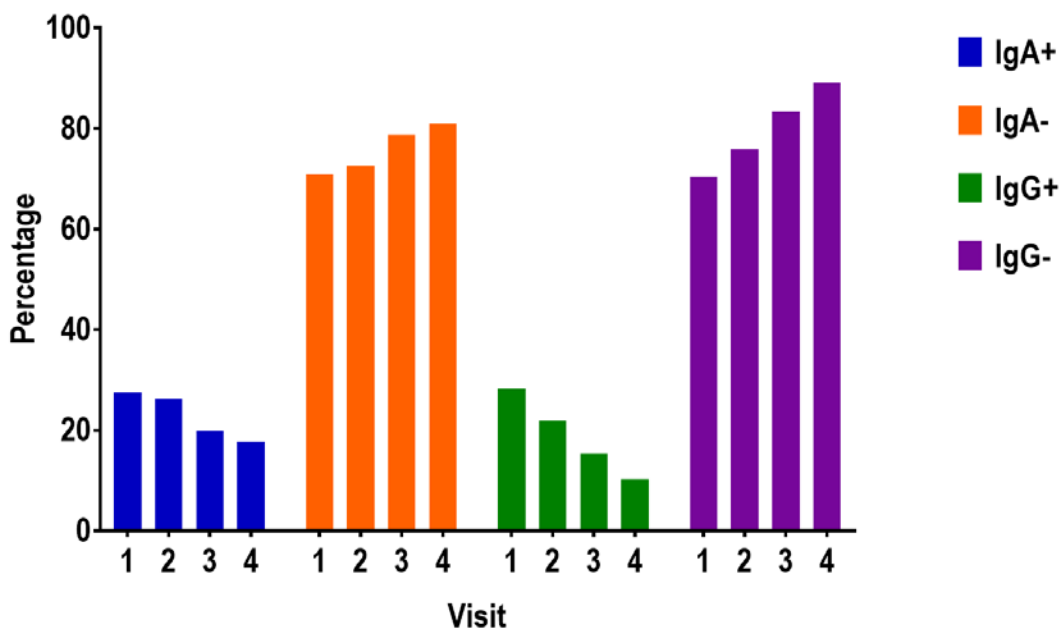


Figure 3.6: Time series analysis of the percentages of faecal bacteria coated with immunoglobulin A (IgA) and immunoglobulin (IgG). Representative patient (CE dog 7). Visit 1 corresponds to sample before treatment (first endoscopy) and visit 4 corresponds to sample after resolution of clinical signs (6 weeks later) (Second endoscopy).

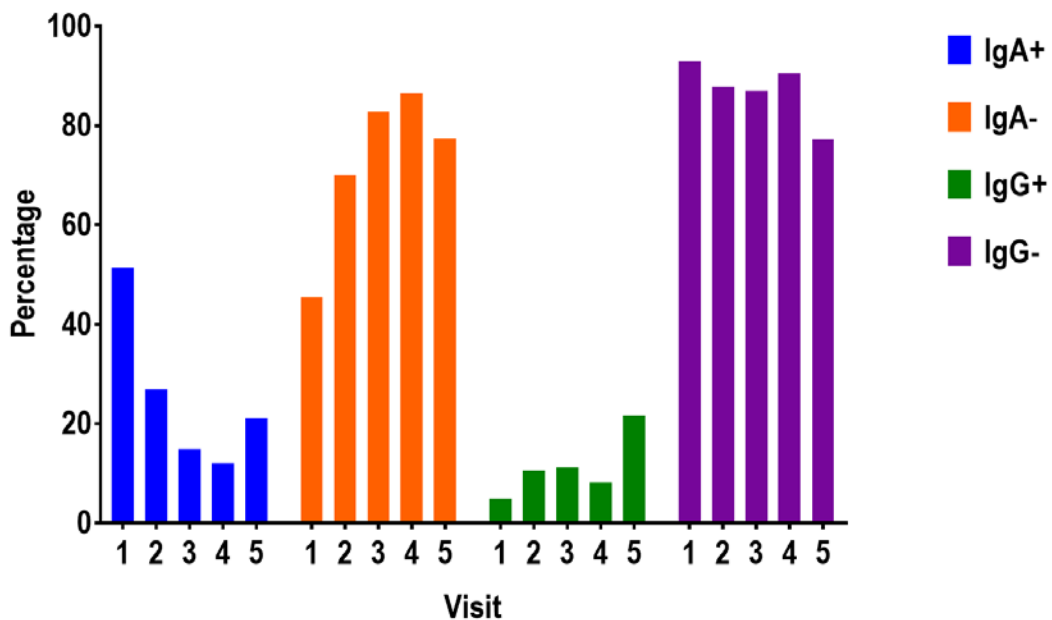


Figure 3.7: Time series analysis of the percentages of faecal bacteria coated with immunoglobulin A (IgA) and immunoglobulin (IgG). Representative patient (CE dog 1). Visit 1 corresponds to sample before treatment (first endoscopy) and visit 4 corresponds to sample after resolution of clinical signs (6 weeks later) (Second endoscopy) and visit 5 corresponds to sample taken after 6 months of resolution of clinical signs.

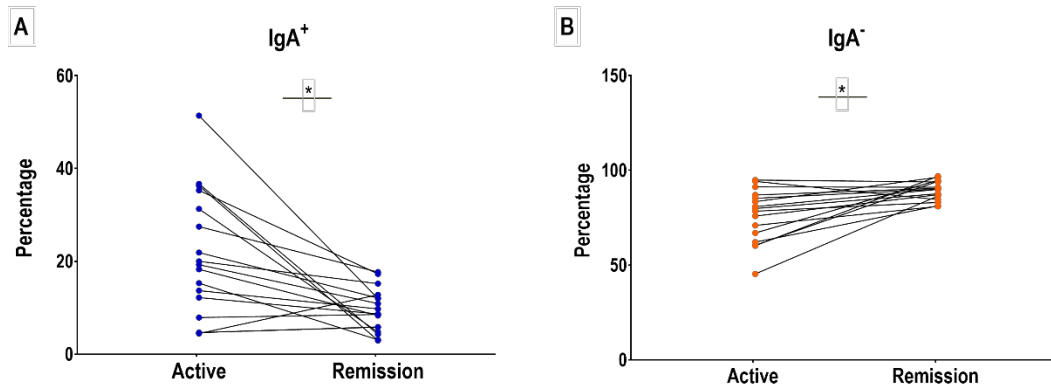


Figure 3.8: A: Percentages of faecal bacteria coated with immunoglobulin A (IgA) and B uncoated with IgA. Paired samples IgA<sup>+</sup>: 17 samples. IgA<sup>-</sup>: 16 samples. ). \* P value :< 0.01 student t-test.

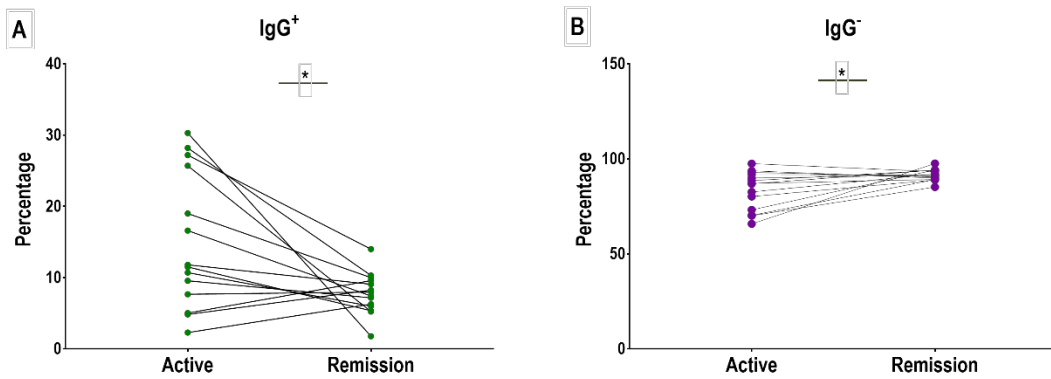


Figure 3.9: A Percentages of faecal bacteria coated with immunoglobulin G (IgG) and B uncoated with IgG. Paired samples  $\text{IgG}^+$ : 14 samples.  $\text{IgG}^-$ : 14 samples. ). \* P value :< 0.01 student t-test.

### 3.3.3 16S rRNA sequencing immunoglobulin coated population

#### 3.3.3.1 Pre-sorting water

Although numerous precautions were taken to avoid or minimise sample contamination, samples of water collected before the pre-sorting (pre-sorting water) were contaminated with bacterial DNA. All water profiles were similar, suggesting that the bacteria were present in the system or sheath fluid. At phylum level, the groups present comprised Proteobacteria (59-70%), Firmicutes (12-26%), Actinobacteria (5-9%), Fusobacteria (0,02-4%), Cyanobacteria (0,17-2%); Gemmatimonadetes, Acidobacteria, [Thermi] and Chloroflexi (<1%). Other phyla that were present in some samples included FBP, Tenericutes, SR1, Spirochaetes and Planctomycetes (<0,1%).

When we analysed the samples at lower phylogenetic levels, we found that at genus level, only 37% of the samples in the water could be assigned to a genus group. Some of the genera that could be identified comprised *Sphingomonas* (0-12%), *Kaisobacter* (0,17-9%), *Methylobacterium* (1-7%), *Staphylococcus* (0,5-5%), *Enterococcus* (0-4%) and *Fusobacterium* (0-4%) *Blautia* (0,5-4%), *Corynebacterium* (1-3%), and *Deinococcus* (0,2-4%), *Clostridium* (0-3%).

#### 3.3.3.2 Healthy group

##### 3.3.3.2.1 Sequencing summary

The total number of sequences per group range from 620 to 75198. A summary per group can be seen in Figure 3.10. Although the number of sequences in the sheath fluid before sorting was low; this indicate a potential contamination of the samples.



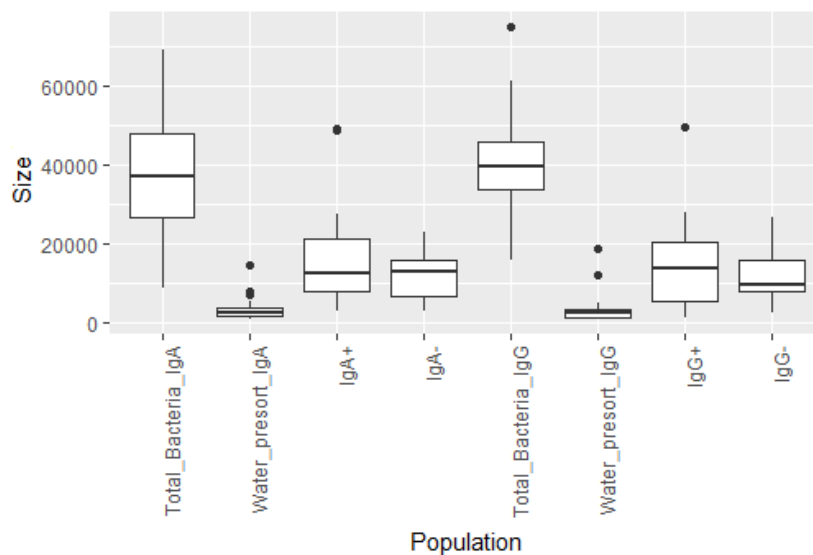


Figure 3.10: Total number of sequences in healthy dogs per type of immunoglobulin. Total bacteria correspond to the pre-sorting sample; water pre-sort corresponds to the sheath fluid collected before sorting of bacterial coated (+) and uncoated (-) with Immunoglobulin A (IgA) and Immunoglobulin G (IgG). N: 11 dogs (visit 1 and 2). IgA: Total n =22, Pre-sorting water n =22, IgA+ = 22, IgA- =22. IgG: Total n =22, Pre-sorting water n =21, IgG+ = 21, IgG- =21.

### 3.3.3.2.2 Analysis of the relative abundance at different phylogenetic levels

An aliquot of bacterial suspension was collected during the preparation of the samples (before the staining) and was classified as the total bacteria population for each immunoglobulin.

#### 3.3.3.2.2.1 Immunoglobulin A

For the total population, Firmicutes predominated at phylum level, with 62% of the population belonging to this group. This was followed by Bacteroidetes (20%), Fusobacteria (11%), Proteobacteria (4%) and Actinobacteria (2%). This is in accordance to previous studies made in dogs (Figure 3.11).

Other groups were found, although at a very low percentage: Gemmatimonadetes (0,009%) and Chloroflexi (0,004%). Gemmatimonadetes and Chloroflexi have been reported in soil and marine environments, suggesting incidental colonisers or sample contamination. These groups were present in the water pre-sorting.

#### - Positive population

IgA-positive population was dominated by the phyla: Firmicutes (72%), Bacteroidetes (12%), Proteobacteria (10%), Fusobacteria (3%) and Actinobacteria (1,5%). Other phyla present were [Thermi], Chloroflexi, Tenericutes, Acidobacteria and FBP (less than <0.01%) (Figure 3.11).

At the genus level, IgA<sup>+</sup> population was dominated by [*Ruminococcus*] (29%), *Blautia* (13%), [*Prevotella*] (5%), *Dorea* (5%), *Prevotella* (3,6%), *Fusobacterium* (3%), *Bacteroides* (2%) and [*Eubacterium*] (2%) genera. Other groups presented were *Catenibacterium*, *Turicibacter*, *Sutterella*, *Clostridium* and *Collisenlla* (Figure 3.11).

#### - Negative Population

IgA-negative population was dominated by the phyla Firmicutes (56%), Fusobacteria (17%), Proteobacteria (14%), Bacteroidetes (11%) and Actinobacteria (2%). Other phyla present were [Thermi], Chloroflexi, Tenericutes, Cyanobacteria and Planctomycetes (less than <0.01%) (Figure 3.11).

IgA<sup>-</sup> population was dominated by *Fusobacterium* (17%), *Blautia* (16%), *Prevotella* (7%), *Clostridium* (6%), *Megamonas* (5%) and *Catenibacterium* (5%), [*Ruminococcus*] (2,5%), *Enterococcus* (2%) and *Bacteroides* (2%). Other groups present were *Phascolarctobacterium*, *Veillonella*, *Peptococcus*, *Sphingomonas* and *Streptococcus* (Figure 3.11).

Although the phyla groups that were present in the samples were also present in the pre-sorting water (making difficult to distinguish between the real population of bacteria present and contamination), analysis of the samples at lower phylogenetic levels found that different groups of bacteria were present between the pre-sorting water and samples or at much lower proportion in the water samples. Noteworthy, most of the sequences in the water could not be assigned to a specific group (Figure 3.11).

#### 3.3.3.2.2.2 Immunoglobulin G

For IgG, the total population of bacteria was dominated by the same groups and in similar proportion as the ones present in the IgA total population. This is what it was expected as both populations come from the same samples. The pre-sorting water sample was again similar as the one collected for IgA, although it also contained the following phyla: FBP (<0,01%).

##### - Positive population

The IgG<sup>-</sup> positive population was dominated by the phyla: Firmicutes (74%), Bacteroidetes (9%), Proteobacteria (9%), Fusobacteria (5%) and Actinobacteria (2%). Other phyla present were [Thermi], Cyanobacteria, Tenericutes, Acidobacteria and Armatimonadetes (less than <0.01%) (Figure 3.11).

At lower phylogenetic levels, IgG<sup>+</sup> population was dominated by [*Ruminococcus*] (30%), *Blautia* (8%), *Fusobacterium* (5%), [*Prevotella*] (5%), [*Eubacterium*] (3%), *Dorea* (3%), *Clostridium* (2,5%), *Prevotella* (2%), *Bacteroides* (1,8%), *Catenibacterium* (1,6%) and *Lactobacillus* (1%) (Figure 3.11).

##### - Negative population

4667 The IgG-negative population was dominated by the phyla Firmicutes (60%), Fusobacteria (17%),  
4668 Proteobacteria (10%), Bacteroidetes (11%) and Actinobacteria (2%). Other phyla present were [Thermi],  
4669 Chloroflexi, Tenericutes, Cyanobacteria, Crenarchaeota, Verrucomicrobia, Nitrospirae, WPS-2 and  
4670 Planctomycetes (less than <0.01%) (Figure 3.11).

4671  
4672 At lower phylogenetic levels, IgG<sup>-</sup> population was dominated *Blautia* (18%), *Fusobacterium* (17%),  
4673 *Prevotella* (5%), *Clostridium* (5%), *Megamonas* (4,5%), *Catenibacterium* (3%), *Bacteroides* (3%),  
4674 *[Ruminococcus]* (3%), *[Eubacterium]* (2%), *[Prevotella]* (2%), *Streptococcus* (1,5%) (Figure 3.11).

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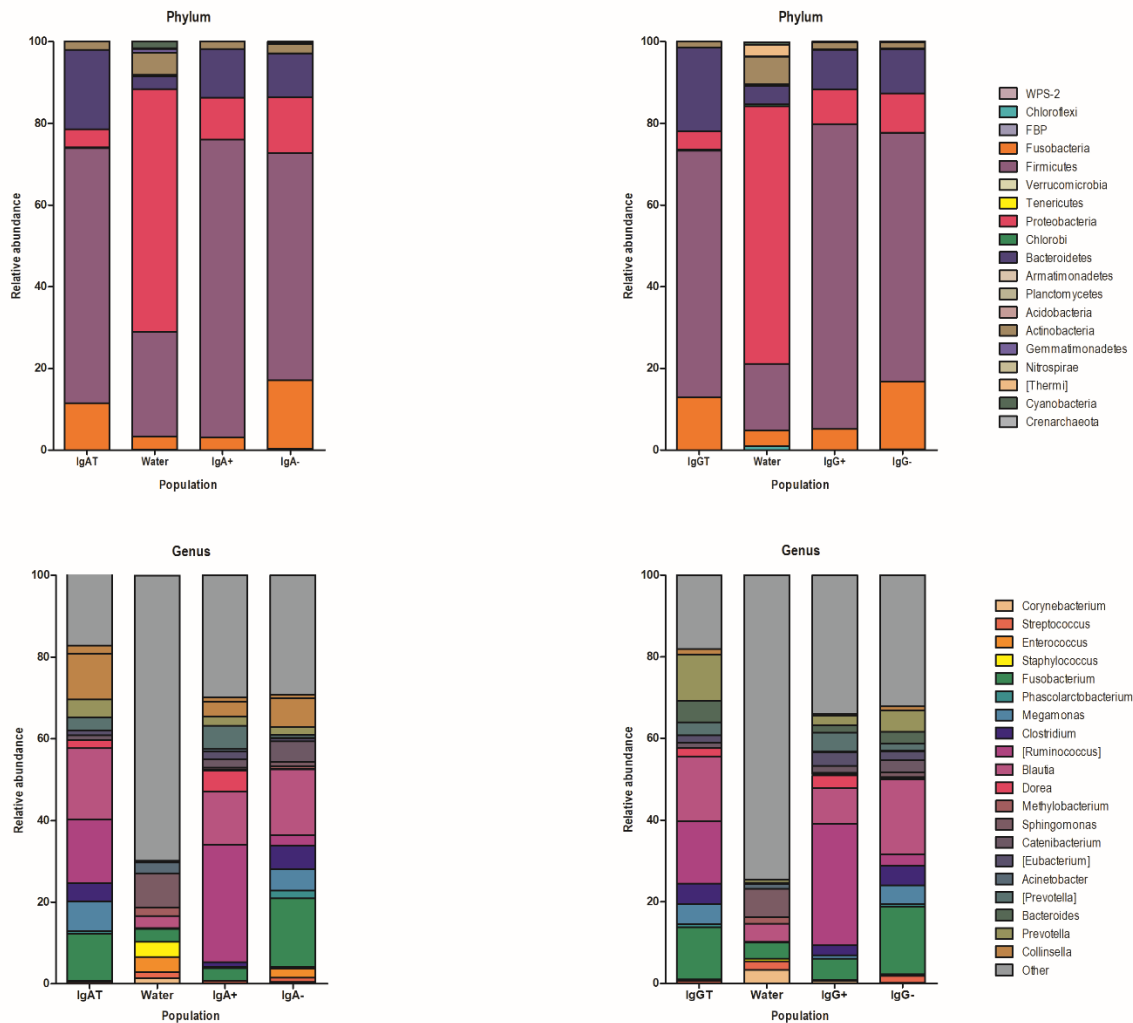


Figure 3.11: Top 20 of the relative abundance of the major phylogenetic levels in healthy dogs. IgA: immunoglobulin A, IgG: immunoglobulin G. Phylum (Upper panel). Genus (Lower Panel) N: 11 dogs (visit 1 and 2). IgA: Total n =22, Pre-sorting water n =20, IgA+ = 22, IgA- =22. IgG: Total n =22, Pre-sorting water n =19, IgG+ = 21, IgG- =21.

#### 3.3.3.2.3 Diversity analysis

When alpha diversity analysis was performed, the highest diversity was found in the total population of bacteria and the lowest diversity in the pre-sorting water as it was expected. Interestingly, the differences were given by the richness more than the evenness component (Figure 3.12). However, when positive and negative populations were compared; there was no significant difference in alpha diversity.

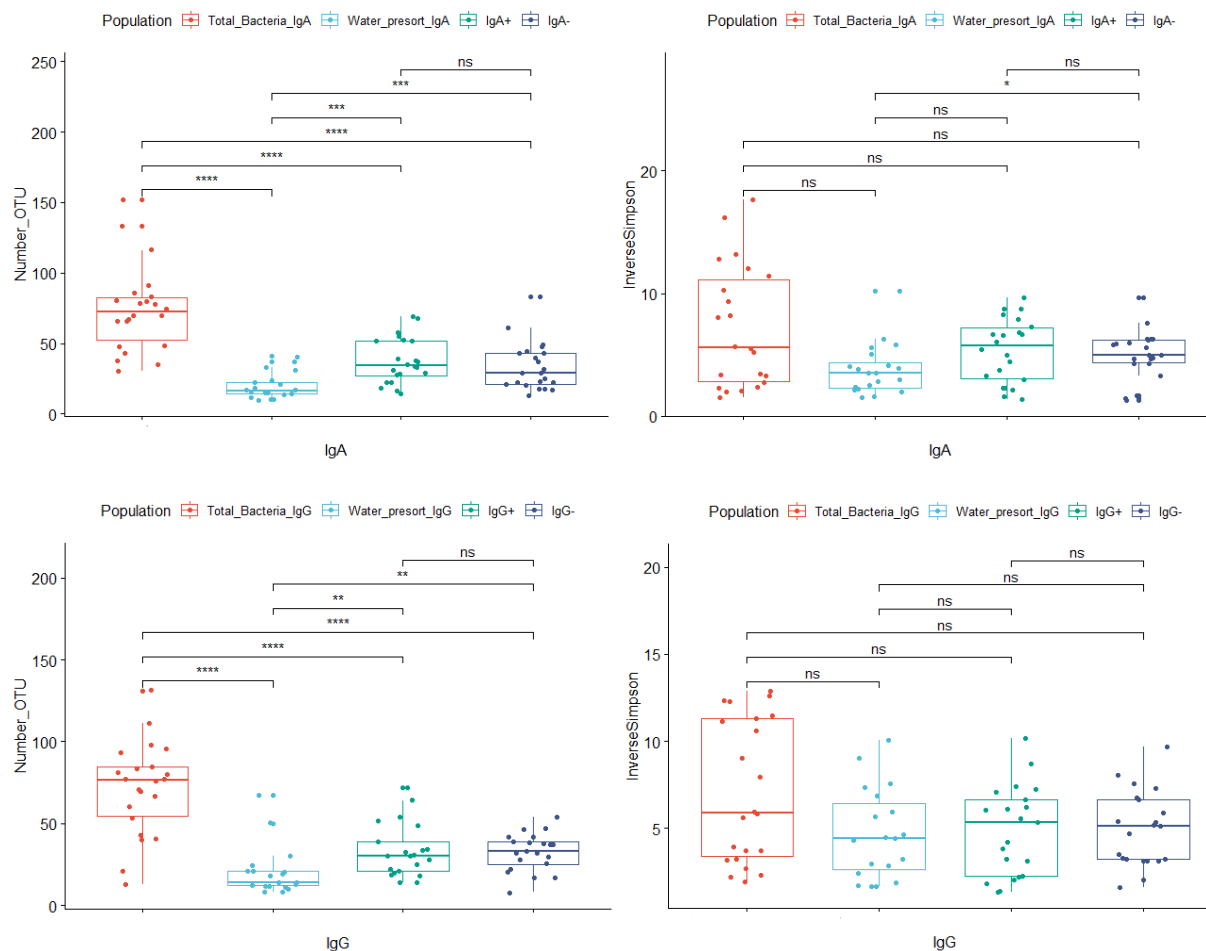


Figure 3.12: Alpha diversity analysis of Immunoglobulins in healthy dogs. Upper panel: Immunoglobulin A (IgA); Lower panel (IgG). N: 11 dogs (visit 1 and 2). IgA: Total n =22, Pre-sorting water n =20, IgA+ = 22, IgA- =22. IgG: Total n =22, Pre-sorting water n =19, IgG+ = 21, IgG- =21. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index. . P value: ns:  $p > 0.05$ , \*:  $p \leq 0.05$ , \*\*:  $p \leq 0.01$ , \*\*\*:  $p \leq 0.001$  and \*\*\*\*:  $p \leq 0.0001$ .

4702 When samples were plotted using Unifrac analysis, pre-sorting samples clustered together, total population  
4703 of bacteria also clustered together but separately from the pre-sorting samples and immunoglobulin  
4704 samples were mixed in the plot (Figure 3.13). When microbial communities were compared there was a  
4705 significant difference between the positive and negative population but not among positive IgA and IgG and  
4706 negative IgA and IgG population (Table 3.3).

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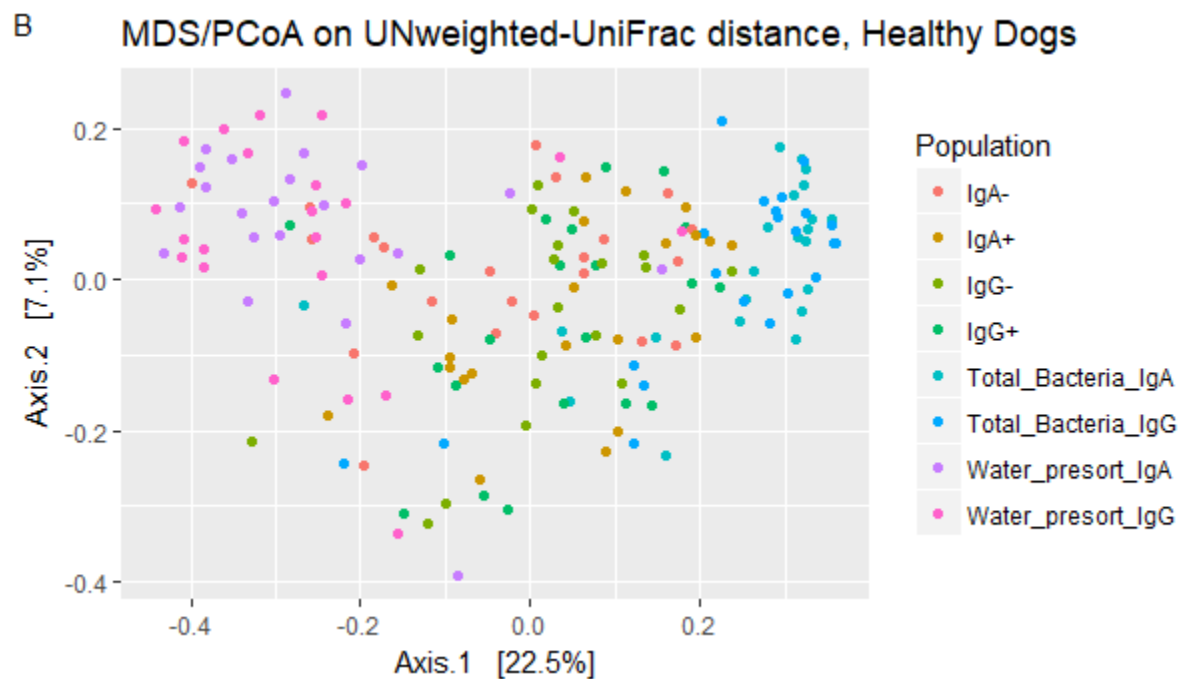
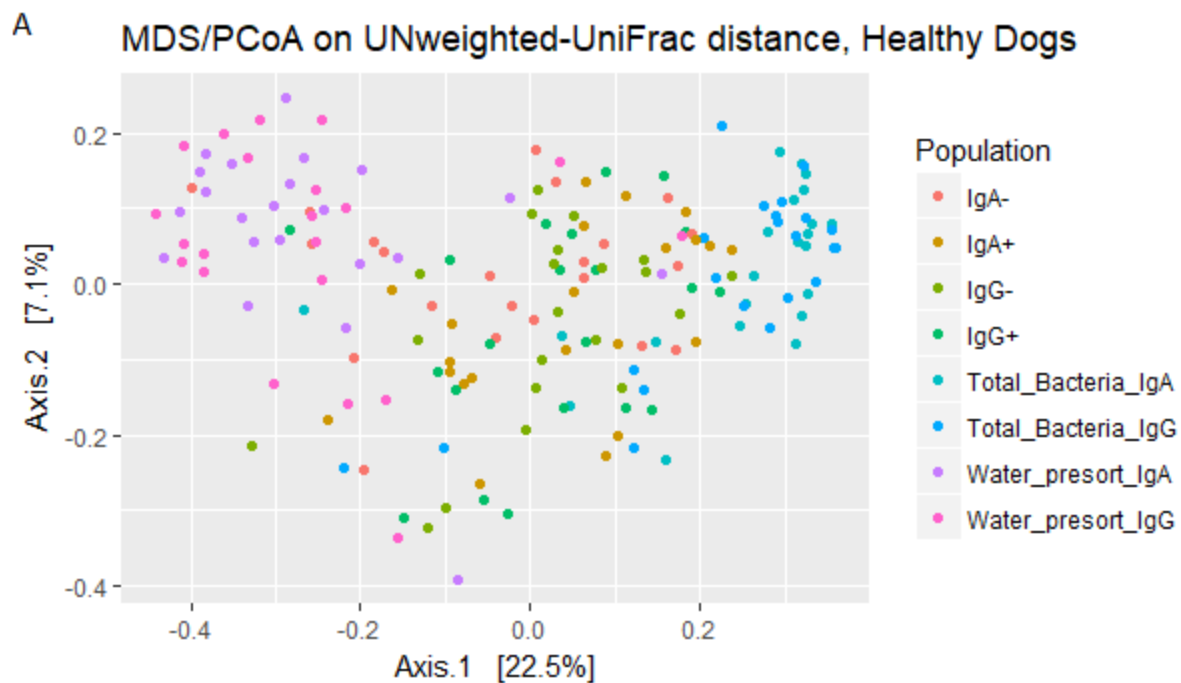


Figure 3.13: Beta diversity analysis in healthy dogs. A: Unweighted and B: Weighted UNIFRAC analysis comparing different type of immunoglobulin populations. N: 11 dogs (visit 1 and 2). IgA: Total n =22, Pre-sorting water n =22, IgA+ = 22, IgA- =22. IgG: Total n =22, Pre-sorting water n =21, IgG+ = 21, IgG- =21.

4715

4716 Table 3.3: Comparison of microbial communities (Beta- diversity) according to population of

4717 immunoglobulins in healthy dogs.

Group comparison		R2	p-value
Total bacteria IgA	Pre-sorting water	0.29691	0.001
vs.	IgA+	0.11102	0.001
	IgA-	0.15135	0.001
Total bacteria IgG	Pre-sorting water	0.27097	0.001
vs.	IgG+	0.09769	0.001
	IgG-	0.11885	0.001
IgA+	IgA-	0.03803	0.025
vs.			
IgG+	IgG-	0.04937	0.002
vs.			
IgA+	IgG+	0.01206	0.996
vs.			
IgA-	IgG-	0.01483	0.953
vs.			

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4722 3.3.3.3 Chronic Enteropathy group

4723

4724 3.3.3.3.1 Faecal Samples

4725

4726 3.3.3.3.1.1 Sequence summary

4727

4728 The total number of sequences per group in active disease range from 63 to 66143, whereas in the  
4729 remission period, the number of sequences per group ranged from 179 to 66143. A summary per group  
4730 can be seen in Figure 3.14.

4731

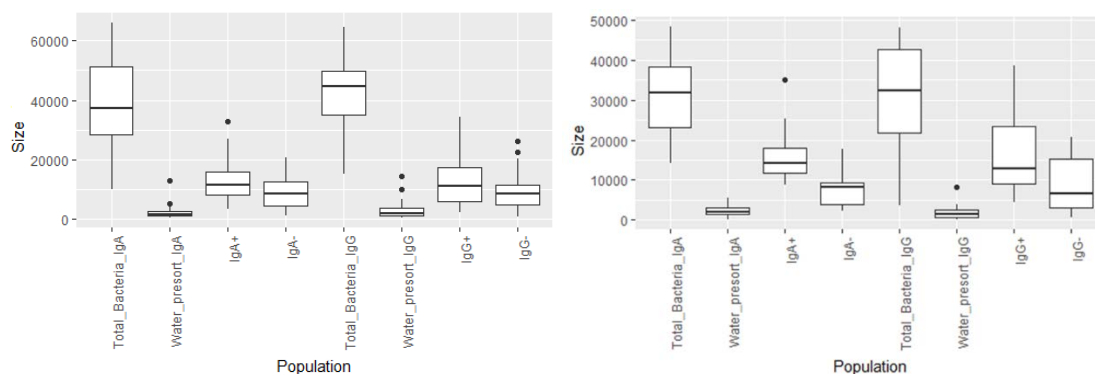


Figure 3.14: Total number of sequences in dogs with Chronic Enteropathy, Active disease and Remission. Total bacteria corresponds to the pre-sorting sample; water pre-sort corresponds to the sheath fluid collected before sorting of bacterial coated (+) and uncoated (-) with Immunoglobulin A (IgA) and Immunoglobulin G (IgG). Active disease N: 18 dogs. IgA: Total n =28, Pre-sorting water n =28, IgA+ = 28, IgA- =28. IgG: Total n =28, Pre-sorting water n =28, IgG+ = 28, IgG- =28. Remission 17 dogs. IgA: Total n =18, Pre-sorting water n =17, IgA+ = 18, IgA- =18. IgG: Total n =18, Pre-sorting water n =18, IgG+ = 18, IgG- =18.

### 3.3.3.3.1.2 Analysis of the relative abundance at different phylogenetic levels

#### 3.3.3.3.1.2.1 Immunoglobulin A

An aliquot of bacterial suspension was collected during the preparation of the samples (before the staining) and was classified as the total bacteria population for each immunoglobulin.

During active disease, Firmicutes predominated at phylum level, with 57% of the population belonging to this group. This was followed by Bacteroidetes (21%), Proteobacteria (11%), Fusobacteria (6%), and Actinobacteria (3%) (Figure 3.15).

Additionally, other groups were found, although at a very low percentage: Tenericutes (0,5%), Verrucomicrobia (0,03%) Fibrobacteres (0,017%), [Thermi] (0,007) and Chloroflexi (0,004%). Fibrobacteres has been reported in the gastrointestinal tract of animals, but not in dogs before. [Thermi] has been reported in soil and marine environments, suggesting incidental colonizers or sample contamination. This group was present in the pre-sorting water.

During remission, the same groups at phylum level, were present and in similar proportions.

#### - Positive population

During active disease, the IgA- positive population was dominated by the phyla: Firmicutes (72%), Proteobacteria (12%), Bacteroidetes (8%), Fusobacteria (4%) and Actinobacteria (3%). Other phyla present were [Thermi], Chloroflexi, Tenericutes, Acidobacteria and Cyanobacteria (less than <0.1%) (Figure 3.15).

At the genus level, the IgA+ population was dominated by *[Ruminococcus]* (20%), *Blautia* (17%), *Catenibacterium* (5%), *Dorea* (5%), *Fusobacterium* (4%), *[Prevotella]* (4%), *Collisenilla* (3%), *Prevotella* (2%), *Faecalibacterium* (2%), *Bacteroides* (2%) *Fusobacterium* (3%), *Bacteroides* (2%) and *[Eubacterium]* (2%) genera. Other groups presented were *Megamonas* and *Clostridium*. 26% of the bacteria could not be classified at genus level (Figure 3.15).

During remission, the phylum and genus profile conserved the same characteristics, with minor differences in proportion.

- Negative population

During active disease, the main groups that were present in the positive population, were present in the negative population and in similar proportions, except for Actinobacteria (6%). Other phyla present were [Thermi], Chloroflexi, Cyanobacteria and Verrucomicrobia (less than <0.1%) (Figure 3.15).

At genus level, the IgA- population was dominated by *Blautia* (12%), *Fusobacterium* (6%), %, *Megamonas* (5%), *Prevotella* (7%), *Clostridium* (6%), *Megamonas* (6%), *Streptococcus* (6%), *Clostridium* (5%), *Faecalibacterium* (4%), *Catenibacterium* (4%), *[Ruminococcus]* (3%), *Enterococcus* (2%) and *Prevotella* (2%). Other groups present were *Lactobacillus*, *Collinsella*, *Enterococcus*, *Bacteroides* and *[Prevotella]* (Figure 3.15).

IgA- population was dominated by *Blautia* (13%), *[Ruminococcus]* (7%), *Bacteroides* (5%), *Fusobacterium* (5%), %, *Streptococcus* (4%), *Megasphaera* (4%), *Sphingomonas* (4%), *Staphylococcus* (2%), *Streptococcus* (6%), *Clostridium* (5%), *Faecalibacterium* (4%), *Catenibacterium* (4%), *Prevotella* (2%) and *Megamonas* (2%). Other groups present were *Corynebacterium*, *Collinsella*, *Micrococcus*, *[Prevotella]*, *Allobaculum*, *Deinococcus*. 39% of the bacteria could not be classified at genus level (Figure 3.15).

During remission, the phylum and genus profile conserved the same characteristics, with minor differences in proportion.

### 3.3.3.1.2.2 Immunoglobulin G

For IgG, the total population of bacteria was dominated by the same groups and in similar proportion as the ones present in the IgA total population, during active disease and remission (Figure 3.15).

- Positive population

4807  
 4808 In both stages, the IgG- positive population was dominated by the phyla: Firmicutes (74-76%),  
 4809 Proteobacteria (8-11%), Bacteroidetes (11%), Fusobacteria (1,5-3%) and Actinobacteria (2%). Other phyla  
 4810 present were [Thermi], Cyanobacteria, Chloroflexi, Acidobacteria, Verrucomicrobia and WPS-2 (less than  
 4811 <0.1%) (Figure 3.15).

4812  
 4813 At the genus level, the IgG+ population was dominated by *[Ruminococcus]* (20%), *Blautia* (20%), *Dorea*  
 4814 (4%), *Megamonas* (4%), *[Prevotella]* (4%), *Fusobacterium* (3%) and *Prevotella* (3%). Other groups present  
 4815 were *Clostridium*, *Bacteroides*, *Faecalibacterium*, *Dialister*, *Collinsella* and, *Catenibacterium* (Figure 3.15).

4816  
 4817 - Negative Population

4818  
 4819 In both stages, the IgG-negative population was dominated by the phyla Firmicutes (63-74%),  
 4820 Proteobacteria (9-17%), Fusobacteria (7%), Bacteroidetes (7%) and Actinobacteria (2%). Other phyla  
 4821 present were [Thermi], Chloroflexi, Tenericutes, Cyanobacteria and Verrucomicrobia, (less than <0.1%)  
 4822 (Figure 3.15).

4823  
 4824 The IgG- population was dominated *Blautia* (24%), *Fusobacterium* (6%), *Megamonas* (5%), *Clostridium*  
 4825 (5%), *[Ruminococcus]* (4%), *Catenibacterium* (4%), *Faecalibacterium* (4%), *Prevotella* (3%), and  
 4826 *Bacteroides* (3%). Other present groups included *Streptococcus*, *Enterococcus*, *Roseburia* and  
 4827 *Kaistobacter* (Figure 3.15).

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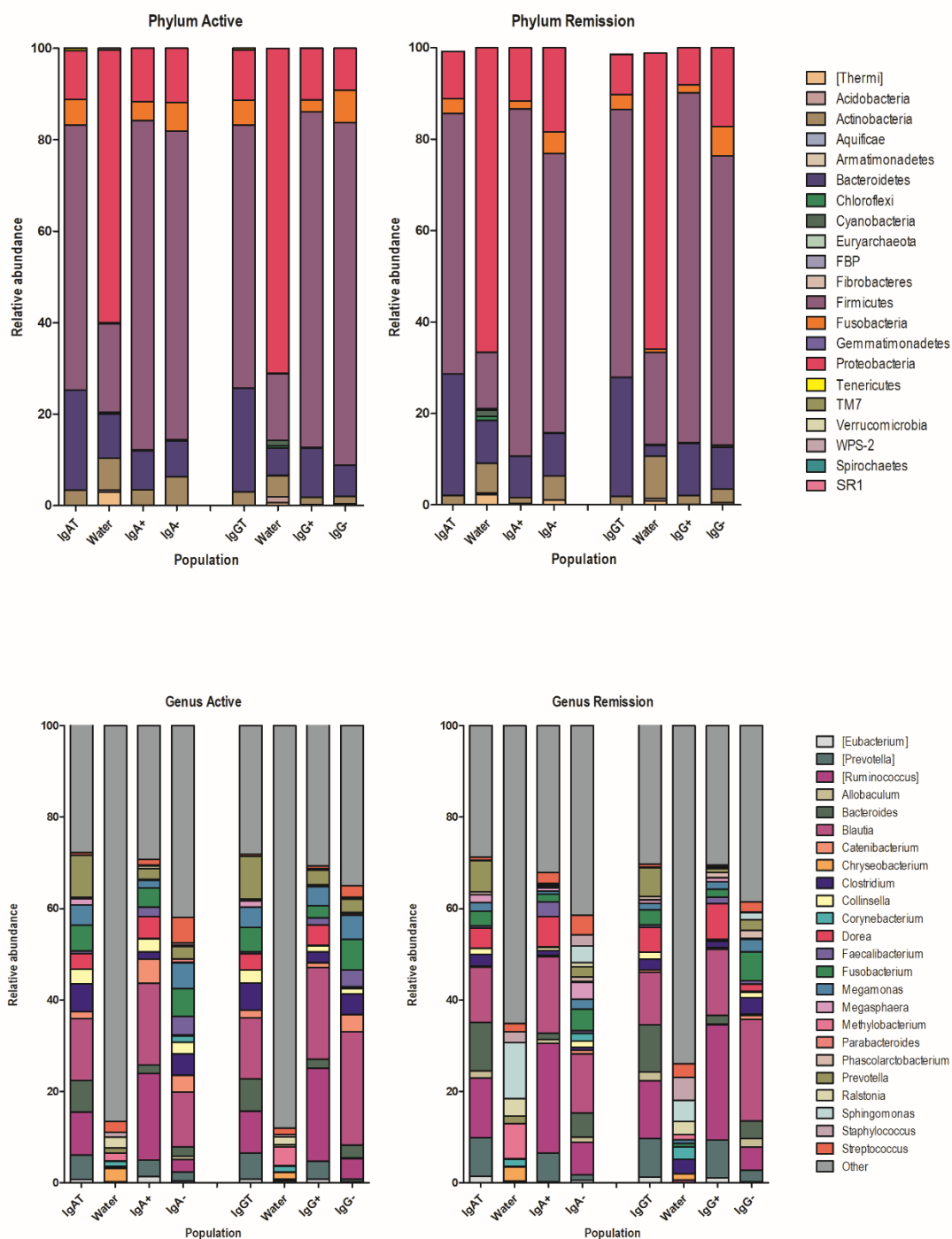


Figure 3.15: Relative abundance of the major phylogenetic levels in dogs with chronic enteropathy, active disease versus remission. Phylum (Upper panel), Genus (Lower panel) IgAT: immunoglobulin A total bacteria (presort), IgA+: immunoglobulin A-positive population and IgA-: immunoglobulin A-negative population. IgGT: immunoglobulin A total bacteria (presort), IgG+: immunoglobulin G-positive population and IgG-: immunoglobulin G-negative population.



#### 3.3.3.3.1.2 Diversity Analysis

- Alpha diversity

When alpha diversity analysis was performed, both stages shared the same characteristics. The highest diversity was found in the total population of bacteria and the lowest diversity in the pre-sorting water. When negative and positive populations were compared; there was not a significant difference in alpha diversity (Supplementary figure 5.1 and 5.2); as well as, when populations were compared at different stages (Figure 3.16 and 3.17).

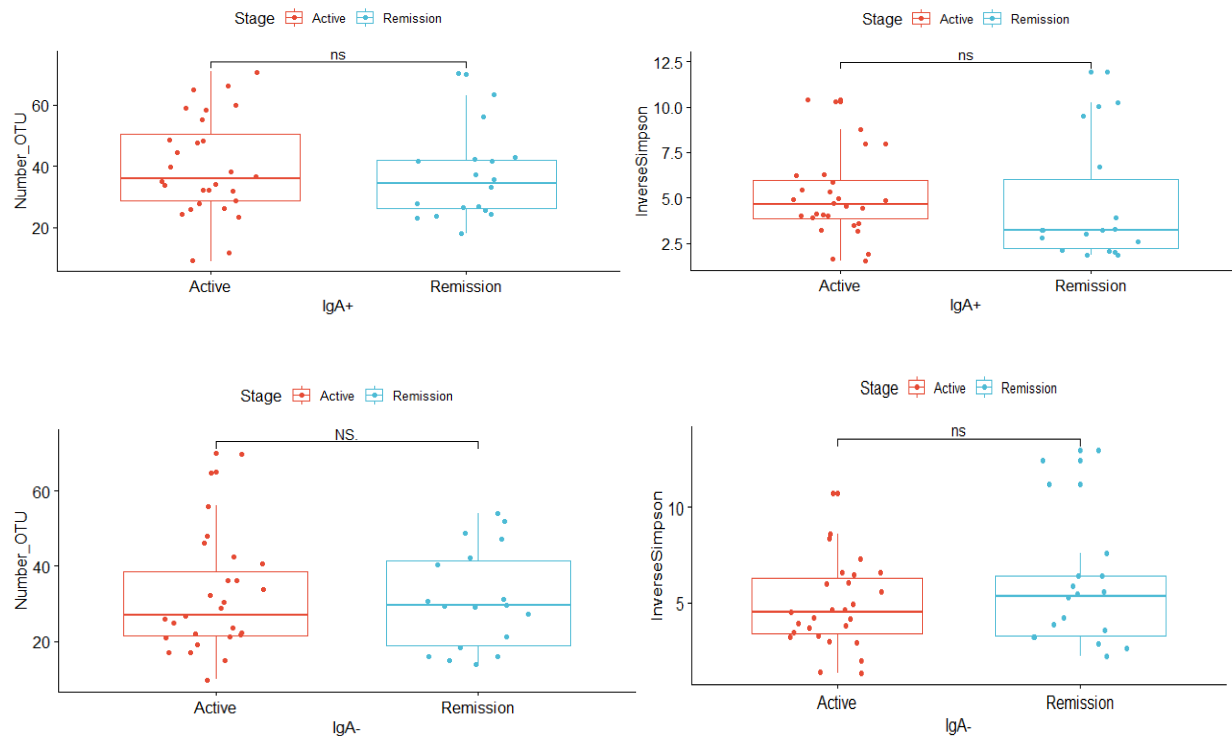


Figure 3.16: Alpha diversity analysis of Immunoglobulin A (IgA) in dogs with Chronic Enteropathy at different stages. Active disease versus Remission. Upper panel: Immunoglobulin A positive population (IgA+); Lower panel Immunoglobulin A negative population (IgA-); N Active: 18 dogs; N Remission: 17 dogs. IgA+ Active n =27 IgA+ Remission n=18. IgA- Active n= 27; IgA- Remission =18. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index.

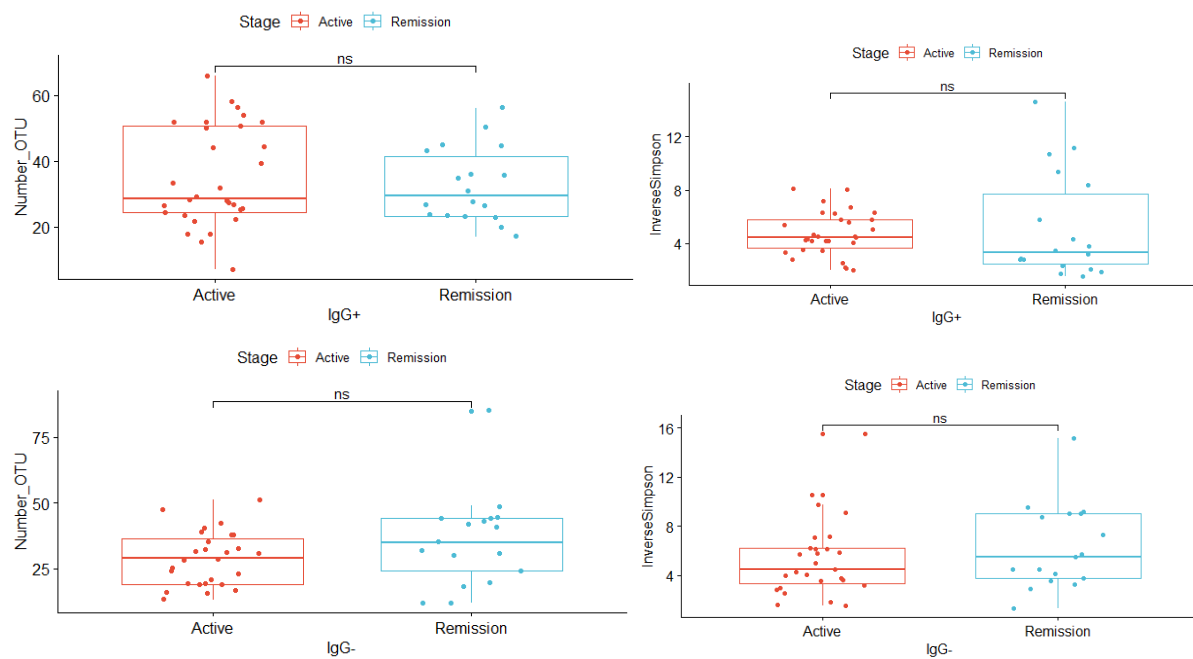


Figure 3.17: Alpha diversity analysis of Immunoglobulin G in dogs with Chronic Enteropathy at different stages. Active disease versus Remission. Upper panel: Immunoglobulin G positive population (IgG+); Lower panel: Immunoglobulin A negative population (IgG-); N Active: 18 dogs; N Remission: 17 dogs. IgG+ Active n = 30 IgG+ Remission n=18. IgG- Active n= 27; IgG- Remission n=18. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index.

4867 - Beta diversity

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4869 When samples were plotted using Unifrac analysis, the following pattern was observed in both stages of  
4870 the disease: Clustering was evident for the water pre-sorting samples and total bacterial population. The  
4871 positive and negative population were dispersed. When microbial communities were compared there was a  
4872 significant difference between the positive and negative population but not among positive IgA and IgG and  
4873 negative IgA and IgG population (Table 3.4, 3.5 and 3.6) (Figure 3.18) at any stage and compared to  
4874 healthy dogs (Table 3.7).

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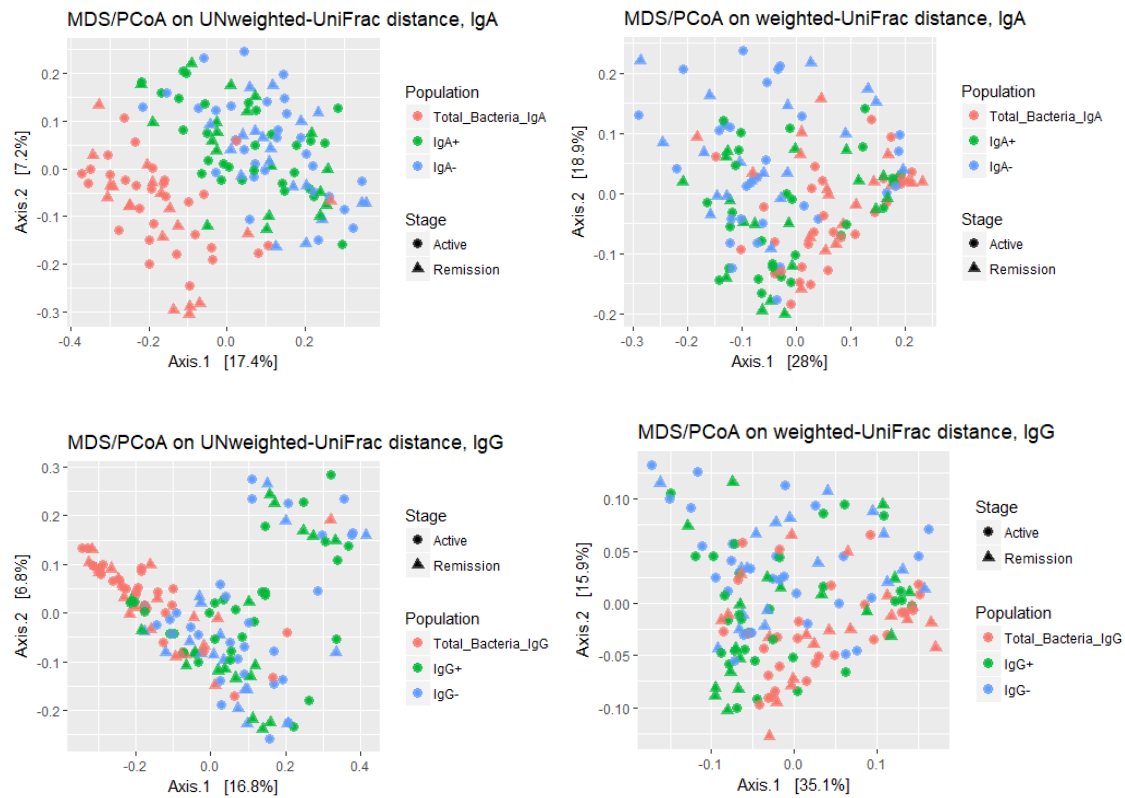


Figure 3.18: Beta diversity analysis in dogs with chronic enteropathy, active versus remission. Unweighted and Weighted UNIFRAC analysis comparing different type of immunoglobulin A (upper panel) and G (lower panel).

4882 Also, when samples were compared according to the type of disease, there was no a clear separation  
4883 between different types or stages of the disease in the immunoglobulin populations. (Figure 3.19)

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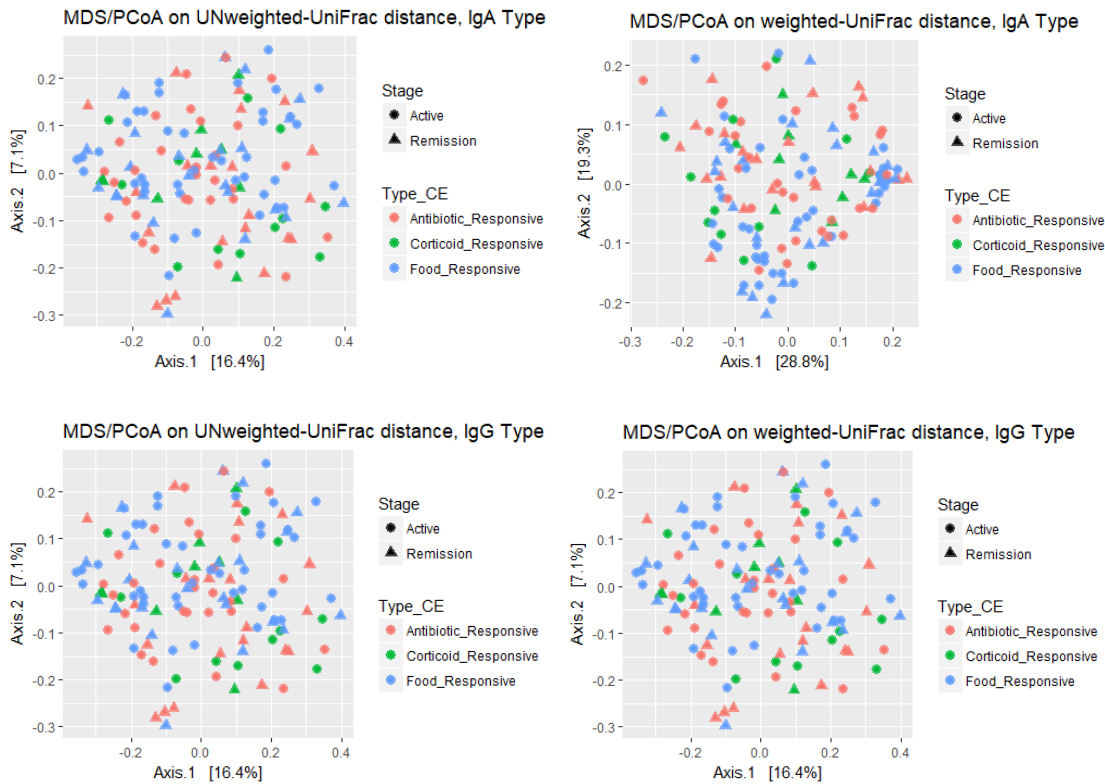


Figure 3.19: Beta diversity analysis in dogs with chronic enteropathy, active versus remission and according to the type of disease. Unweighted and Weighted UNIFRAC analysis comparing different type of immunoglobulin A populations (upper panel) and immunoglobulin G populations (Lower panel).

4896

4897 Table 3.4: Comparison of microbial communities (Beta- diversity) according to population of immunoglobulins in dogs  
 4898 with chronic enteropathy, Active disease.

4899

Group comparison		R2	p-value
Total bacteria IgA vs.	Pre-sorting water	0.26854	0.001
	IgA+	0.08094	0.001
	IgA-	0.12503	0.001
Total bacteria IgG vs.	Pre-sorting water	0.24715	0.001
	IgG+	0.09246	0.001
	IgG-	0.12747	0.001
IgA+ vs.	IgA-	0.05281	0.001
IgG+ vs.	IgG-	0.04964	0.001
IgA+ vs.	IgG+	0.00625	0.999
IgA- vs.	IgG-	0.01567	0.693

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Table 3.5: Comparison of microbial communities (Beta- diversity) according to population of immunoglobulins in dogs with chronic enteropathy, Remission.

Group comparison		R2	p-value
Total bacteria IgA vs.	Pre-sorting water	0.26041	0.001
	IgA+	0.10075	0.001
	IgA-	0.12632	0.001
Total bacteria IgG vs.	Pre-sorting water	0.23886	0.001
	IgG+	0.0932	0.001
	IgG-	0.04702	0.024
IgA+ vs.	IgA-	0.06549	0.001
IgG+ vs.	IgG-	0.04964	0.001
IgA+ vs.	IgG+	0.01327	0.996
IgA- vs.	IgG-	0.02053	0.912

Table 3.6: Comparison of microbial communities (Beta- diversity) according to population of immunoglobulin A in dogs with chronic enteropathy, according to stage CEA: Active disease versus CER: remission; and versus healthy dogs.

Group comparison			R2	p-value
IgA+ Healthy	vs.	IgA+ CEA	0.03482	0.492
		IgA+ CER	0.05132	0.566
IgA- Healthy	vs.	IgA- CEA	0.04507	0.154
		IgA- CER	0.02231	0.369
IgA+ CEA	vs.	IgA+ CER	0.01522	0.881
IgA- CEA	vs.	IgA- CER	0.06534	0.107

4920

4921 Table 3.7: Comparison of microbial communities (Beta- diversity) according to population of  
 4922 immunoglobulin G in dogs with chronic enteropathy, according to stage CEA: Active disease versus CER:  
 4923 remission; and versus healthy dogs.

4924

Group comparison			R2	p-value
IgG+ Healthy	vs.	IgG+ CEA	0.0306	0.526
		IgG+ CER		0.001
IgG- Healthy	vs.	IgG- CEA	0.05434	0.072
		IgG- CER	0.06534	0.105
IgG+ CEA	vs.	IgG+ CER	0.01294	0.959
IgG- CEA	vs.	IgG- CER	0.0189	0.71

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### 3.3.3.1.3 Microbial differential abundance testing

Positive populations of immunoglobulins were compared between different stages of the disease and between healthy and sick dogs. When IgA+ population was compared between dogs with active disease and dogs in remission, only one family group was significantly enriched during active disease: Alcaligenaceae. None of the bacterial groups were enriched in the IgG+ population (Figure 3.20A).

OTUs	base Mean	log2Fold Change	lfcSE	stat	P value	P adj	Phylum	Class	Order	Family	Genus	Species
Otu 20	23.512	10.00	2.143	4.666	3.06e-06	0.0008	Proteobacteria	Betaproteobacteria	Burkholderiales	Alcaligenaceae	Sutterella	NA

Analysis of the different immunoglobulin populations between dogs with the disease and healthy dogs, showed that two families were enriched in sick dogs in the IgA+ population: Clostridiaceae and Lachnospiraceae, whereas the IgG+ population did not show any enrichment (Figure 3.20B).

OTUs	base Mean	log2Fold Change	lfcSE	stat	P value	P adj	Phylum	Class	Order	Family	Genus	Species
Otu 11	69.822	6.940	1.501	4.622	3.79e-06	0.001	Firmicutes	Clostridia	Clostridiales	Clostridiaceae	Clostridium	NA
Otu 128	14.659	7.668	1.841	4.163	3.128e-05	0.005	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	Blautia	producta

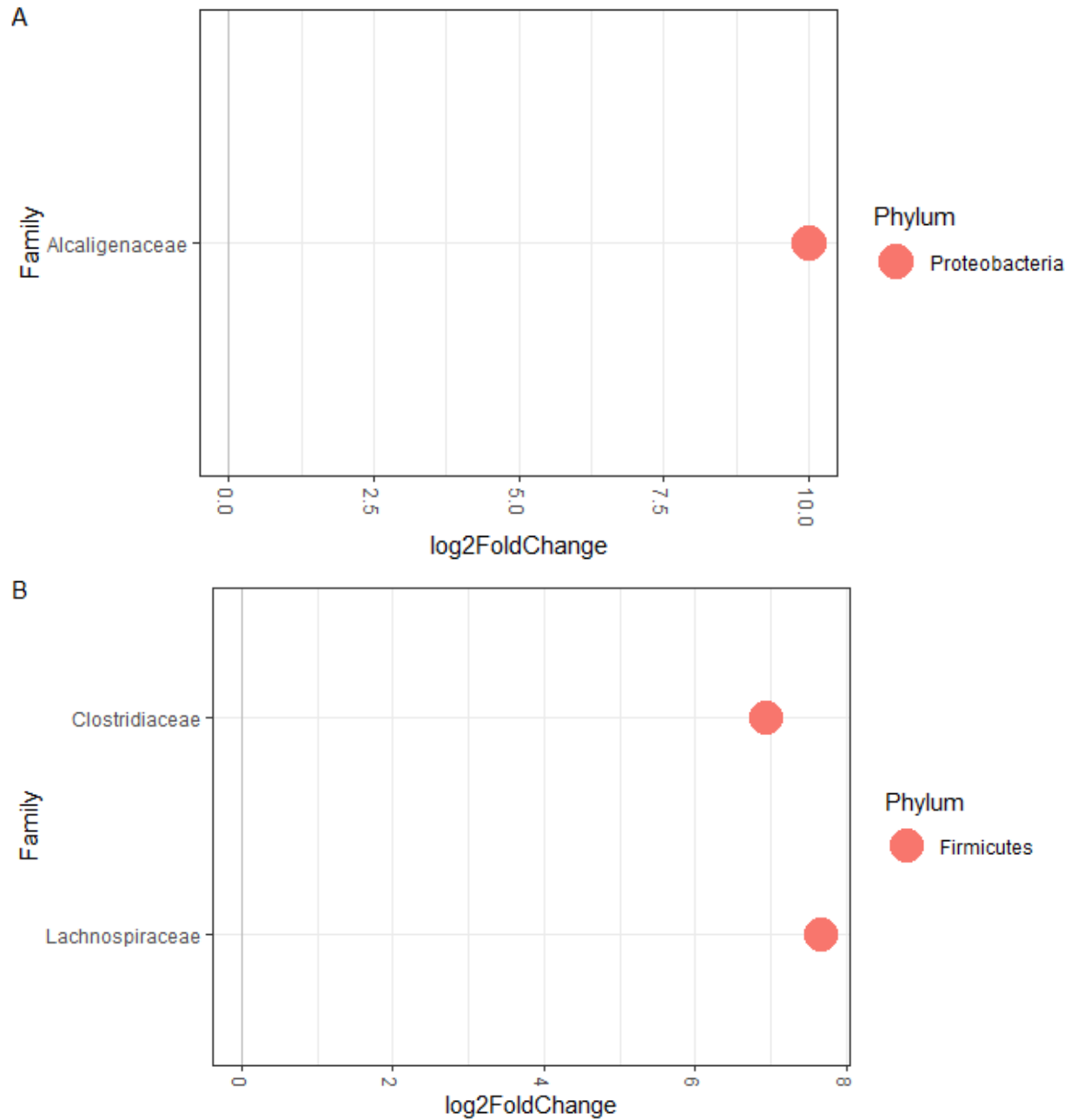
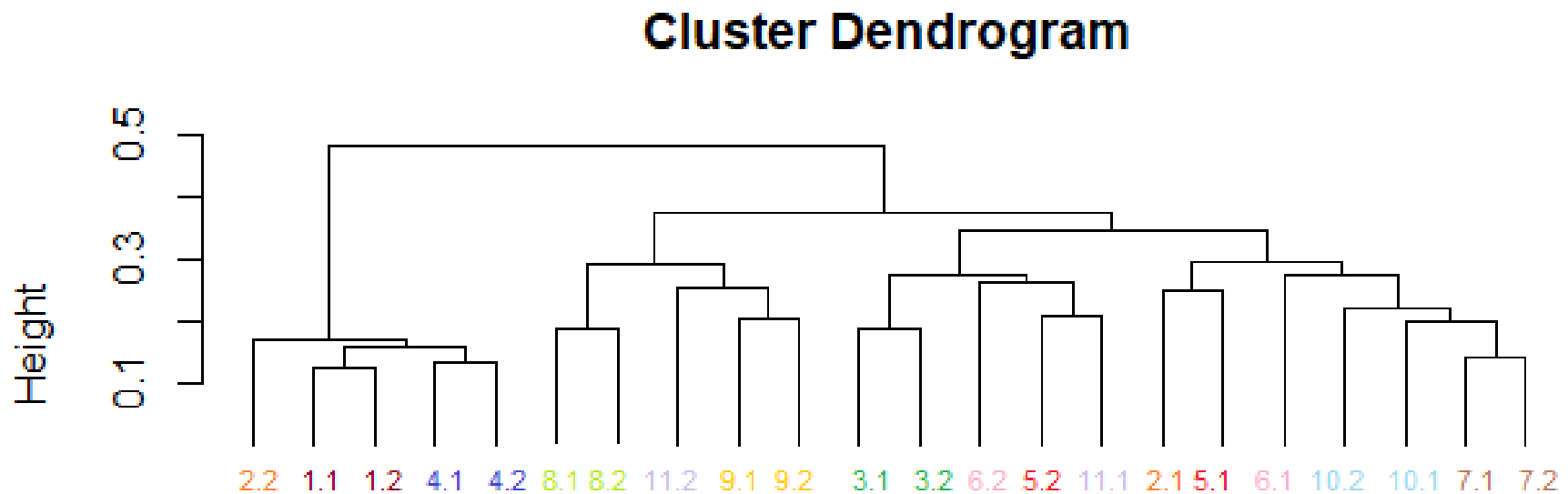


Figure 3.20 Microbial differential Abundance Testing in the Immunoglobulin A positive population. A: Active disease versus Remission. B Dogs with Chronic Enteropathy versus healthy dogs. DEseq2 method was used.

#### 3.3.3.3.1.4 Hierarchical Cluster Analysis

Hierarchical cluster analysis was performed in order to compare IgA positive population in healthy and sick dogs, using UPGMA (unweighted pair group method with arithmetic mean) clustering and the weighted UniFrac distance. In healthy dogs, UniFrac distances between samples outlines strong structural intraindividual sample proximity, suggesting overall temporal stability of individual gut IgA microbiota profiles (Figure 3.21); whereas in sick dogs intraindividual samples were disperse, and in some dogs, only some samples were at close proximity (Figure 3.22).

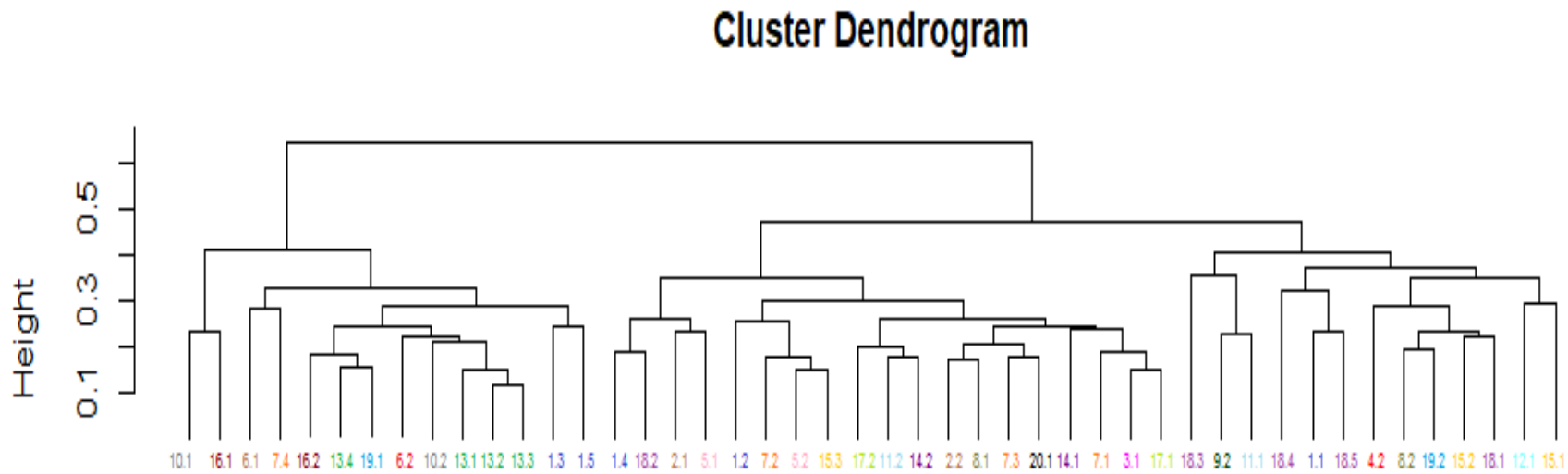
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Figure 3.21 Hierarchical clustering of the microbiota profiles of Immunoglobulin A populations in healthy dogs on weighted Unifrac distances. Colors represent different dogs. The number corresponds to the number of the dog and visit (1.1 corresponds to dog1, visit1). Height reflects the distance between the samples (height of the common node). The closer the distance between the two samples, the more similar is their bacterial community. The plot highlights the fact that samples tended to cluster by dog.

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4967  
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4969 Figure 3.22 Hierarchical clustering of the microbiota profiles of Immunoglobulin A populations in dogs with Chronic Enteropathy. Colors represent different dogs.  
4970 The number corresponds to the number of the dog and visit (1.1 corresponds to dog1, visit1). Last visit corresponds to the remission period, except for dog 1,  
4971 where the last two visits corresponds to this period (The remaining samples correspond to active disease). Height reflects the distance between the samples  
4972 (height of the common node.



4973 3.3.3.2 Intestinal Samples

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4975 3.3.3.2.1 Sequencing summary

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4978 The total number of sequences during active disease per type of sample ranged from 11 to 75123 and from  
4979 301 to 73199 during the remission period. A summary per group can be seen in Figure 3.23.

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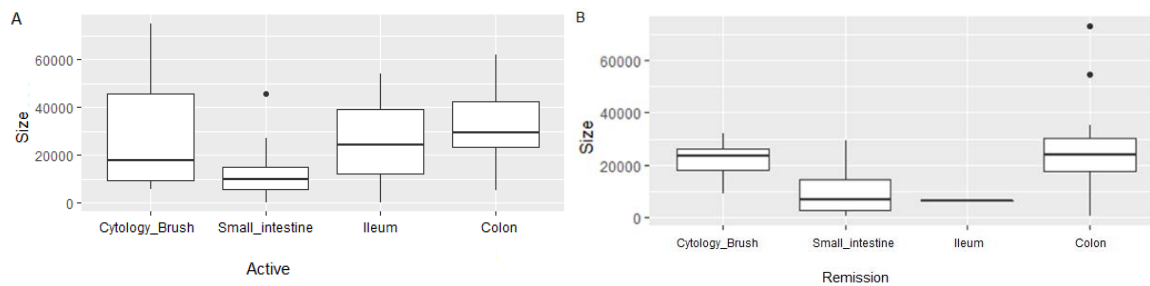


Figure 3.23: Total number of sequences in dogs with chronic enteropathy. A: Active disease and B: Remission period. Different segments of the intestine were sampled. Cytology brush was collected from the duodenum. Small intestine (duodenum). Active N= 23 dogs. Cytology\_Brush n=7; Small\_intestine n=19; Ileum n=3 and Colon n=14. Remission N= 20 dogs. Cytology\_Brush n=7; Small\_intestine n=16; Ileum n=1 and Colon n=19.

### 3.3.3.2.2 Analysis of the relative abundance at different phylogenetic levels

#### - Small Intestine

Samples of small intestine were characterised by a predominance of Proteobacteria at phylum level, with 55% of the population belonging to this group. This was followed by Firmicutes (19%), Bacteroidetes (16%), Fusobacteria (4%) and Actinobacteria (3%). Other groups that were present comprised GN02, Tenericutes, SR1, Spirochaetes, TM7, Chloroflexi, Acidobacteria and Cyanobacteria (Figure 3.24).

At genus level, only 46-48% of the bacteria could be assigned to a specific group. The most common genera during active disease included *Porphyromonas* (4%), *Fusobacterium* (4%), *[Ruminococcus]* (4%), *Blautia* (3%), *Bacteroides* (3%), *Moraxella* (3%), *[Prevotella]* (3%), *Pasteurella* (2%), *Streptococcus* (2%), *Prevotella* (2%), *Ochrobactrum* (2%) and *Helicobacter* (2%).

During the remission period, the most common genera included *Helicobacter* (8%), *Clostridium* (4%), *Bacteroides* (4%), *Proteus* (4%), *Fusobacterium* (3%), *Porphyromonas* (3%), *Moraxella* (2,5%), *Pseudomonas* (2%), *Streptococcus* (2%), *Pausterella* (2%) and *Prevotella* (2%) (Figure 3.24).

#### - Cytology Brush

As was expected, samples of cytology brush showed a similar profile to that found in the small intestine. Proteobacteria predominated at phylum level, with 62% of the population belonging to this group. This was followed by Firmicutes (18%), Bacteroidetes (10%), Actinobacteria (3%) and Fusobacteria (2%). During remission, Proteobacteria also predominated, followed by Bacteroidetes (18%), Firmicutes (9%), Fusobacteria (0,8%) and Tenericutes (0,8%). Other groups that were present comprised GN02, Tenericutes, SR1, Spirochaetes, TM7, Synergistetes, Chloroflexi, Chlorobi, [Thermi] and Cyanobacteria (Figure 3.24).

At genus level, 60% of the bacteria could be assigned to a group during active disease. The most common genera included *Helicobacter* (18%), *Moraxella* (11%), *Clostridium* (6%), *Porphyromonas* (5%), *Actinomyces*

5029 (4%), *Streptococcus* (2%), *Gemella* (2%), *Enterococcus* (2%), *Trichococcus* (2%) and *Fusobacterium*  
 5030 (1,2%) (Figure 3.18).

5031  
 5032 At genus level, 48% of the bacteria could be assigned to a group during the remission period. The most  
 5033 common genera included *Helicobacter* (20%), *Porphyromonas* (9%), *Moraxella* (5%), *Clostridium* (3%),  
 5034 *[Prevotella]* (2%), *Pasteurella* (1%), *Sphingomonas* (1%), *Prevotella* (0,8%), *Mycoplasma* (0,5%),  
 5035 *Bacteroides* (0,7%) and *Fusobacterium* (0,6%) (Figure 3.24).

5036  
 5037 - Ileum

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 5039 Samples of ileum were also characterised by a predominance of Proteobacteria at phylum level, with 57%  
 5040 of the population belonging to this group. This was followed by Fusobacteria (26%), Bacteroidetes (22%),  
 5041 Firmicutes (5,6%) and Actinobacteria (0,05%) (Figure 3.25).

5042  
 5043 Interestingly, although only one sample was available for analysis during the remission period, this sample  
 5044 was characterised by a predominance of Firmicutes at phylum level, with 44% of the population belonging  
 5045 to this group. This was followed by Fusobacteria (30%), Bacteroidetes (7%), Proteobacteria (5,6%) and  
 5046 Tenericutes (0,1%) (Figure 3.24).

5047  
 5048 At genus level, 56% of the bacteria could be assigned to a group during active disease. The most common  
 5049 genera included *Fusobacterium* (26%), *[Prevotella]* (14%), *Bacteroides* (7%), *Proteus* (3%), *Sutterella* (2%),  
 5050 *Clostridium* (1%), *Blautia* (1%), *Dorea* (0,7%), *[Ruminococcus]* (0,55%), *Phascolarctobacterium* (0,3%),  
 5051 *Pseudomonas* (0,25%) and *Prevotella* (0,2%) (Figure 3.24)

5052  
 5053 The remission period was characterised by the predominance of *Fusobacterium* (30%) followed by *Dorea*  
 5054 (11%), *Blautia* (9%), *Streptococcus* (4%), *Clostridium* (3%), *[Ruminococcus]* (3%), *Sutterella* (3%),  
 5055 *Actinomyces* (2%) and *Gemella* (1,2%) (Figure 3.24). 70% of the bacteria could be assigned to a group.

5056  
 5057 - Colon

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Samples of colon were characterised by a predominance of Bacteroidetes at phylum level, with 41-43% of the population belonging to this group. This was followed by Firmicutes (20-25%), Proteobacteria (20%), Fusobacteria (12-14%) and Actinobacteria (0,005-1,5%) (Figure 3.24).

At genus level, both stages shared similar profiles, 73% of the bacteria could be assigned to a group. The most common genera included *Bacteroides* (16-19%), *Fusobacterium* (13-14%), *[Prevotella]* (13-18%), *Sutterella* (4-6%), *Megamonas* (3-4%), *[Ruminococcus]* (3-5%), *Prevotella* (3%), *Dorea* (2-3%), *Clostridium* (2%), *P Parabacteroides* (2%), *Proteus* (2%) *Blautia* (1%) and *Corynebacterium* (1%) (Figure 3.24).

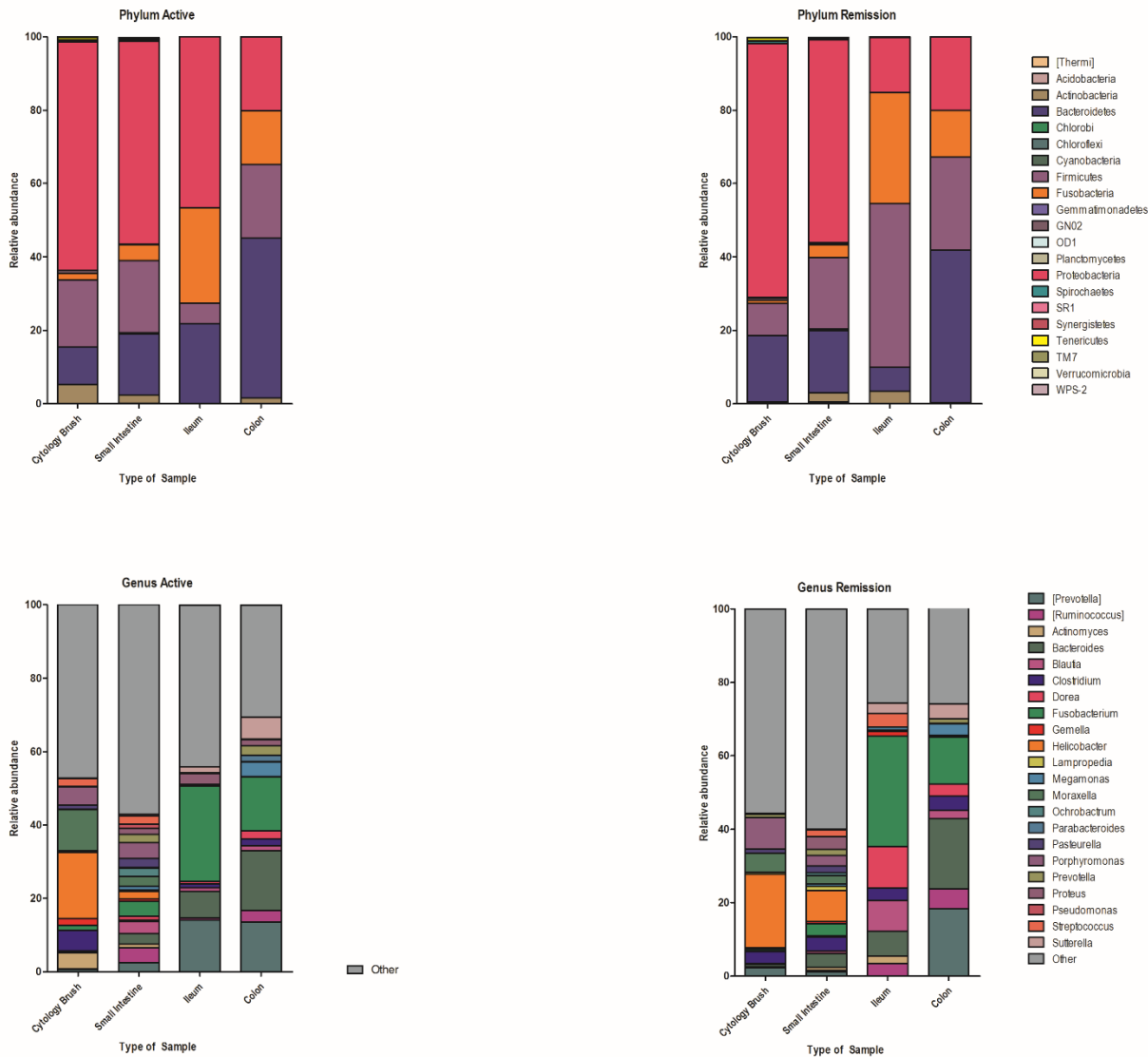


Figure 3.24: Relative abundance of the major phylogenetic levels in dogs with chronic enteropathy, active disease (right panel), Remission (left panel). Small\_intestine (duodenum). Active N = 23 dogs. Cytology\_Brush n =7; Small\_intestine\_biopsy n=17; Ileum n=2; Colon\_biopsy n=14. Remission N= 20 dogs. Cytology\_Brush n =7; Small\_intestine\_biopsy n=15; Ileum n=1; Colon\_biopsy n=18.

#### 3.3.3.2.3 Diversity Analysis

- Alpha diversity

When alpha diversity analysis was performed, contrary to expectations, the highest diversity was found in the cytology brush and small intestine, and the lowest found in the colon. When cytology brush and small intestine biopsy were compared, there was not a significant difference in alpha diversity. (Figure 3.25). Likewise, there was not a significant difference when the different stages were compared (Figure 3.26)

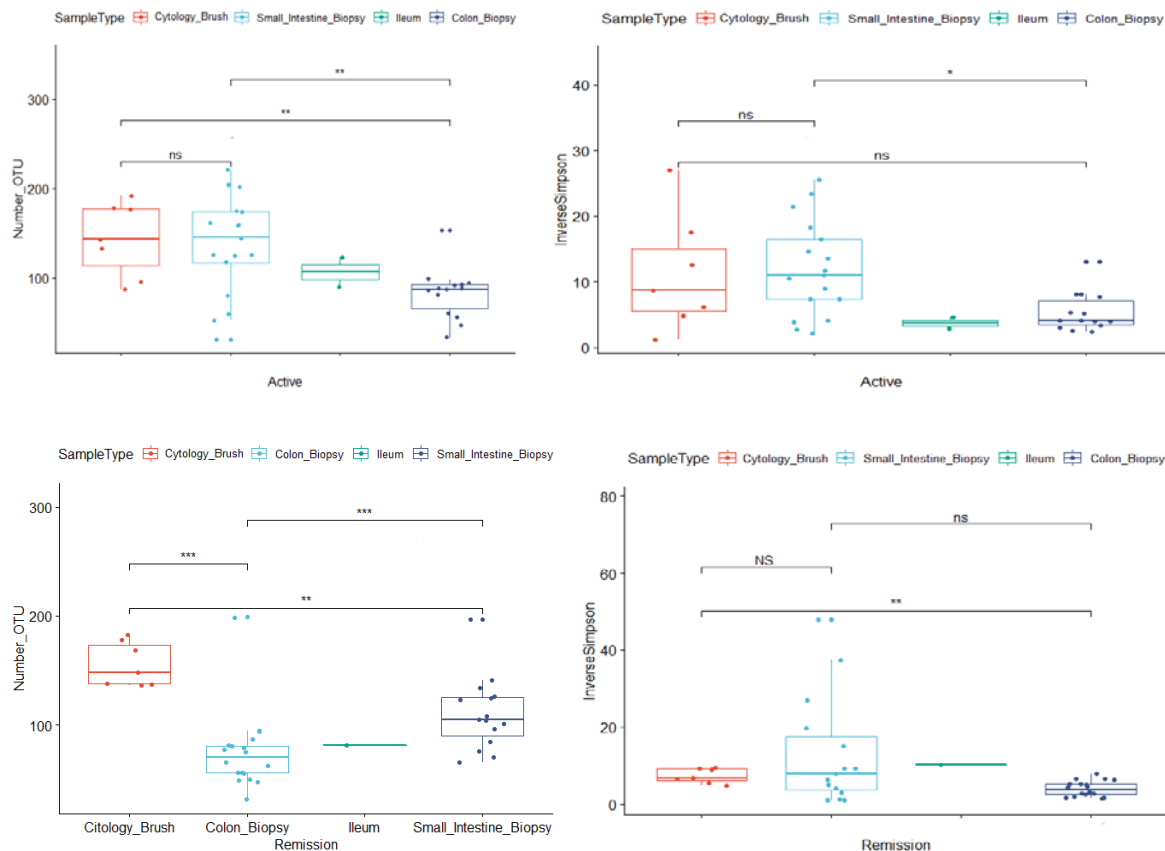


Figure 3.25: Alpha diversity analysis in dogs with chronic enteropathy in cytology brush and mucosal samples at different stages. Upper panel: Active disease, Lower Panel: Remission. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index, Active N = 23 dogs. Cytology\_Brush n =7; Small\_intestine\_biopsy n=17; Ileum n=2; Colon\_biopsy n=14. Remission N= 20 dogs. Cytology\_Brush n =7; Small\_intestine\_biopsy n=15; Ileum n=1; Colon\_biopsy n=18.



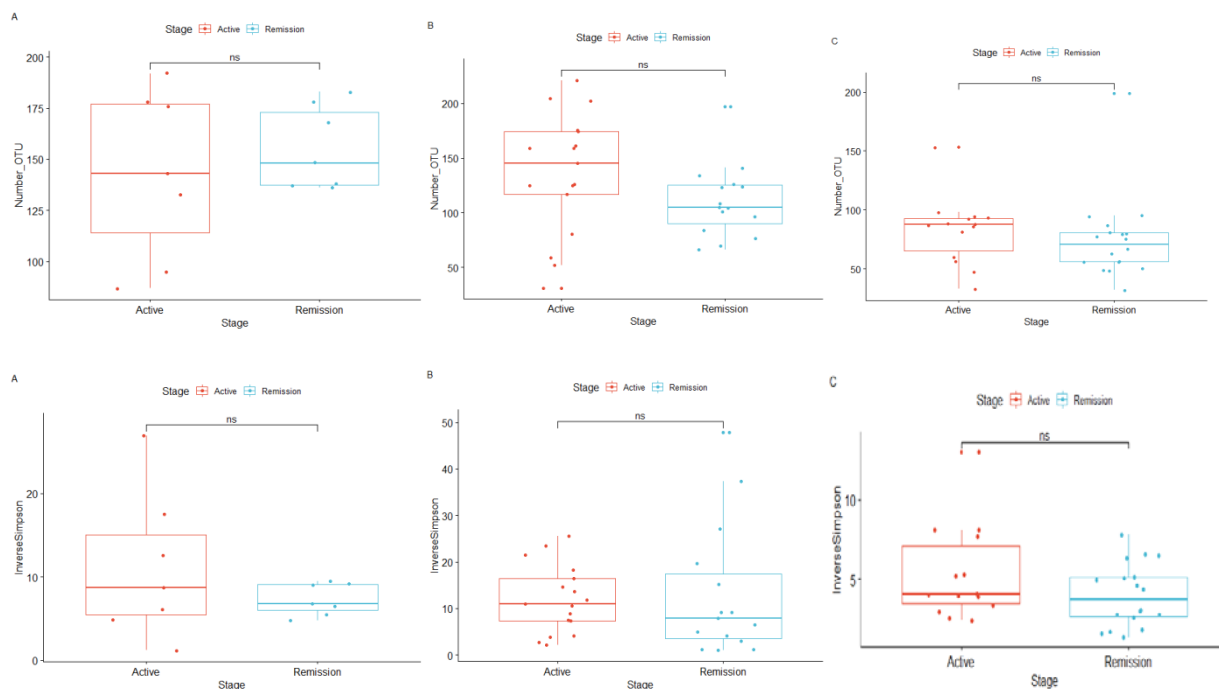


Figure 3.26: Alpha diversity analysis in dogs with chronic enteropathy in cytology brush and mucosal samples at different stages and per type of sample. Active disease versus remission. Upper panel: Number of OTUs (Observed), Lower Panel: Inversed Simpson's Index. A: Cytology Brush (Active n=7, Remission n=7); B: Small intestine biopsy (Active n=17, Remission n=15); C: Colon biopsy (Active n=14, Remission n=18).

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- Beta diversity

When samples were plotted using Unifrac analysis, and compared according to the stage of the disease and type of sample, samples of cytology brush and small intestine tended to cluster together, while the ileum and colon clustered together separately from the small intestine. We did not find any difference between active or remission stages (Table 3.8, 3.9 and 3.10) (Figure 3.27). As expected, faecal samples clustered together with ileum and colon biopsies and there was not a difference during disease or remission (Figure 3.27).

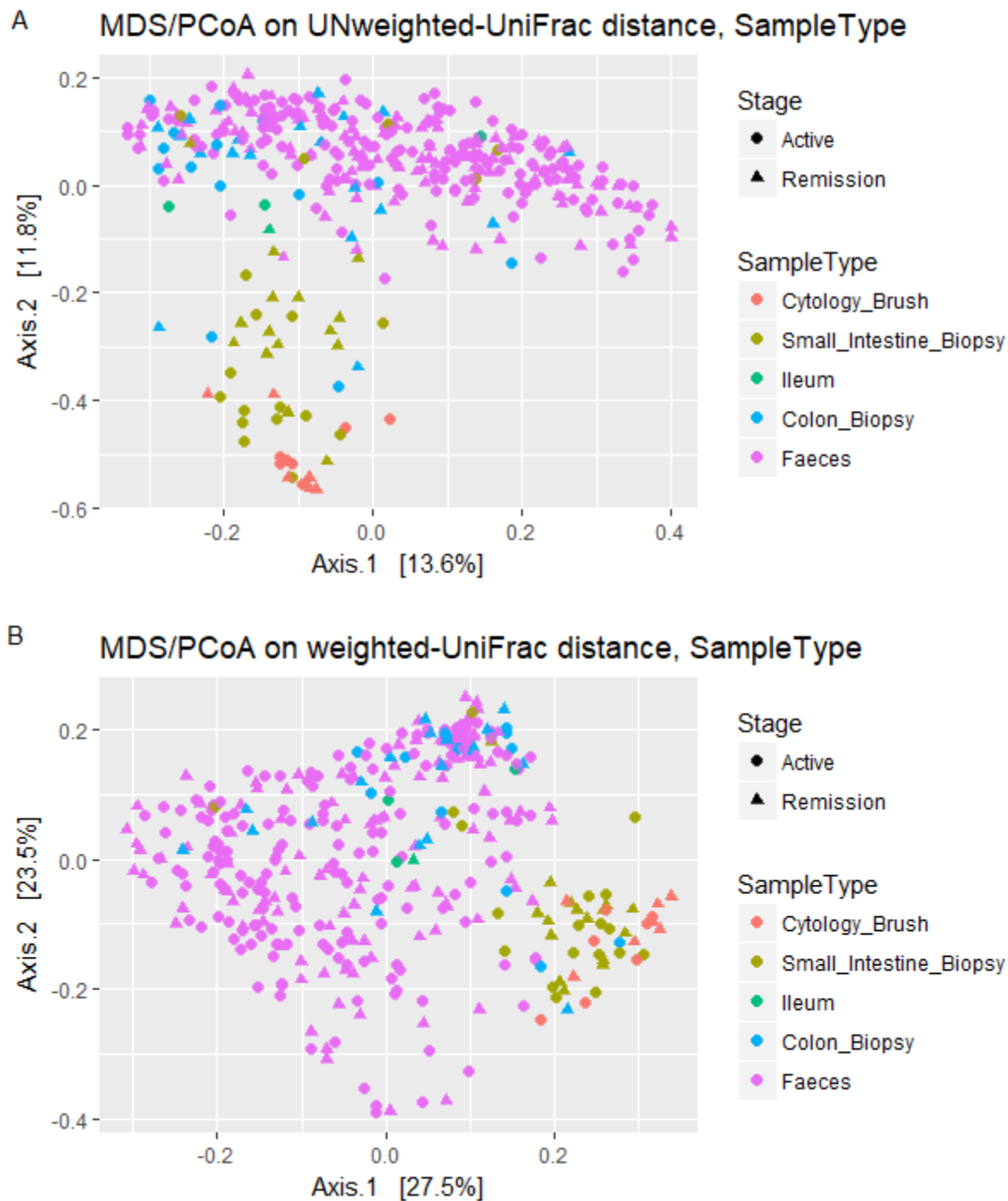


Figure 3.27: Beta diversity analysis in dogs with chronic enteropathy, according to disease stage (Active disease versus Remission) and type of sample. A: Unweighted UniFrac and B: Weighted UniFrac analysis. Active N= 23 dogs. Cytology\_Brush n=7; Small\_intestine n=19; Ileum n=3 and Colon n=14. Remission N= 20 dogs. Cytology\_Brush n=7; Small\_intestine n=16; Ileum n=1 and Colon n=19.

Table 3.8: Comparison of microbial communities (Beta- diversity) according to sample type and intestinal segment in dogs with chronic enteropathy, active disease. CEA: Active disease

Group comparison		R2	p-value
Cytology Brush CEA vs.	Small Intestine CEA	0.12073	0.004
	Ileum CEA	0.54141	0.008
	Colon CEA	0.41362	0.001
Small Intestine CEA vs.	Ileum CEA	0.09022	0.035
	Colon CEA	0.14386	0.001
Ileum CEA	vs. Colon CEA	0.06316	0.357

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5134 Table 3.9: Comparison of microbial communities (Beta- diversity) according to sample type and intestinal segment in  
 5135 dogs with chronic enteropathy, active disease. CER: Remission.

Group comparison		R2	p-value
Cytology Brush CER vs.	Small Intestine CER	0.17389	0.001
	Colon CER	0.37833	0.001
Small Intestine CER vs.	Ileum CER	0.08095	0.161
	Colon CER	0.19926	0.001

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5142 Table 3.10: Comparison of microbial communities (Beta- diversity) according to sample type and intestinal segment

5143 in dogs with chronic enteropathy according to stage CEA: Active disease versus CER: remission.

5144

Group comparison			R2	p-value
Cytology Brush CEA	Cytology Brush CER		0.07589	0.456
vs.				
Small Intestine CEA	Small Intestine CER		0.03202	0.278
vs.				
Colon CEA	vs.	Colon CER	0.02841	0.535

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5146 3.3.3.3.2.4 Microbial differential abundance testing

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5149 Populations of bacteria in the different segments (cytology brush of small intestine, small intestine and colon) were compared between different  
5150 stages of the disease. We only found bacterial groups differentially enriched in cytology brushes. Four family groups were significantly enriched  
5151 during active disease: Actinomycetaceae, Lachnospiraceae, Enterococcaceae and Carnobacteriaceae. (Figure 3.29).  
5152

OTUs	base Mean	log2Fold Change	lfcSE	stat	P value	P adj	Phylum	Class	Order	Family	Genus	Species
Otu130	217.1	4.909	1.144	4.289	3.71e-05	0.001	Actinobacteria	Actinobacteria	Actinomycetales	Actinomycetaceae	Actinomyces	NA
Otu445	17.887	7.238	1.712	4.226	2.37e-05	0.001	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	NA	NA
Otu31	121.48	3.986	1.007	3.958	7.51e-05	0.003	Firmicutes	Bacilli	Lactobacillales	Enterococcaceae	Enterococcus	NA
Otu517	105.08	4.620	1.246	3.707	0.0002	0.007	Firmicutes	Bacilli	Lactobacillales	Carnobacteriaceae	Trichococcus	NA

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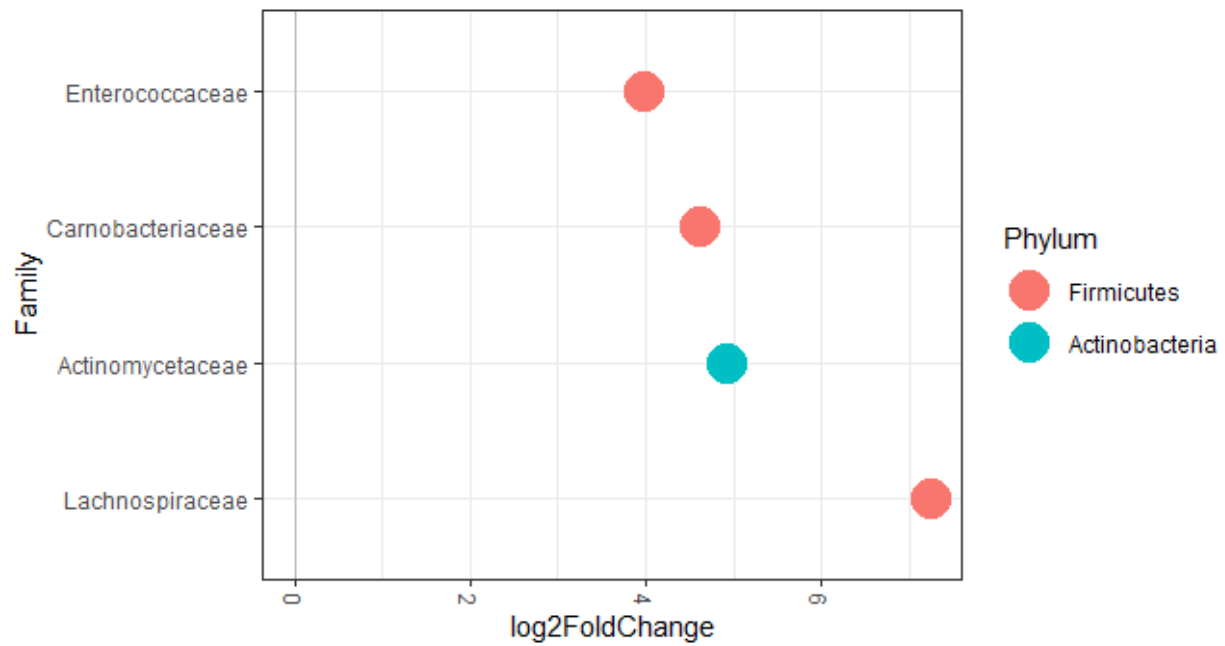


Figure 3.29 Microbial differential Abundance Testing in the cytology brushes. Active disease versus Remission. DEseq2 method was used.



5161 Interestingly, when dogs were evaluated individually for immunoglobulin coating, there was a clear  
5162 distinction between active and remission periods with beta-diversity analysis, especially for IgA. Thus, it  
5163 seems that bacteria that are altered during disease are highly variable among individuals (Figure 3.29).

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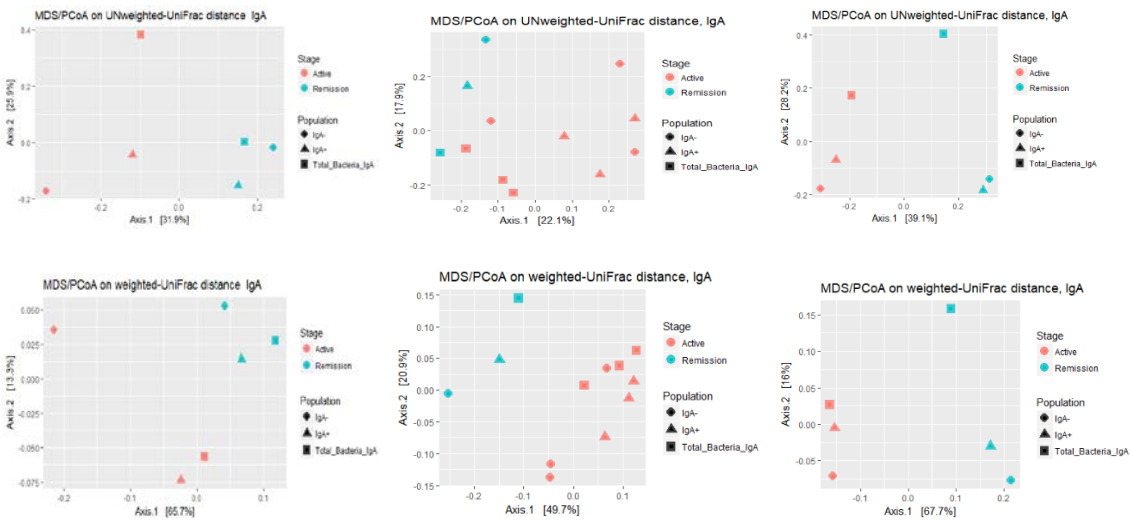


Figure 3.29: Beta diversity analysis of immunoglobulin A according to disease stage: active versus remission and type of sample. Unweighted (upper line) and Weighted UNIFRAC analysis (lower line). Three representative patients (CE dog 6, CE dog 7 and CE dog 19).

### 3.3.4 Enrichment of immunoglobulin A and G in Chronic Enteropathies

Enrichment of bacteria with immunoglobulin A and G was assessed in every patient. This enrichment was calculated by dividing the fractional abundance of the bacteria at family level in the positive population between the fractional abundance in the negative population and then log transforming the value. Zero values means that the group was found in equal proportions in the positive and negative population; negative values indicate enrichments towards the negative population and positive values indicate enrichments towards the positive population. We focused our studies in the positive population as they have been reported to be the members with the strongest immune stimulatory properties. Additionally, we found a clear separation in the IgA+ population when samples were plotted using UNIFRAC analysis.

The phylogenetic family level was chosen, as many bacteria could not be classified at lower phylogenetic levels.

Every patient had its own profile, and we could not find a family that was consistently enriched in all patients. The most common families enriched in the IgA-positive population during active disease comprised Erysipelotrichaceae (60% of the patients) Lachnospiraceae (80%) and Clostridiaceae (50%).

Some of the bacteria remained enriched but at lower levels during the remission period, others were enriched towards the negative population and others were not enriched during the remission period (Figure 3.30 A and Figure 3.31 A). Interestingly, When the IgG enrichment profile was assessed, different group of families were enriched compared to the IgA profile in many cases (Figure 3.30 B and 3.31 B).

When we evaluated patients at different time points during active disease, families enriched differed in every visit. Although, it was possible to distinguish a group of families, that were enriched only during active disease (Figure 3.32 and 3.33).

Thus, the bacterial coating profile is characterised by (1) being highly unstable during disease, so different members can stimulate the immune system over the course of the disease and by (2) being highly personalised.

Figure 3.30 A Relative enrichment of taxa in the IgA+ fraction. A. Average relative abundance of indicated taxa during active disease versus remission (Upper panel). B. Relative IgA enrichment, active (red) versus Remission (green) (Lower panel). Representative patient (CE dog 2).

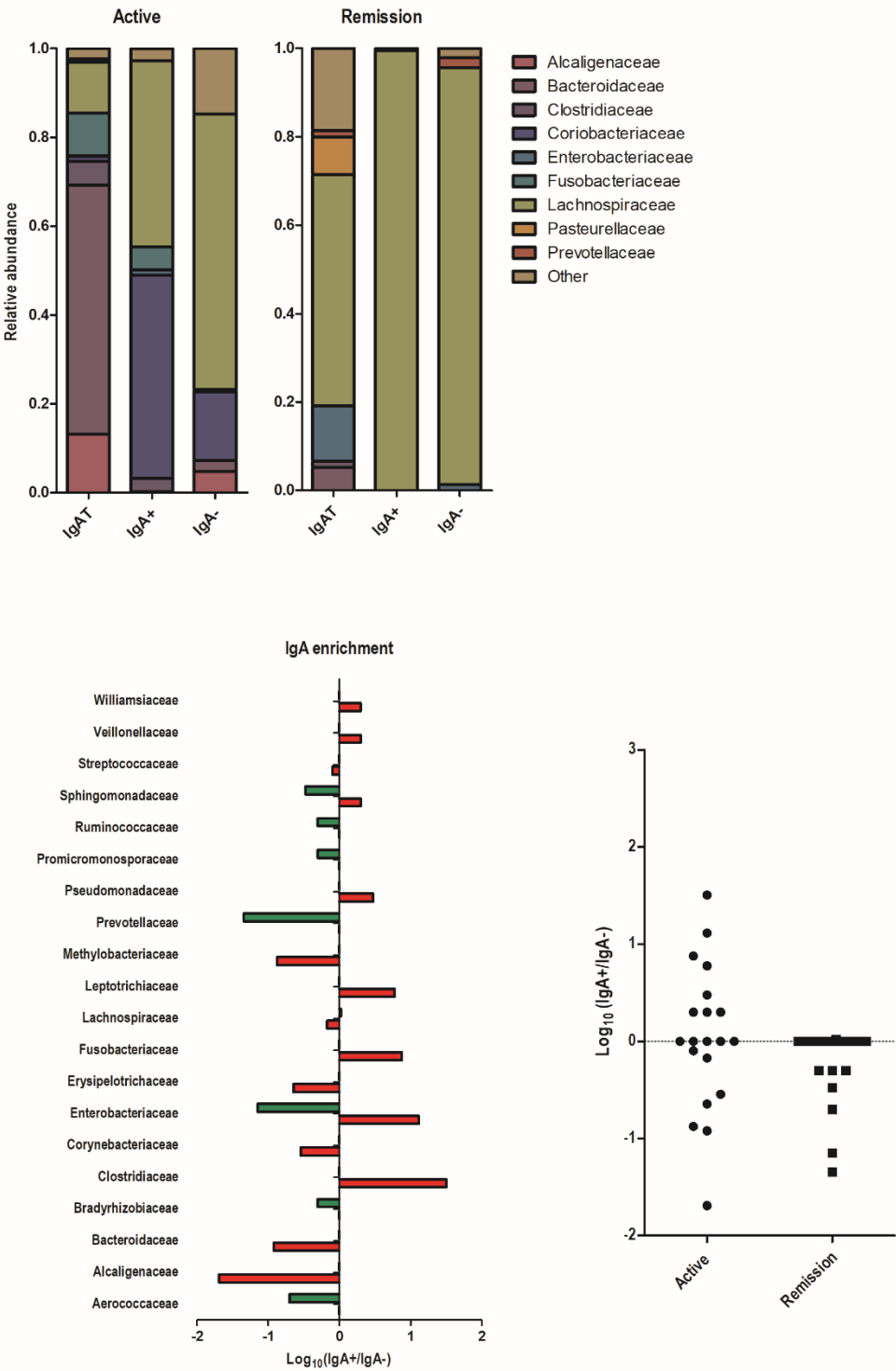
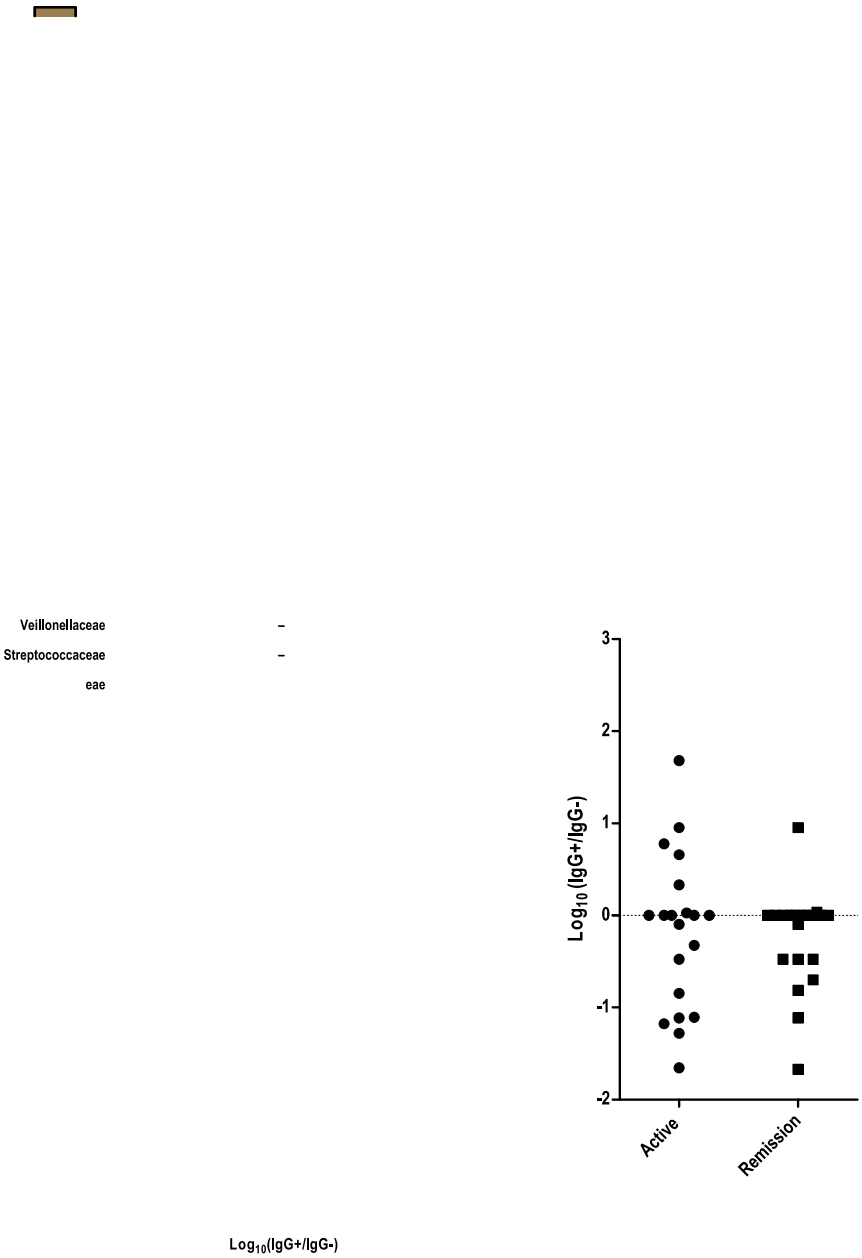
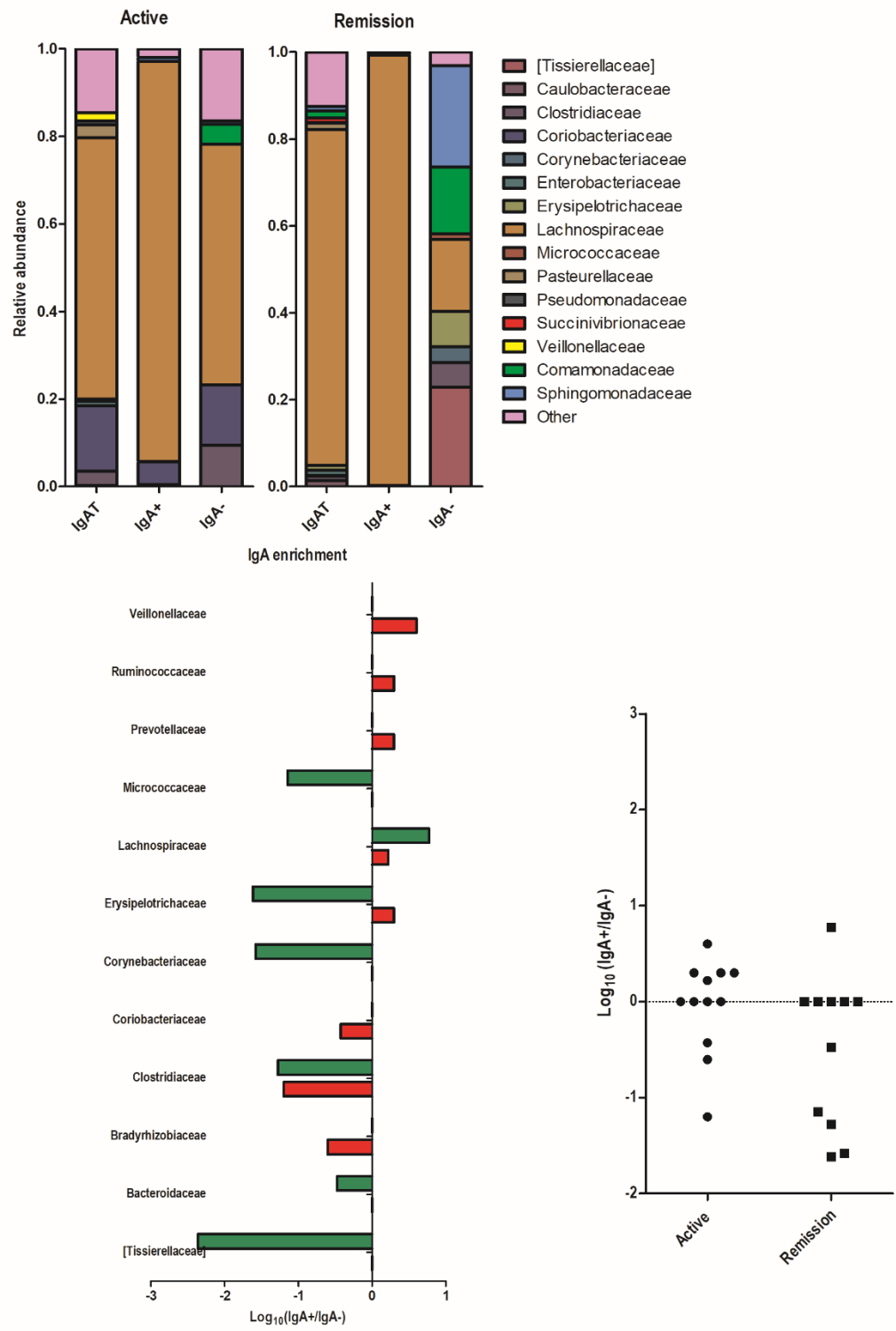
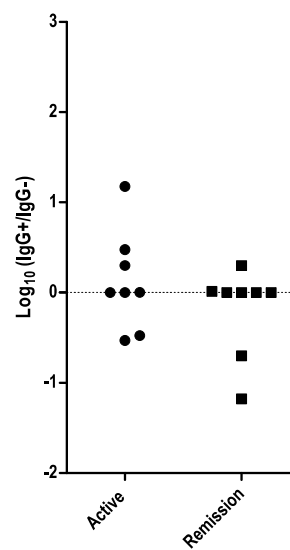


Figure 3.30 B: Relative enrichment of taxa in the IgG+ fraction. A. Average relative abundance of indicated taxa during active disease versus remission (Upper panel). B. Relative IgA enrichment, active (red) versus Remission (green) (Lower panel). Representative patient (CE dog 2).




5219 Figure 3.31 A: Relative enrichment of taxa in the IgA+ fraction. A. Average relative abundance of indicated taxa  
5220 during active disease versus remission (Upper panel). B. Relative IgA enrichment, active (red) versus Remission  
5221 (green) (Lower panel). Representative patient (CE dog 17).





5231 Figure 3.32 A. Average relative abundance of indicated taxa over time. Visit 1-4: Active disease, Remission, visit 5.  
5232 Representative patient (CE dog 7). Immunoglobulin A.

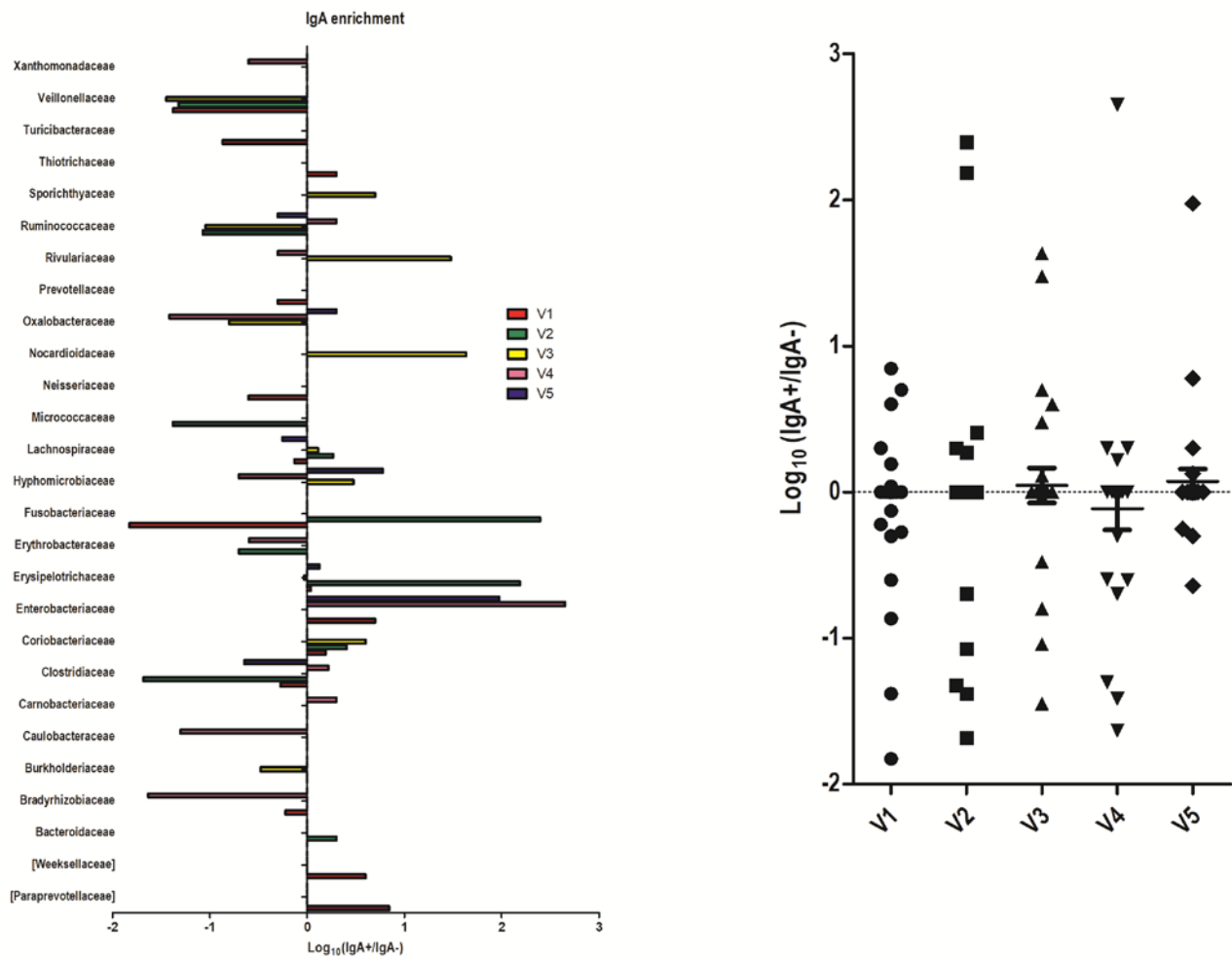


 ospiraceae

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Figure 3.32 B Relative enrichment of taxa in the IgA<sup>+</sup> fraction over time. Visit 1-4: Active disease, visit 5. Representative patient (CE dog 7). Immunoglobulin A



5250 Figure 3.33 A. Average relative abundance of indicated taxa over time. Visit 1-4: Active disease, visit 5 Remission.  
5251 Representative patient (CE dog 7). Immunoglobulin G

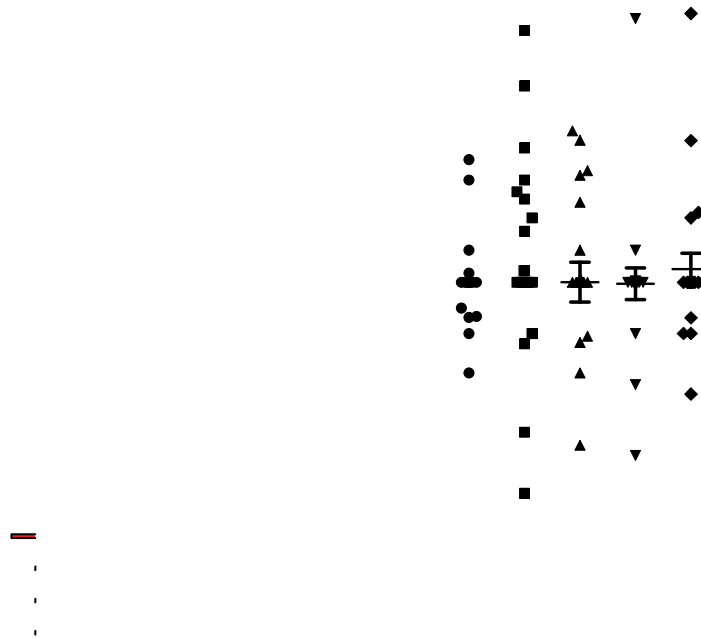
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Figure 3.33 B Relative enrichment of taxa in the IgG<sup>+</sup> fraction over time. Visit 1-4: Active disease, visit 5 Remission.  
Representative patient (CE dog 7). Immunoglobulin G



### 3.4. Discussion

Immunoglobulins constitute a crucial arm of the immune system that provide protection against pathogens and help maintain a homeostatic state in the intestine. IgA is the most abundant isotype secreted in the intestine. However, IgG and IgM are also important, especially during periods of disease or in animals with IgA-deficiency.

Here, we analysed the percentage of faecal bacteria coated with IgA and IgG in healthy dogs and dogs with CE during active disease (at the time of diagnosis) and shortly after clinical remission. As has been seen in people, only a small percentage of bacteria were coated with IgA or IgG in healthy dogs. However, when dogs with the disease were evaluated, it was found that the percentage of coated bacteria did not change in active disease compared to healthy dogs and in fact decreased and was significant lower during remission.

Interestingly, the pattern between IgA and IgG was similar, in both healthy and sick dogs. This is unexpected, as the main isotype in the gastrointestinal tract is IgA. Whereas, IgG is not actively transported into mucosal secretions, except during disease. Previous studies in dogs, assessing the amount of IgA, IgM and IgG in faecal samples of healthy dogs; found that the faecal concentrations of IgA, IgM and IgG were significantly correlated with each other. It is considered that this could be a reflection of the contribution of bile to the faecal immunoglobulin measurements as the concentrations of IgA and IgG have previously been found to be similar in canine bile (German *et al.*, 1998).

People with coeliac disease exhibit a similar profile to what we encountered, with proportions of IgA, IgM and IgG-coated bacteria significantly lower in affected individuals compared to healthy controls in both untreated and treated patients (De Palma *et al.*, 2010). This suggests that either low or high levels of Ig-coated bacteria can be indicative or predispose to disease.

In general, it is expected that during inflammation, levels of immunoglobulins increase in an attempt to maintain intestinal homeostasis. Conversely, the increase could be an indication of a break in tolerance with induction or exacerbation of inflammation secondarily, as has been suggested in people with IBD.

On the other hand, impaired production of IgA has been reported in patients with IBD and it is considered to be a predisposing factor, by promoting the growth of bacteria with inflammatory properties that can invade the mucosa or stimulate the immune system causing inflammation. In people and in dogs, studies has been discordant and have reported increase and deficiency of immunoglobulin during intestinal inflammation.

Several studies have identified deficiency for IgA in several breeds, and other studies have also found that dogs with chronic enteropathy have lower levels of intestinal IgA (German, Hall, *et al.*, 2000) (Maeda *et al.*, 2013). Our results suggest that dogs with chronic enteropathy may be deficient in immunoglobulin secretion. During disease, dogs with CE respond against mucosal bacteria and reach Ig levels that are similar to those seen in healthy dogs. When the disease is controlled, levels of immunoglobulins return to normal levels, but still remain lower when compared to healthy dogs.

However, that reduction may not be persistent or may not be the cause of the initial disease onset. In one of our dogs we collected a sample five months after resolution of clinical signs and we found that at that time point, the dog exhibited a similar profile to that seen in healthy dogs. This could be an indication of subclinical disease, but the dog has remained clinical healthy for a further two years.

In people with long-term remission, the percentages of immunoglobulin-coated bacteria return to control values. Thus, initial clinical remission of IBD patients occurs before coating of bacteria returns to normal; which could be an indication of a stage of sub clinically active IBD (van der Waaij *et al.*, 2004). This could also happen in dogs but we need to analyse more long-term remission samples. If this is true, dogs with CE could have impairment in the immunoglobulin response rather than a deficiency in immunoglobulins. In dogs, it has been reported that the gut microbiota and serum metabolome undergo only minor normalization after 3 weeks (Minamoto *et al.*, 2015) or after 8 weeks of therapy (Rossi *et al.*, 2014), in dogs showing improvement of the clinical signs.

It would be interesting to include immunoglobulin M (IgM) for further analyses. Studies have shown that IgM can partially compensate for the lack of IgA. In people, it was recently found that bacteria recognized by SIgM (secretory IgM) were dually coated by SIgA and showed increased richness and diversity compared to IgA-only-coated or uncoated bacteria. Thus, SIgM can help IgA to anchor highly diverse commensal communities to mucus, suggesting that IgM may compensate IgA deficiency (Magri *et al.*, 2017).

Next, we performed 16S rRNA sequencing of Ig-coated and uncoated faecal bacteria in healthy dogs and in dogs with active CE and shortly after remission. Recently, Palm *et al.*, using flow cytometry and 16S ribosomal ribonucleic acid (rRNA) sequencing, showing that high IgA coating selectively marks specific members of mice and human intestinal microbiota that can drive or exacerbate intestinal inflammation in a mouse model (Palm *et al.*, 2014).

The phyla Firmicutes, Bacteroidetes, Proteobacteria, Actinobacteria, Spirochaetes and Fusobacteria constitute almost 99% of the gut microbiota in dogs. The remaining 1% is represented by the phyla Tenericutes, Verrucomicrobia, TM7, Cyanobacteria, Chloroflexi, and a few unclassified bacterial lineages. The relative proportions of these groups vary along the GI tract. We analysed the immunoglobulin coating of bacteria in faecal samples from healthy dogs.

The same phylogenetics groups that have been reported in previous studies were found in healthy dogs. In a previous study, samples from these dogs were sequenced using faeces and DNA extraction. However, when we compared both studies, we found that the proportion of each phylogenetic group differed. At phylum level, faecal material was dominated by Bacteroidetes (56% versus 20% in faecal suspensions), whereas Firmicutes was the predominant group in faecal suspensions (62% versus 32%). This variability may be due to differences in laboratory methodologies such as sample handling and DNA extraction. It is well known that this can have a huge effect on the bioinformatics results (Boers, Jansen, & Hays, 2016). In particular, differences in cell lysis treatments for DNA extraction can decrease the recovery of intact DNA from Gram-negative bacteria associated with harsher lysis conditions (Bacteroidetes gram-negative) or of gram-positive bacteria associated with insufficient cell lysis (Firmicutes gram-positive) (Yuan, Cohen, Ravel, Abdo, & Forney, 2012).

When IgA and IgG profiles were compared, diversity differed between coated and uncoated bacteria, but not between positive or negative populations. Interestingly, some groups that were found in the sorted population were not found in the pre-sorted population (total bacteria). PCR bias towards the populations that are more frequently found in the sample. Thus, low frequency but important taxa could be missed and only found when they were sampled in higher proportion due to coating with immunoglobulins (D'Auria *et al.*, 2013). When we selected for IgA and IgG populations, we potentially overcame this bias because

some groups of bacteria that only comprised a small amount of the total sequence were highly bound by IgA. We believe that using this method, allows us to identify physiologically important bacteria that may otherwise be missed by standard sequencing.

Although, the phylum Firmicutes predominated in all the samples evaluated; the phylum Bacteroidetes was present in a higher proportion in negative populations (17% versus 9%). At lower phylogenetic levels, divergence between negative and positive populations was more evident. At genus level [*Ruminococcus*] reached a proportion of 28% in positive samples, whereas in negative populations, only 2%. At genus level, the Ig-negative population was dominated by *Fusobacterium* and *Blautia*. Other genera groups that differed between positive and negative populations were [*Prevotella*] (6% vs. 0,7%). *Fusobacterium* (10% vs. 0,7%), *Clostridium* (1% versus 6%), *Enterococcus* (0,001% versus 2%) and *Catenibacterium* (2% vs. 5%). A recent study has found that SIgA targets preferentially Firmicutes, Actinobacteria and Proteobacteria. Whereas Bacteroidetes, are largely underrepresented compared to total microbiota composition (Fadlallah et al., 2018). Another study found that SIgA coating has a preference for the Proteobacteria phylum, whereas most members of the Firmicutes and Bacteroidetes remain uncoated (Bunker et al., 2017).

Next, we evaluated the immunoglobulin coating of bacteria in dogs with chronic enteropathy. We hypothesized that there is a difference in diversity between active and remission periods and between healthy and sick dogs. However, we did not find a clear difference between stages of the disease, between health and disease or between the different types of CE. However, our observations were limited to faeces, and maybe a more severe dysbiosis could be present in the small intestine.

As it was seen in healthy dogs, populations diverged between positive and negative samples but not between the types of immunoglobulin present. This could suggest that maybe immunoglobulin coating doesn't play a significant role in the pathogenesis of chronic enteropathies in our population of dogs. However, when we evaluated each dog individually, immunoglobulin positive populations during active disease and remission clustered separately in PCoA plots using UNIFRAC analysis. There is a large amount interpersonal variation in the resident microbiota, so it is likely that what causes disease in one individual is different from what causes disease in another (Stephens & Round, 2014).

Studies in people have found that serum antibodies levels exhibit a considerable heterogeneity in microbial specificities among IBD patients; suggesting that rather a global loss of tolerance against intestinal bacteria, the response is individual and pathogen- specific (Landers *et al.*, 2002). This high variability together with the small number of dogs in our study could hinder evaluation of differences between healthy and sick dogs and between different stages of the disease. In these cases, the dog itself would constitute the best control and comparisons within the same dog would be more appropriate.

When we evaluated immunoglobulin enrichment individually, we found that every individual dog has a specific profile. Also, it was possible to find specific bacteria that were enriched during active disease compared to remission in each case. However, none of the families of bacteria was found to be enriched in all dogs. When we analysed microbial differential abundance in the IgA+ population between active and remission disease, only the genus *Suterella* (species could not be identified) was significantly enriched during active disease. Although *Sutterella spp.* have been suspected to play a part in the pathogenesis of inflammatory bowel disease (Mangin *et al.*, 2004) (Gophna, Sommerfeld, Gophna, Doolittle, & Veldhuyzen van Zanten, 2006) (Lavelle *et al.*, 2015). In other studies no difference in the prevalence of *Sutterella spp.* has been found between the IBD patients and the healthy subjects (Mukhopadhy, 2012 #515) (Hansen *et al.*, 2013).

Increase in the amount of Proteobacteria may contribute to non-specific mucosal inflammation due to LPS as a potent stimulator and possibly predispose the host to a chronic inflammatory disease (Round & Mazmanian, 2009). *Suterella spp.* are considered, mildly pro-inflammatory, and some studies have indicated that they are unlikely to play a significant role in the development of gastrointestinal inflammation. Instead, members of this genus may help keep immune system responses at an appropriate level (Hiippala, Kainulainen, Kalliomaki, Arkkila, & Satokari, 2016).

*Sutterella* is a genus of bacteria that is normally found in the gastrointestinal tract of dogs. And although, increases in some members of the Proteobacteria phylum have also been associated with intestinal inflammation in dogs (Minamoto *et al.*, 2015) (J. S. Suchodolski *et al.*, 2012), *Suterella spp.* in particular, has been associated with non IBD-patients in a correlation network used to determine the dysbiosis index in dogs (Vazquez-Baeza *et al.*, 2016).



Comparison between healthy and sick dogs, found an enrichment of the Clostridiaceae and Lachnospiraceae. Clostridiaceae is a highly diverse family, encompassing genera that are important in nutrient digestibility and immunomodulation; and those that are considered to be pathogenic (Rajilic-Stojanovic & de Vos, 2014). Studies in dogs have found that Clostridia is increased in dogs with haemorrhagic diarrhoea (J. S. Suchodolski et al., 2012) and acute diarrhoea (Guard et al., 2015), whereas in non-haemorrhagic diarrhoea, levels were found to be similar to those found in healthy dogs (J. S. Suchodolski et al., 2012). We could not identify the kind of species enriched in this case.

Lachnospiraceae is a family of bacteria normally found in the gut of healthy dogs (Jan S. Suchodolski, 2013). One of its primary functions is to produce SCFAs that have important anti-inflammatory properties. Loss of this commensal microbiota (but not increase) has been linked to metabolic changes, for example alterations in immunomodulatory bacterial metabolites, such as short chain fatty acids and secondary bile acids in intestinal diseases (J. S. Suchodolski et al., 2012).

Several hypotheses could explain these findings. Enrichment with IgA can enhance or diminish bacterial fitness. It has been shown that enrichment can protect bacteria from destruction, increase their survival and promote symbiosis and intestinal homeostasis (Donaldson et al., 2018) (Fadlallah et al., 2018). Thus, this enrichment in groups associated with anti-inflammatory properties, could be a compensatory mechanism. However, it has been reported that this enrichment, is also associated with the facilitation of destruction and exclusion of bacteria (Cerutti & Rescigno, 2008) (Fadlallah et al., 2018). In this case, it could be destruction of potentially pathobionts (at least for the Clostridia group); or it could be a reflection of loss of tolerance towards commensals (Lachnospiraceae and Suterella), with subsequent depletion of beneficial bacteria. Or, alternatively, dogs with the disease could harbour bacteria with more immunogenic potential.

It is also important to note that there is an overlap in the dysbiosis patterns of many GI diseases. Many bacterial associations are not specific to individual diseases but rather respond to multiple disease states. Thus, the interpretation should be done carefully, as the alteration of these microbes may be indicative of a shared response to disease, rather than part of disease-specific differences (Duvallet, Gibbons, Gurry, Irizarry, & Alm, 2017).

Interestingly, when we evaluated sick dogs over time, we could see how unstable the gut microbiota is during disease. The enrichment profile changed visit after visit and thus, it would be more appropriate to collect samples at different time points, as transient enrichment of a group of bacteria, not necessarily would mean that particular group of bacteria could be responsible for the disease. Alternatively, they could be a reflection of the clinical status of the dog (e.g. worsening of the clinical signs or sub-clinical stage). The reduction of species diversity in people with UC is associated with temporal instability of the dominant taxa. Additionally, serial collection of faecal samples in remission and with stable medication during a year of follow-up, showed that only one-third of the dominant taxa was persistently detected over time. In contrast, studies in healthy individuals have showed a remarkable stability (intra-individual similarities indices ~80%) (Manichanh *et al.*, 2012) (Faith *et al.*, 2013) and that for most species there was a single, persistently dominant strain, termed "single-strain stability" (Truong, Tett, Pasolli, Huttenhower, & Segata, 2017). Instability and dysbiosis have been correlated with a variety of immunological and metabolic diseases. Recent studies have shown that immunoglobulins, in particular IgA, are thought to influence microbiome stability, independently of diet. In fact, IgA deficiency in mice increases interindividual variability in the microbiome, alters microbiome composition, increases susceptibility to microbial translocation, reduces microbial fitness and decreases diversity (not reflected in faeces but in the small intestine) (Fagarasan *et al.*, 2002). Thus, one possibility in dogs with CE is that low levels or impaired secretion of IgA, could have an impact on the disease process.

Treatment of CE in dogs consists in the administration of antibiotics in cases where the initial dietary approach does not work. It is well known that antibiotics can have a profound effect in the gut microbiota. Antibiotics have been proven to be efficacious in inducing and maintaining IBD remission in people so it can be suggested that modifying the pattern of intestinal bacteria may change intestinal disease status. Some antibiotics provide a so-called 'eubiotic' effect, by increasing abundance of beneficial bacteria (Gevers *et al.*, 2017). However, it has been shown that they can enhance the dysbiosis or lead to the false impression on follow-up samples that the dysbiosis is persistent due to GI disease, whereas the changes may be attributable to antimicrobial treatment (J. S. Suchodolski, 2016).

Antibiotic therapy causes changes in the gut microbiota that are asymmetric, as some bacteria are more susceptible than others and fitness varies among microorganisms. Some individuals return to pre-treatment states, whereas other individuals have an alteration in the composition of the microbiota to alternative

stable states. The magnitude of the disturbance, speed and extent of recovery depend on drug related factors such as class, pharmacokinetics, pharmacodynamics and range of action, as well as their dosage, duration and administration route; and on host-related factors such as the initial composition of the microbiota, age and lifestyle (Dethlefsen, Huse, Sogin, & Relman, 2008).

Some studies have analysed the effect of antibiotic on the gut microbiota in healthy dogs (J. S. Suchodolski et al., 2009) (Igarashi et al., 2014). However, it is not known what effects antibiotics have during periods of intestinal disease, where the bacterial composition and the microenvironment may be profoundly altered. Because of the nature of an ecosystem, the changes that are induced by an antibiotic on a set of organisms will affect directly or indirectly others. So, the initial microbial structure will shape the changes in microbiota during the antibiotic course

In our study, we used oxytetracycline. Studies using members of the tetracycline family in mice models of colitis, showed that tetracyclines not only affect the gut microbiota composition, but also have immunomodulatory properties that can secondarily change the gut microbiota. It has been observed a pronounced decrease in the proportion of reads of Actinobacteria was observed in antibiotic-treated colitic groups (J. Garrido-Mesa et al., 2018). In ARD, we observed a significant decrease in the proportions of members of the phylum Firmicutes (especially members of the families Lachnospiraceae, Ruminococcaceae and Clostridiaceae). Members of these families are attributed to have important anti-inflammatory properties. This suggest that although oxytetracycline cause relieve of clinical symptoms, changes in the gut microbiota could lead to exacerbation of the dysbiosis, Long- term studies are needed to assess the magnitude of the change and future effects.

A big limitation of this study was the presence of bacterial DNA and contamination of the pre- sorting water. Although we took several measures to minimize this, it was not possible to prevent it. We excluded these groups from the analysis of our samples. However, some of these bacteria could be truly present in the sample and not due to contamination. Also, we focused our study only in the positive population. There is a possibility that the negative population could also exert a role in the pathogenesis of the disease.

Other technical limitations included staining techniques. Bacterial DNA content was detected using Syto-17. Although useful, other members of the SYTO family are reported to be better for bacterial staining such as

SYTO 9. We could not use this dye, as its signal has the same Excitation/Emission spectrum than the one found with FITC. FITC was used for labelling the antibodies against immunoglobulins. In future studies, antibodies could be labelled with other fluorescent dye that has a different excitation/Emission spectrum than SYTO 9. Additionally, the background noise of FITC may in some cases be high, making the distinction between positive and negative populations more difficult. Another approach for improving the distinction between Ig+ and Ig- population could be the incorporation of Magnetic-activated cell sorting (MACS) before the bacteria sorting, to increase the purity of the positive population (A.Gonzalez, personal communication. August 2017).

We also evaluated the diversity of the gut microbiota in intestinal mucosal samples. It is considered that mucosal samples are superior to faecal samples in assessing the true intestinal microbiota (Cassmann *et al.*, 2016). Knowledge of host-microbiota interactions, in particular the role of attaching and invading bacteria, is important since an abnormal mucosal microbiota may interact more closely with the innate immune system to modulate gut health and disease (Allenspach *et al.*, 2010).

We did not find a significant difference between pre- and post- treatment samples, or in the global bacterial composition. Previous studies in dogs have also found no difference at global level, and only differences in specific groups of bacteria (Cassmann *et al.*, 2016). Additionally, we sampled the duodenum using a cytology brush. Cytology brush has the advantage that it samples both the luminal and mucosa microbiota. As it was expected, the diversity profile between the small intestine and the cytology brush was very similar. Thus, cytology brush could constitute a good alternative for assessing the mucosal microbiota. We found some bacterial groups that were enriched in the cytology brushes during active disease compared to remission (Actinobacteria, Clostridia and Bacilli).

Previous studies have also reported the increase of some of these groups in mucosal samples of dogs with CE (not duodenum). In German shepherd dogs with chronic intestinal inflammation, mucosal-adherent microbiota were analysed in small intestinal brush samples and showed significant over-representation of Bacilli and Erysipelotrichi when compared to healthy Greyhounds (Allenspach *et al.*, 2010). Also, in situ hybridization analysis (FISH) in ileal and colon mucosal biopsies from dogs with CE and healthy dogs, have found that dogs with CE harboured more ( $P < 0.05$ ) mucosal bacteria belonging to the Clostridium-coccoides/Eubacterium rectale group, Bacteroides, Enterobacteriaceae, and Escherichia coli compared to

healthy controls (Cassmann *et al.*, 2016). In people, increase in the proportion of Actinobacteria in mucosal samples has been associated with IBD (Frank *et al.*, 2007) (Gophna *et al.*, 2006).

The significance of these changes and the role of these groups of bacteria are still unknown. These changes could be secondary to the inflammatory environment of the intestine or they could contribute to the inflammatory response as they could interact more closely with the mucosal immune system.

In our study, samples differed according to location but not stage. It has been shown that the microbiota diversity increases along the gastrointestinal tract, reaching its maximum complexity in the colon. However, we found the lowest alpha diversity in colon samples. A previous study found that the ileal and colonic mucosal is predominantly colonised by bacteria localised to the free and adherent mucus compartments (Cassmann *et al.*, 2016). As part of the protocol, all dogs receive routine colonic cleansing (enemas) prior to collection of ileal and colonic mucosal biopsies. It is possible that dogs cleansed by colonic electrolyte lavage and enemas, might have had disrupted mucus compartments, characterised by reduced bacterial populations. Thus, we have concluded that enemas performed before the endoscopy may have had an effect on the microbiota. However, studies in people with IBD did not observe any difference between patients prepared by oral electrolyte lavage or enema versus patients that did not receive colonic cleansing (Swidsinski *et al.*, 2007).

Some limitations of this study were the small number of samples available and the absence of a group of healthy control dogs. However, performing repeated gastrointestinal endoscopies under general anaesthesia in healthy dogs was not endorsed by our ethics committee.

Recently, a study has given some new insights into the dominant site of microbial IgA induction. Bunker *et al.* found that IgA targets mainly bacteria residing in the small intestine and those that are positive in colon, are also positive in the duodenum. Every segment of the gastrointestinal tract can be affected in dogs with CE, however the duodenum is more frequently affected (Dandrieux, 2016). As such, Ig-coating in faeces could be a non-invasive method to evaluate the bacteria with high immune stimulatory properties that could be causing or exacerbating intestinal inflammation in the duodenum.

When we compared the IgA positive population with faeces and with the intestine, we saw that Ig-positive population clustered with faecal samples but not with intestine. This is not surprising as faecal samples are the reflection of what is happening in colon.

Other limitations in this study included different breed of dogs included in the study. It has been suggested that this could have an impact in the cytokine profile. In turn this will affect the gut microbiota characteristics (Peiravan et al., 2018). Also, different strains within a genus may have different associations with disease, which could not be detected in this study. Future studies, focusing on strain identification (such as strain-level metagenomics profiling), could give more clues about specific members of the microbiota involved in the pathogenesis of intestinal inflammation.

In summary, the gut microbiota during disease exhibits a high instability that is reflected in the immunoglobulin coating of bacteria with IgA and IgG and that individually differs between active and remission periods. Also, this study confirms the spatial variation between the luminal, mucosal and faecal communities during disease.

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## 5610 Chapter 4: Characterisation of thymic stromal lymphopoietin in the intestine of healthy dogs and 5611 dogs with chronic enteropathies

5612

### 5613 4.1 Introduction

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5615

5616 The interaction between the host and the microbiota is a vital mechanism involved in intestinal homeostasis  
5617 and inflammation. Under normal conditions, the immune system tolerates commensal bacteria and rapidly  
5618 recognises and destroys pathogenic microorganisms. However, in chronic enteropathy, loss of tolerance or  
5619 dysregulation of the immune system can cause an exaggerated response toward microbiota, food antigens  
5620 or endogenous antigens that leads to chronic inflammation of the gastrointestinal tract (Fogle & Bissett,  
5621 2007). Intestinal epithelial cells (IEC) comprise part of the mucosal epithelium, as part of the innate  
5622 immune system; they are a fundamental cell population that not only provides a physical barrier to separate  
5623 the luminal content from the underlying immune system but also produces factors that actively regulate  
5624 intestinal immune responses (Rimoldi *et al.*, 2005).

5625

5626 Thymic stromal lymphopoietin (TSLP) is an IEC-derived cytokine that exerts dual immunoregulatory  
5627 functions: it can promote or prevent inflammation (Fornasa *et al.*, 2015). Previously, it was believed that the  
5628 primary effect was context-specific and dependent on the antigenic stimulus, route of exposure, site of the  
5629 inflammatory lesion and TSLP concentration (Rimoldi *et al.*, 2005). However, recently two transcript  
5630 variants of TSLP have been identified in people (Bjerkan *et al.*, 2015). The long isoform, or variant 1  
5631 (lTSLP); and the short isoform or variant 2 (sTSLP) (Bjerkan *et al.*, 2015) (Fornasa *et al.*, 2015).

5632

5633 Under physiological conditions, sTSLP is the predominant form and is constitutively expressed in the  
5634 intestine, where it has a critical role in preserving immunotolerance (Bjerkan *et al.*, 2015; Fornasa *et al.*,  
5635 2015). Conversely, lTSLP is pathogenic and its expression is induced by inflammatory stimuli such as  
5636 pathogenic bacteria in intestinal epithelial cells and cells of the immune system (Cullender *et al.*, 2013).

5637

5638 Under steady-state conditions, TSLP promotes a dendritic cell (DC) non-inflammatory phenotype, where  
5639 they induce the production of T regulatory cells and gives them the ability to produce constitutively  
5640 interleukin-6 (IL-6) and a proliferation-inducing ligand (APRIL) which drives the development of

immunoglobulin A (IgA)-producing plasma cells (Sato *et al.*, 2003). Additionally, TSLP inhibits the expression of IL12/IL-23 P40 subunit in DCs, that causes an impairment in the ability of DCs, to promote antigen-specific T<sub>H1</sub> differentiation (Chung *et al.*, 2009).

Thus, the homeostasis of the gut is preserved through the continuous generation of non-inflammatory helper T cells and antibody responses that limit bacterial entrance and promote an environment tolerant to commensal bacteria.

Due to the fact, that TSLP exert several roles that are crucial for adequate intestinal function, it would be interesting to investigate the expression of this factor in the intestine of dogs during homeostasis and during pathologic conditions such as chronic enteropathies

Although TSLP is widely expressed in many tissues and involved in many cellular processes, the role of this cytokine in canine intestine has not been explored yet. The aim of this study is to characterise the expression of TSLP in the intestine of healthy dogs and dogs with chronic enteropathies during active disease and clinical remission.

Due to the fact, that TSLP exert several roles that are crucial for adequate intestinal function, it would be interesting to investigate the expression of this factor in the intestine of dogs during homeostasis and during pathologic conditions such as chronic enteropathies

## 4.2 Methodology

### 4.2.1 Study dogs

Dogs with signs of chronic gastrointestinal disease (> 3 weeks), including persistent and/or recurrent vomiting and/or diarrhoea and/or weight loss; presented at the veterinary hospital of the University of Melbourne were enrolled into the prospective study. A total of 8 dogs were enrolled. Dogs underwent a complete clinical evaluation by an internal medicine specialist. Dogs were evaluated for co-morbidities and extra-intestinal disease prior to inclusion by a combination of faecal analysis (faecal flotation and faecal cytology), blood testing (including canine pancreatic lipase immunoreactivity, cobalamin and canine trypsin-like immunoreactivity) and abdominal ultrasound. Dogs were not included in the trial if there was a history



of dietary or medical therapy 3 weeks prior to analysis, or if hypoalbuminemia (albumin < 20 g/L) was present.

The disease activity was scored using the canine chronic enteropathy activity index (CCECAI). For this score, nine signs (attitude/activity, appetite, vomiting, stool consistency, stool frequency, weight loss, albumin levels, ascites/peripheral oedema and pruritus) are scored from 0 to 3 based on the magnitude of their alterations. The scores are added, yielding a total cumulative score. Five categories are defined: insignificant disease, 0–3; mild disease, 4–5; moderate disease, 6–8; severe disease, 9–11; very severe disease >11 (Allenspach *et al.*, 2007).

One patient withdrawal from the study and the second endoscopy could not be performed (Mouse). Two patients did not participate in the study but owners agreed to donate some samples for the study (Ruby and Buckley) (New study). According to the classification based on response to treatment, four dogs had diet-responsive enteropathy (DRE); four dogs had antibiotic-responsive enteropathy (ARE) and two dogs had steroid-responsive enteropathy (SRE) (Dandrieux, 2016). Detailed information about the patients can be found in table 4.1.

Samples from dogs of a previous study performed between 2012 and 2014 were included. Selection criteria were the same as specified above. A total of 11 patients were enrolled in this study. According to the classification based on response to treatment, six dogs had diet-responsive enteropathy (DRE); three dogs had antibiotic-responsive enteropathy (ARE) and one dog had steroid-responsive enteropathy (SRE) (Dandrieux, 2016). Detailed information about the patients can be found in table 4.1.

Healthy subjects: Samples from a previous study were used. Dogs were rescued working dogs that were rehomed at the end of that study. Additionally, skin biopsies of a healthy dog were used as a positive control for TSLP expression. Detailed information about the patients can be found in table 4.2.

All experimental procedures were approved from the Animal Ethic committee of University of Melbourne. (Animal Ethics Committee approval AEC # 1112072.2).

Owners gave written consent in which they agreed to participate in initial and follow-up diagnostic valuation.

5704     They could withdraw their animals from the trial at any point.

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5706 Table 4.1: Metadata information of dogs with chronic enteropathies

Patient	Breed	Age (y)	Type CE	Localisation	CCECAI-1	CCECAI-2	SampleV1 (Y/N)	SampleV2 (Y/N)	RNA preservation method	Study period
Dog1	Spoodle	5	SRE	Mixed	5	0	Y	Y	Stabilization solution	New
Dog2	Japanese Spitz	1.5	ARE	Mixed	8	2	Y	Y	Transition solution	Old
Dog3	Whippet	4	ARE	SI	6	NA	Y	N	Stabilization solution	New
Dog4	Border Collie	5	ARE	SI	Unknown	Unknown	N	Y	Stabilization solution	Old
Dog5	Golden Retriever	5	FRE	SI	1	1	Y	Y	Stabilization solution	New
Dog6	Staffordshire Bull Terrier	2	FRE	Mixed	11	2	Y	Y	Transition solution	Old
Dog7	Labrador Retriever	10	SRE	Mixed	7	0	N	Y	Stabilization solution	New
Dog8	Labrador Retriever		FRE	Mixed	7	4	Y	Y	Transition solution	New
Dog9	Basset Hound		ARE		5	0	Y	N	Transition solution	Old
Dog10	GSD	14	FRE	Mixed	6	0	Y	Y	Stabilization solution	New
Dog11	Labrador Retriever	2	ARE	SI	7	1	Y	Y	Transition solution	Old
Dog12	Chihuahua	9	FRE	Mixed	Unknown	Unknown	Y	Y	Stabilization solution	New

Dog13	GSD	1.5	ARE	Mixed	12	1	Y	Y	Transition solution	Old
Dog14	Maltese Cross	5	FRE	SI	6	0	Y	Y	Transition solution	Old
Dog15	GSD	3.5	ARE	SI	9	0	N	Y	Transition solution	Old
Dog16	Greyhound	2	ARE	Mixed	5	0	Y	Y	Stabilization solution	New
Dog17	Basset Hound	3	ARE	Mixed	5	0	Y	Y	Transition solution	Old
Dog18	Toy Poodle	2.5	ARE	LI	9	0	Y	N	Transition solution	Old
Dog19	Flat Coated Retriever	9	SRE	SI	Unknown	Unknown	Y	N	Stabilization solution	New
Dog20	Labrador Retriever	3.6	ARE	Mixed	Unknown	Unknown	Y	N	Stabilization solution	New
Dog21	Weimaraner	1.7	FRE	Mixed	4	0	Y	Y	Transition solution	Old

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5712 Table 4.2: Metadata information healthy dogs.

Dog	Breed	Age (years)	Diet	Neutering Status
Dog1	Mix-Breed	2	Advance Dry Food®	Male/Neutered
Dog2	Mix-Breed	3	Advance Dry Food®	Male/Neutered
Dog3	Mix-Breed	3	Advance Dry Food®	Male/Neutered
Dog4	Mix-Breed	7	Advance Dry Food®	Male/Neutered
Dog5	Mix-Breed	5	Advance Dry Food®	Male/Neutered
Dog6	Mix-Breed	6	Advance Dry Food®	Male/Neutered
Dog7	Mix-Breed	3	Advance Dry Food®	Male/Neutered
Dog8	Mix-Breed	3	Advance Dry Food®	Female/Spayed
Dog9	Mix-Breed	2	Advance Dry Food®	Female/Spayed
Dog10	Mix-Breed	1	Advance Dry Food®	Female/Spayed
Dog11	Mix-Breed	3	Advance Dry Food®	Female/Spayed
Dog12	Mix-Breed	2	Advance Dry Food®	Female/Spayed
Dog13	Mix-Breed	5	Advance Dry Food®	Female/Spayed

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## 4.2.2 Samples

Two pinch biopsies from each intestinal segment obtained during endoscopic examinations (stomach, duodenum, colon and if feasible also ileum) in dogs with chronic enteropathies prior to treatment trial and after treatment success (defined as a decrease in the clinical CE activity index of at least 75% for at least six weeks) were placed in an eppendorf tube containing RNeasy lysis solution (Qiagen™), and then stored at -20°C. Biopsies from healthy dogs obtained in a previous study stored in RNeasy lysis solution (Qiagen™) at -80°C were also analysed. A total of 13 healthy dogs, 21 dogs with active CE and 16 dogs with CE in remission were analysed; 13 dogs had samples from both the active and remission period. As most of the samples from the old study were preserved in lysis solution, whereas the samples from the new study were preserved in stabilization solution; one sample was placed in RNeasy lysis solution (Qiagen™), and in RNeasy lysis solution (brand) for checking of potentially differences in RNA expression due to different storage techniques but we did not find any difference (Dog1 V1 small intestine).

## 4.2.3 RNA isolation

Total RNA was isolated from the endoscopy biopsies (8-18 mg) using the RNeasy microarray tissue Mini kit (Qiagen™); tissue was removed from the RNeasy lysis solution using sterile forceps and placed in a 2mL safe-lock microcentrifuge tube containing 500µL of lysis buffer. Samples were put on ice and then disrupted and homogenised with a homogeniser containing a sterile 3mm probe at 17 cycles per second during 2-5 minutes. Subsequent steps were performed as recommended by the manufacturer. The RNA was eluted in 60 µL of RNase-free water.

Assessment of RNA quality and quantity.

The quantity and quality of the RNA was assessed via spectrophotometry. UV absorption ratios 260:280 nm and 260:230 nm were assessed in a ND1000 spectrophotometer using 1µl of sample. Ratios A260:A230 greater than 1.7 and A260:A280 between 1.8 to 2.0 were considered as appropriate. RNA was stored at -80°C.

#### 4.2.4 cDNA isolation

Synthesis of cDNA was carried out in 500 ng of RNA using the Quantinova Reverse™ transcription kit (Qiagen) and per manufacturer's instructions. Genomic DNA digestion was performed prior to cDNA synthesis as specified in the protocol. Reactions were done in duplicate. Additionally, one sample containing the QuantiNova™ Internal Control RNA (QN IC RNA) but not template was used as an internal amplification control to test successful reverse transcription/amplification; another sample with template but not reverse transcription enzyme was used to check for the presence of genomic DNA. cDNA was diluted 1:10 in nuclease-free water and stored at -20°C.

#### 4.2.5 Real-Time PCR

TSLP primers were designed using the primer-BLAST program from NCBI (NCBI). Reference genes were the same as those ones described by Peter *et al*, 2007. For detailed information of the primers, refer to Table 4.3 Primers were synthesised by Geneworks® (Australia) and were reconstituted in nuclease-free water and stored at -20°C. Real-time PCR was performed using the Quantinova SYBR™ green PCR (Qiagen®) per manufacturer's instructions. Gene specific amplification was performed using 0.7 µM of each primer as recommended. Sample incubations were performed in a Rotor Gene Q™ cyclor (Qiagen®) at 95°C for 2 min and then 40 cycles of 95°C for 5 seconds and 60°C for 10 seconds during which the fluorescence data were collected. The melt curve was created by heating the samples from 65 to 95°C in 0.5°C increments with a dwell time at each temperature of 3s during which time the fluorescent data were collected.

No template RNA and no RT samples using G3PDH primers for checking the absence of genomic DNA. RNase-free water passed through the RNA isolation was assessed in a similar manner to control for sample contamination. A negative control of nuclease-free water and a positive control (skin) with a known threshold cycle value (Ct) were included with all sample runs to control for run-to-run variation. Ct value was calculated as the cycle when the fluorescence of the sample exceeded a threshold level corresponding to 10 standard deviations from the mean of the baseline fluorescence. PCR reactions were done in triplicate. A mean Ct value was calculated for each sample using these values.

#### 4.2.6 Assay Validation

To verify the specificity of the primers, real-time PCR products were purified using the MinElute™ PCR purification kit (Qiagen®). The protocol was performed according to manufacturer's instructions with a minor modification at the first step, where two samples of each gene were pooled to increase DNA yield. Purified product was sent for sequencing by capillary separation (Micromon, Monash University, Australia). Sequences obtained were analysed in Sequence scanner software 2™ (Thermo Fisher) and blasted in the NCBI database.

Three uL of PCR product was run in gel electrophoresis to check the presence of single bands products of appropriate size and melt curve analysis were performed to check the presence of a single peak.

Reaction efficient was calculated using a 6-fold serial dilution of skin RNA. A graph of threshold cycle versus log10 relative copy number of the sample from the dilution was produced by the Rotor gene software. The slope of this graph was used to determine reaction efficiency. Good efficiencies were considered with values of 90–100% ( $-3.6 \geq \text{slope} \geq -3.3$ ) (table 4.4)

#### 4.2.7 Determination Reference genes

The identification of the most stably expressed genes and the minimum number of genes required for reliable normalisation were calculated using the program NormFinder™ (Department of Molecular Medicine, Aarhus University Hospital, Denmark) (Vandesompele *et al.*, 2002). Six reference genes were chosen as previously recommended by Peter *et al.*, 2007 (Peters, Peeters, Helps, & Day, 2007). Ten samples per group were used (dogs with active disease, remission period and active disease). The optimal number of genes required for reliable normalisation; are calculated by the estimation of the intra-group variation and inter-group variation using the log-transformed Cq values (in this case the natural log). Having estimated both the intra- and inter-group variation, the program combines the two into a stability value, which intuitively adds the two sources of variation and thus represents a practical measure of the systematic error that will be introduced when using the investigated gene.



#### 4.2.8 Statistical Analysis

For gene expression analysis of TSLP in healthy dogs and dogs with CE in active and remission periods the software REST2009® was used. REST2009 applies a mathematic model (Livak method) that considers the different PCR efficiencies of the gene of interest and reference genes (Livak & Schmittgen, 2001). Samples from proximal small intestine were chosen as it has been reported that most of the patients with CE, exhibit clinical signs associated with the small intestine more frequently. The fold expression change was calculated using the  $2^{-\Delta\Delta C_t}$  method, wherein each value is presented as an *n*-fold difference relative to the geometric mean of the two reference genes. To determine whether there is a significant difference between groups, Rest2009 uses randomisation techniques. The hypothesis test (P(H1)) performs a large number of random reallocations of samples and controls between the groups. It then counts the number of times the relative expression of the randomly assigned group is greater than the sample data. P(H1) values < 0,05 were considered as significant.

#### 4.3 Results

The MIQE guideline was used as a reference for the processin and analysis of the results (Bustin et al., 2009). Validation of the reference genes using NormFinder™ found that YWHAZ was the most stable reference gene (Stability value 0.003). The other genes exhibited a stability of G3PDH:0.004, TBP: 0.011, HMBS: 0.004, RPL32:0.014 and SDHA: 0.004. The best combination of reference genes was SDHA and YWHAZ (stability value for best combination of two genes was 0.002). Therefore, SDHA and YWHAZ were used as reference genes for the relative quantification of TSLP gene expression in the intestinal tissues.

As previously reported (Klukowska-Rotzler *et al.*, 2013), skin from a healthy dog was used as a positive control for TSLP expression. We found that TSLP is also expressed in the stomach, proximal small intestine and colon of healthy dogs (Figure 4.1).

For gene expression analysis of TSLP in healthy dogs and dogs with CE in active and remission periods the software REST2009® was used. There was no significant difference in the TSLP expression in healthy dogs compared to dogs with active CE or dogs with remission CE or between dogs with active CE and dogs with CE in remission (Tables 4.5, 4.6, 4.7 and 4.8). Also, we did not find any difference among paired samples (active disease-remission).

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5847 Table 4.3: Reference genes and TSLP genes

Gene	Accession number	Forward Primer (5' – 3')	Reverse Primer (5' – 3')	Product length (base pairs)	Pseudogenes	Primer concentrations
G3PDH (Glyceraldehyde 3-phosphate dehydrogenase)	XM_014122594.1	TCA ACG GAT TTG GCC GTA TTG G	TGA AGG GGT CAT TGA TGG CG	90	YES	0.7 µM each
SDHA ( <i>Canis lupus familiaris</i> succinate deshydrogenase complex, subunit A, flavoprotein (fp), transcript variant X2)	XM_535807.5	GCC TTG GAT CTC TTG ATG GA	TTC TTG GCT CTT ATG CGA TG	92	YES	0.7 µM each
RPL32 ( <i>Canis lupus</i> ribosomal protein L32)	NM_001252169	TGG TTA CAG GAG CAA CAA GAA A	GCA CAT CAG CAG CAC TTC A	100	YES	0.7 µM each
TBP ( <i>Canis lupus familiaris</i> TATA box binding protein, transcript variant X1)	XM849432.4	CTA TTT CTT GGT GTG CAT GAG G	CCT CGG CAT TCA GTC TTT TC	96	YES	0.7 µM each
HMBS (Hydroxymethylbilane synthase, transcript varian X5)	XM_014113375	TCA CCA TCG GAG CCA TCT	GTT CCC ACC ACG CTC TTC T	112	YES	0.7 µM each

HAZ (Tyrosine 3-monooxygenase/tryptophan 5-monooxygenase activation protein,zeta, transcript variant X2	XM_843951.5	CGA AGT TGC TGC TGG TGA	TTG CAT TTC CTT TTT GCT GA	94	YES	0.7 μM each
TSLP (Thymic stromal lymphopoietin)	XM_005618038.2	GCA GCG CCG ATA AAT AAT ACC	TAA GTG TGC GAC TTG TTC CC	90	YES	0.7 μM each

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5855 Table 4.4: Standard curve of reference genes and TSLP

Gene	R	R <sup>2</sup>	M	B	Efficiency
HMBS	0.99985	0.99971	-3.621	28.866	0.89
YWHAZ	0.99944	0.99888	-3.558	25.435	0.91
TBP	0.99975	0.99949	-3.518	25.344	0.92
SDHA	0.99826	0.99652	-3.600	27.698	0.90
G3PDH	0.99605	0.99211	-3.422	26.767	0.96
RPL32	0.99849	0.99697	-3.501	23.877	0.93
TSLP	0.99553	0.99109	-3.551	28.547	0.91

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5860 Table 4.5: Cq values of reference genes and TSLP at different disease stages

Sample SI	TSLP Cq	SDHA Cq	YWHAZ Cq	SDHA-YWHAZ	
				cq	$\Delta$ Cq value
Healthy	36.69 $\pm$ 1.11	31.86 $\pm$ 1.54	30.59 $\pm$ 1.71	31.22 $\pm$ 1.61	5.47 $\pm$ 0.69
CE active	33.14 $\pm$ 0.74	28.4 $\pm$ 0.73	26.21 $\pm$ 0.88	27.28 $\pm$ 0.79	5.85 $\pm$ 0.42
CE remission	32.1 $\pm$ 0.65	27.31 $\pm$ 0.75	25.00 $\pm$ 0.93	26.16 $\pm$ 0.82	5.99 $\pm$ 0.34

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5865 Table 4.6:  $2^{-\Delta\Delta Ct}$  dogs with active disease compared to healthy dogs

$\Delta Ct$ Value (CE active)	$\Delta Ct$ Value (healthy)	Delta Delta Ct Value	Expression Fold Change	95% C.I Expression ratios	P(H1) Result
$\Delta CTE$	$\Delta CTC$	$\Delta\Delta Ct$	$2^{-\Delta\Delta Ct}$		
5.85	5.47	0.38	0.768437591	0.151 - 6.355	0.540

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5869 Table 4.7: 2<sup>-ΔΔCt</sup> dogs with disease in remission compared to healthy dogs

ΔCt Value (CE remission)	ΔCt Value (Healthy)	Delta Delta Ct Value	Expression Fold Change	95% C.I Expression ratios	P(H1) Result
ΔCTE	ΔCTC	ΔΔCt	2 <sup>-ΔΔCt</sup>		
5.99	5.47	0.52	0.697371833	0.119 - 2.499	0.149

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5873 Table 4.8:  $2^{-\Delta\Delta Ct}$  dogs with active disease compared to dogs with disease in remission.

$\Delta Ct$ Value (CE active)	$\Delta Ct$ Value (CE remission)	Delta Delta Ct Value	Expression Fold Change	95% C.I Expression ratios	P(H1) Result
$\Delta CTE$	$\Delta CTC$	$\Delta\Delta Ct$	$2^{-\Delta\Delta Ct}$		
5.85	5.99	-0.14	1.101905116	0.143 - 2.526	0.359

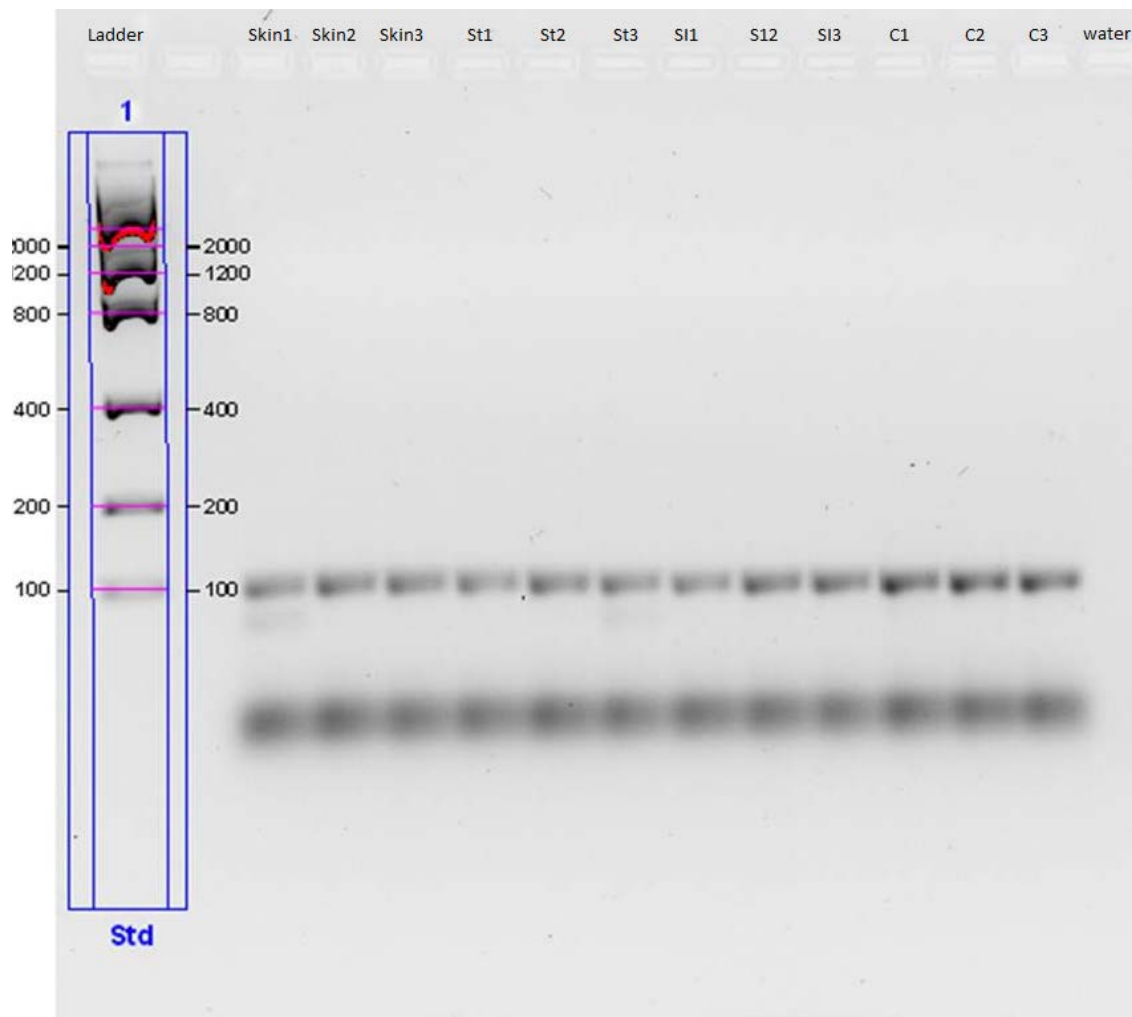
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5879 Figure 4.1: Expression of TSLP in health. Skin (positive control), St: stomach, SI: Small intestine and C:

5880 colon. n=3. PCR marker Sigma Aldrich.

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#### 4.4 Discussion

TSLP is a cytokine with an important role in the regulation of inflammatory processes in the intestine that creates a bridge between DCs and IECs, which is vital for maintaining intestinal homeostasis. Until recently, the expression of TSLP had been only studied in the skin, where it has been implicated in the pathogenesis of atopic dermatitis and is considered a pro-inflammatory factor. However, it has not been possible to elucidate whether the increase in TSLP has causative effects or whether it is a consequence of the inflammatory process in allergic conditions. By using PCR, we assessed the expression of TSLP in the gastrointestinal tract of dogs, and found that TSLP is constitutively expressed in the stomach, intestine and colon of healthy dogs.

In humans, TSLP has a dual role in the intestine. On one hand, it is one of the key factors for conditioning DCs to produce T<sub>reg</sub> cells by inducing Foxp3 expression in naïve T cells and to promote the synthesis of IgA; thus, promoting an anti-inflammatory and tolerogenic environment under physiologic conditions. On the other hand, it promotes inflammation under pathological conditions and protects the host against invasive pathogens (Tsilingiri *et al.*, 2017). As a deregulation of the immune system and a pro-inflammatory environment has been associated with chronic enteropathies, we investigated the mRNA expression of TSLP in dogs with active disease and dogs during remission and compared them to the mRNA expression in healthy dogs. We did not find any significant difference in the expression of TSLP in the small intestine among any of the groups.

Recently, Osada *et al* 2017 evaluated the mRNA expression of *IL-25*, *-33* and *TSLP* in the duodenal and colonic mucosa of dogs with antibiotic-responsive enteropathy (ARE), diet-responsive enteropathy (DRE) and steroid-responsive enteropathy, and did not find any difference in TSLP expression among groups and compared to healthy dogs. This finding is supported by our study, where we also evaluated active disease versus remission (Osada *et al.*, 2017).

Several reasons could explain our findings. Although 80% of the dogs with CE manifest more clinical signs compatible con small intestinal disease and not with large intestine disease; some dogs have a more severe histopathologic changes in the colon. Even if the correct anatomical location is assessed; the multi-focal variable expression of inflammation, across the intestine; means that individual samples could not

reflect what it is happening globally. We evaluated the expression of TSLP only in the small intestine, so we cannot exclude that TSLP expression pattern could be different in colon. Expression of TSLP varies throughout the length of the gastrointestinal tract, with its highest expression in the large intestine, indicating differential regulation depending on the microenvironment (Rimoldi *et al.*, 2005). The large intestine differs from the small intestine, not only in cell types but also in the population of commensal bacteria. As bacteria-cell contact regulates TSLP expression, it is possible that differences and alterations in the abundance or composition of bacterial flora along the GIT may influence TSLP mRNA expression.

TSLP has been implicated mainly in the upregulation of  $T_{H2}$  responses and dampening of  $T_{H1}$  and  $T_{H17}$  responses. Although several studies have investigated cytokine and chemokine expression in dogs with chronic enteropathies; there is no clear  $T_{H1}$ ,  $T_{H2}$  or  $T_{H17}$  polarisation (Kolodziejaska-Sawerska *et al.*, 2013) (Heilmann & Suchodolski, 2015). Results have been varied with breed, disease stage and severity, intestinal region affected, employed methodology as well as other confounding factors such as therapy and demography. Accordingly, dogs with a  $T_{H2}$  phenotype could exhibit more TSLP expression, whereas dogs with more  $T_{H1}$  responses could exhibit lower expression of TSLP. We did not assess the expression of cytokines related to any of these pathways so we cannot exclude that possibility.

It has been shown that colonic epithelial cells from people with CD who exhibit a strong  $T_{H1}$  response have a lower expression of TSLP; whereas people with UC, where a  $T_{H2}$  response predominates, have a higher expression of the TSLP gene (Fornasa *et al.*, 2015) (Rimoldi *et al.*, 2005).

In people, results assessing TSLP expression have been discordant with variable primers used, dosage and pathology. Previous studies have shown that there are bacterial strain-specific effects on TSLP secretion. This could mean that the dysbiosis observed in dogs with chronic enteropathies could potentially impact the balance of TSLP secretion or pattern of expression. In vitro studies using intestinal explants and specific bacterial strains could help to elucidate this process in dogs.

Recently analysis of the human TSLP locus indicates that there are two variants of the TSLP. The short isoform, that is considered anti-inflammatory and the long isoform that is considered pro-inflammatory. Studies using primers targeting specific isoforms have found that under normal conditions only the short isoform is expressed and under pathologic conditions, the expression of the short isoform is lost or the

expression of the long isoform is increased favouring mucosal inflammation. Older studies in people used primers that were targeting both isoforms and that could be one of the reasons of discordant result among studies.

Although the presence of isoforms has not been described in dogs, alignment of the coding sequence of canine TSLP mRNA (GenBank accession number XM\_005618038.2) with the orthologous human sequence (GenBank accession number NM\_033035.4), the nucleotide identity was 70% and covered the signal peptide and full-length mature human protein. Additionally, the predicted amino acid sequence deduced from the canine TSLP cDNA (accession number: I1VWC7) shares 60.8% identity with human (accession number NP\_149024.1) TSLP protein, opening the possibility of the presence of isoforms in dogs (Klukowska-Rotzler *et al.*, 2013).

In mice, even though there are no open reading frames for sTSLP, this protein might still be generated by protease cleavage from lTSLP. One study tested the human sTSLP in mice, and it could be seen that human sTSLP could protect the mice from endotoxin shock and DSS colitis, suggesting not only an anti-inflammatory role *in vivo* but the possibility of a presence of a sTSLP in mice.

The transcriptome comprises all kind of transcripts and their quantity, including mRNAs, non-coding RNAs and small RNAs, and gives information about their start sites 5' and 3' ends, splicing patterns and other post-transcriptional modifications. This technique could be used to assess the presence of isoforms in the dog and could serve to monitor the change of expression of a specific isoform for a particular physiological stage or condition (Yau, Leong, Zeng, & Wasinger, 2013).

Also, there is a possibility that TSLP function is not affected during CE in dogs; or is redundant and other cytokines present in the gastrointestinal tract can exert the same functions. One study in mice, showed that TSLPR<sup>-/-</sup> mice have normal numbers of circulating CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> T<sub>reg</sub> indicating that TSLP is not essential for the development of natural T<sub>reg</sub>. However, studies conducted using a chimeric mouse model, where developing T cells are deprived of IL-7 and TSLP receptor signalling; exhibited a defect in T<sub>reg</sub> development in the thymus but were not required for survival of mature peripheral T<sub>reg</sub> cells. Thus, although it seems that TSLP is not required for adaptive T<sub>reg</sub> development in the periphery, TSLP could be able to modulate these processes in cases of altered availability (Mazzucchelli *et al.*, 2008).

5978  
5979 However, TSLP could still be useful during disease where a bacterial component is implicated now that  
5980 studies *in vitro* have shown that TSLP fragments can retain their antimicrobial activities. (Bjerkan *et al.*,  
5981 2015) (Sonesson *et al.*, 2011).

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5983 Some limitations of this study include the small number of samples, different storage conditions and  
5984 technical limitations. Although quantitative PCR (qPCR) is a very useful technique for assessing mRNA  
5985 levels; the mRNA expression of a gene, does not guarantee that the gene is exercising actions and protein  
5986 levels should be analysed as well. On the other hand, although spectrophotometer analysis can give an  
5987 indication of the RNA quality, other methods such as gel-electrophoresis and fluorometric quantification  
5988 give a more precise estimation of RNA quality. After finishing the study, we had the opportunity to check  
5989 some samples using the bioanalyzer® and we found that the quality differed among samples, which  
5990 potentially could influence the amount of TSLP expressed in qPCR.

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## Chapter 5: Supplementary material

### 5.1 Processing of 16S rRNA amplicon sequences

#### 1. Creating and checking the mapping file:

For using QIIME you need to start with three specific input files: 1) your sample metadata mapping file which contains the per-sample barcode sequences and other technical information; 2) a fastq file containing your amplicon sequence reads and 3) a corresponding fastq file containing the barcode reads for each amplicon sequence.

- This step is also useful for the purpose of thinking about experimental design and hypothesis testing. The mapping file for QIIME:
- Includes information about your sequencing files and their associated metadata.
- Should be a tab-delimited text file.
- Must include the columns SampleID, BarcodeSequence, LinkerSequence (primers) and Description for each sample.
- Must have SampleIDs that refer to the sequence headers used in the FASTA files (symbol #).
- Can have other columns of metadata as needed.
- Must have the description column as the last column.

Example:

#SampleID	BarcodeSequence	LinkerPrimerSequence	ReversePrimer	SampleNumber	personID	Stool	Method	HoursToNeg80Storage	Description
2001	CTCTCTATTTCGCCTTA	GGACTACNVGGGTWTCTAAT	GTGYCAGCMGCCGCGGTAA	106	55	1	D	25.5	106.1rep1
2002	TTCACGCATCCTCTAC	GGACTACNVGGGTWTCTAAT	GTGYCAGCMGCCGCGGTAA	106	55	1	D	25.5	106.1rep2
2003	AAGGAGTATTAGGCAT	GGACTACNVGGGTWTCTAAT	GTGYCAGCMGCCGCGGTAA	106	55	1	D	25.5	106.1rep3

Check if the mapping file has errors.

```
validate_mapping_file.py -m Mapping_file_Leaky_Gut_Study.csv
```

#### 2. Extracting the barcodes:

Based on the mapping file, this QIIME script trims the barcode from all the sequences:

```
extract_barcode.py -f ../1-Raw_data_and_quality/MISEQ2128_S1_L001_R1_001.fastq -r ../1-  
Raw_data_and_quality/MISEQ2128_S1_L001_R2_001.fastq -c barcode_paired_end -o  
./bar_exed_sep_ends -l 8 -L 8 -m Mapping_file_Leaky_Gut_Study.csv --  
attempt_read_reorientation
```

-f → Input fastq filepath. This file is considered read 1.

-r → Input fastq filepath. This file is considered read 2. [default: None]

-c → Specify the input type. barcode\_paired\_end: Input is a pair of fastq files (-fastq1 and -fastq2) that each begin with a barcode sequence. The barcode for fastq1 will be written first, followed by the barcode from fastq2.

-o → Directory prefix for output files [default: .]

-l → Specify the length, in base pairs, of barcode 1. This applies to the -fastq1 file and all options specified by -input\_type [default: 6]  
 -L → Specify the length, in base pairs, of barcode 2. This applies to the -fastq2 file and options "barcode\_paired\_end", "barcode\_paired\_stitched", and "barcode\_in\_label" for the -input\_type [default: 6]  
 -m → Filepath of mapping file. NOTE: Must contain a header line indicating SampleID in the first column and BarcodeSequence in the second, LinkerPrimerSequence in the third and a ReversePrimer column before the final Description column. Needed for -attempt\_read\_orientation option. [default: None]  
 --attempt\_read\_reorientation → Will attempt to search for the forward and reverse primer in the read and adjust the sequence orientation to match the orientation of the forward primer.

Resulting files:

barcodes.fastq barcodes\_not\_oriented.fastq reads1.fastq reads2.fastq

**Note:** If the resulting file reads1.fastq has in the header a 1, the order of the primers in the mapping file is correct.

### 3. Merging paired-end reads:

**PEAR** is an ultrafast, memory-efficient and highly accurate pair-end read merger. It is fully parallelized and can run with as low as just a few kilobytes of memory. PEAR evaluates all possible paired-end read overlaps and without requiring the target fragment size as input. In addition, it implements a statistical test for minimizing false-positive results. Together with a highly optimized implementation, it can merge millions of paired end reads within a couple of minutes on a standard desktop computer.

```
pear -f bar_exed_sep_ends/reads1.fastq -r bar_exed_sep_ends/reads2.fastq -v 100 -m 600 -n 80 -j 24 -o bar_exed
```

were:

-f → forward reads (pair 1)  
 -r → reverse reads (pair 2)  
 -v → min overlap  
 -m → max assembled length  
 -n → min assemble length  
 -j → number of threads

**Note:** PEAR essentially add the 2 quality scores if the calls agree (with mathematical justification). However, this breaks the conventions of the phred score in FASTQ. It doesn't break FASTQ completely - values up to ASCII 126 = Phred+33 93 - can be used, but they are unconventional! But this is something QIIME 1.9.0's split\_libraries\_fastq.py (for demultiplexing step below) cannot handle and therefore **QIIME 1.8.0 must be used for this step.**

### 4. Discard sequences in barcodes.fastq that are not in sequences file:

```
python
/home/users/allstaff/schulze.a/Papenfuss_lab/projects/metagenomics/ENDIA/ENDIA_QC/analysis_
tools/trim_fastq_to_matching.py -f bar_exed.assembled.fastq -m
bar_exed_sep_ends/barcodes.fastq -o MISEQ2128.barcodematched.fastq
```

**Note:** This is a script that was written by Jocelyn (trim\_fastq\_to\_matching.py). The path to the directory is written there.

### 5. *Demultiplexing fastq sequencing data:*

To run the qiime 1.8.0 version of split\_libraries\_fastq use  
 /usr/local/bioinfsoftware/python/python-2.7.3/bin/split\_libraries\_fastq.py

You may need to first run:

```
module load python/2.7.3
```

and

```
mkdir ~/tmp
```

```
lamboot
```

```
/usr/local/bioinfsoftware/python/python-2.7.3/bin/split_libraries_fastq.py -i
./MISEQ2128.barcodematched.fastq -b ./bar_exed_sep_ends/barcodes.fastqtrimmed -m
Mapping_file.csv --barcode_type 16 -p 0.90 --phred_offset 33 -q 29 -o labelled_hiqual/ -v
```

-i → The sequence read fastq files (comma-separated if more than one)

-b → The barcode read fastq files (comma-separated if more than one) [default: None]

-m → Metadata mapping files (comma-separated if more than one) [default: None]. NOTE: Must contain a header line indicating SampleID in the first column and BarcodeSequence in the second, LinkerPrimerSequence in the third.

--barcode\_type → The type of barcode used. This can be an integer, e.g. for length 6 barcodes

-p → Min number of consecutive high quality base calls to include a read (per single end read) as a fraction of the input read length [default: 0.75]

-q → The maximum unacceptable Phred quality score (e.g., for Q20 and better, specify -q 19) [default: 3]

-o → Directory to store output files

Results:

```
histograms.txt seqs.fna split_library_log.txt
```

Your demultiplexed sequences (separated by sample) are in the seqs.fna file. The histogram shows the length distribution of your reads and the split\_library\_log.txt shows the number of reads per sample/library.

**Note:** Before continuing you should start a new session (close the terminal a ssh again into your account), so qiime 1.9.0 is used for the following steps.

### 6. *Obtain the reverse complement:*



```
adjust_seq_orientation.py -i ./labelled_hiqal/seqs.fna
```

Results:

```
seqs_rc.fna
```

It might be necessary to run this command to ensure sequences are in the correct orientation.

7. Remove the amplicon primer: this trims 16S rRNA primers as well as Illumina universal sequencing primers:

```
python
/home/users/allstaff/schulze.a/Papenfuss_lab/projects/metagenomics/ENDIA/ENDIA_QC/analysis_
tools/trim_fasta_amplicons.py -i ./seqs_rc.fna -d Forward -o seqsNAmp.fna
```

Verbose results:

```
seqDirection: Forward regionV: V4
primer1: GTG[CT]CAGC[AC]GCCGCGGTAA , primer2: ATTAGA[AT]ACCC[CGT].GTAGTCC
Forward primers found: 6482499 Counts
Number of bases trimmed from sequence start when forward primer not found: 41
Reverse primers found: 6497133 Counts
Number of bases trimmed from sequence end when reverse primer not found: 44
Both primers found: 6280188
```

**Note:** This is a script that was written by Jocelyn (trim\_fasta\_amplicons.py). The path to the directory is written there.

8. Align sequences and cut the alignment (MOTHUR):

This is something implemented in MOTHUR that for some reason is not done in QIIME. The reason to do this is to keep only sequences from the same region of the 16S rRNA gene and to have all the reads with the exactly same length (meaning exactly the same region!). For this we use the silva database from MOTHUR:

Run mothur: mothur (enter)

- a) Align to silva.bacteria database (you should copy this to your directory in which you run mothur).

```
align.seqs(fasta=seqsNAmp.fna, reference=silva.bacteria.fasta, flip=t,
processors=24)
```

Results:

```
Output File Names:
seqsNAmp.align
seqsNAmp.align.report
seqsNAmp.flip.accnos
```

- b) Check in which bases are most of the sequences aligned:

```
summary.seqs(fasta=seqsNAmp.align, processors=24)
```

6190

6191 Example results:

	Start	End	NBases	Ambigs	Polymer	NumSeqs
6192						
6193	Minimum:	1044	1056	2	0	2
6194	2.5%-tile:	13862	23444	252	0	3
6195	25%-tile:	13862	23444	253	0	4
6196	Median:	13862	23444	253	0	4
6197	75%-tile:	13862	23444	253	0	4
6198	97.5%-tile:	13862	23444	253	0	6
6199	Maximum:	43115	43116	276	0	51
6200	Mean:	13866.7	23443.3	252.802	0	4.15174
6201	# of Seqs:	6701300				

6202

6203 Output File Names:

6204 seqs\_rcNAmp.summary

6205

6206 So what does this mean? You'll see that the bulk of the sequences start at position 13'862 and  
 6207 end at position 23,444. Some sequences in the example start at position 1044 or 43115 and end at  
 6208 1056 or 43116. These deviants from the mode positions are likely due to an insertion or deletion  
 6209 at the terminal ends of the alignments. Sometimes you'll see sequences that start and end at the  
 6210 same position indicating a very poor alignment, which is generally due to non-specific  
 6211 amplification.

6212

6213 **Note:** It can happen that if you have two different sequencing datasets together, one is in the  
 6214 reverse position and one in the forward. In that case the alignment is going to show like two  
 6215 groups of sequences aligned in different regions using Jocelyn's script:  
 6216 `adjust_seq_orientation.py` (described above)

6217

6218 c) Run `screen.seqs`:

6219

6220 To make sure that everything overlaps the same region we'll run `screen.seqs` to get sequences  
 6221 that start at or before position 13862 and end at or after position 23444 (which is based on the  
 6222 summary results). We'll also set the maximum homopolymer length to 8 since there's nothing in  
 6223 the database with a stretch of 9 or more of the same base in a row.

6224

6225 `screen.seqs(fasta=seqsNAmp.align, start=13862, end=23444, maxhomop=8, processors=24)`

6226

6227 Results:

6228

6229 Output File Names:

6230 **seqsNAmp.good.align**6231 `seqsNAmp.bad.accnos`

6232

6233 d) Make sure that our sequences only overlap the specific region:

6234

6235 We filter the sequences to remove the overhangs at both ends. Since we've done paired-end  
 6236 sequencing, this shouldn't be much of an issue. In addition, there are many columns in the  
 6237 alignment that only contain gap characters (i.e. "-"). These can be pulled out without losing any  
 6238 information. We'll do all this with:

6239

6240 `filter.seqs(fasta=seqsNAmp.good.align, vertical=T, trump=.)`

6241

Example results:

```

Length of filtered alignment: 462
Number of columns removed: 49538
Length of the original alignment: 50000
Number of sequences used to construct filter: 6'478'106

```

This means that our initial alignment was 50000 columns wide and that we were able to remove 49538 terminal gap characters using `trump=.` and vertical gap characters using `vertical=T`. The final alignment length is 462 columns (or bases).

```

Output File Names:
seqsNAmp.filter
seqsNAmp.good.filter.fasta

```

e) Get rid of the “-” → convert alignment to a fasta file for further analysis in QIIME:

Out of mothur using a perl on-liner:

```
perl -pe 's/-//g' seqsNAmp.good.filter.fasta > seqsNAmp.good.filter_MOTHUR.fna
```

```

Results:
seqsNAmp.good.filter_MOTHUR.fna

```

**Note:** Make sure to record the number of sequences that remained after all this process. Once you are satisfied, you are ready to move on to OTU picking.

9. OTU picking or clustering of sequences into OTUs using UPARSE from USEARCH (not in QIIME) at 97% sequence identity

a) Deduplicate the sequences (it is like clustering at 100% sequence identity, but it keeps the abundance information in the header).

The input sequences to `cluster_otus` must be a set of unique sequences sorted in order of decreasing abundance with size annotations in the labels. The `derep_fulllength` command can be used to find the unique sequences and add the size annotations. The input to `derep_fulllength` should be the reads after any quality filtering or length trimming:

```

usearch -derep_fulllength seqsNAmp.good.filter_MOTHUR.fna -fastaout
seqsNAmp.good.filter_MOTHUR_unique.fna -sizeout -minseqlength 64 -threads 20

```

When you have to many samples, `usearch` won't be able to run this step, you can use `VSEARCH` instead and then keep using `Usearch`:

```

vsearch --derep_full seqsNAmp.good.filter_MOTHUR.fna --output
seqsNAmp.good.filter_MOTHUR_unique_VSEARCH.fna --log=log --sizeout --minseqlength 64

```

b) Make the reference (chimeras are filtered in this step):

```
usearch -cluster_otus seqsNAmp.good.filter_MOTHUR_unique_VSEARCH.fna -minsize 2 -otus
otus_mc2.fa -relabel Otu
```

Example of verbose results:

```
01:47 66Mb    100.0% 849 OTUs, 14740 chimeras
```

**minsize 2** → means that it will discard singleton sequences. In other words, for a sequence to stay it has to appear identically at least 2 times. This is the minimum you have to filter to avoid keeping erroneous sequences.  
Notice you have as results your sequences clustered into 849 OTUs. 14740 sequences from your total were detected as chimeras.

c) Change the header of the **original** file (non-deuniqued):

```
perl -pe 'if($ =~ />.+(_\d+)/) {$ =~ s/(_\d+)/g}' seqsNAmp.good.filter_MOTHUR.fna >
seqsNAmp.good.filter_MOTHUR_renamed.fna
```

d) Make OTUs:

```
usearch -usearch_global seqsNAmp.good.filter_MOTHUR_renamed.fna -db otus_mc2.fa -strand
plus -id 0.97 -otutabout otutab_mc2.txt
```

Example of verbose results:

```
00:39 159Mb    100.0% Searching, 77.1% matched
4726252 / 6144699 mapped to OTUs (76.9%)
```

### 10. Assign taxonomy to the unquied sequences.

The Greengenes database of 16S sequences is the database of reference 16S sequences used to assign the taxonomy. A Qiime python script is used for this with the file `97_otus.fasta` that functions as a reference FASTA file of all sequences with known taxonomy

```
parallel_assign_taxonomy_uclust.py -i otus_mc2.fa -o tax_otus_mc2 -0 20 -t
/usr/local/bioinfsoftware/qiime/qiime_v2-1.8.0/qiime-deploy/qiime_software/gg_otus-13_8-
release/taxonomy/97_otu_taxonomy.txt -r /usr/local/bioinfsoftware/qiime/qiime_v2-
1.8.0/qiime-deploy/qiime_software/gg_otus-13_8-release/rep_set/97_otus.fasta
```

Resulting files:

```
otus_mc2_tax_assignments.log  otus_mc2_tax_assignments.txt
```

### 11. Make a biom file and add the taxonomic and metadata information:

a) Convert txt table to biom file

To convert a tab-delimited table to a JSON biom format. The biom format is designed to be a general-use format for representing biological sample by observation contingency tables (<http://biom-format.org/index.html>).

```
biom convert -i otutab_mc2.txt -o otutab_mc2.biom --table-type="OTU table" --to-json
```

b) Add the specific header to file with taxonomies:

```
nano head
#OTUID taxonomy confidence
cat head otus_mc2_tax_assignments.txt > otus_mc2_tax_assignments_C.txt
```

c) Add the taxonomy to the biom file:

```
biom add-metadata --sc-separated taxonomy --observation-header OTUID,taxonomy --
observation-metadata-fp tax_otus_mc2/otus_mc2_tax_assignments_C.txt -i otutab_mc2.biom -o
otutab_mc2_tax.biom
```

d) Add metadata:

```
biom add-metadata -i otutab_mc2_tax.biom -o otutab_mc2_AllMeta.biom --sample-metadata-fp
Mapping_file.csv
```

**Note:** if you have an error it would probably be related to not having the same samples in the sequences and in the mapping file.

## 12. Obtain a phylogenetic tree:

a) Align sequences from the reference using Mothur

```
align.seqs(fasta=otus_mc2.fa, reference=silva.bacteria.fasta, flip=t, processors=24)

Output File Names:
otus_mc2.align
otus_mc2.align.report
```

b) Make sure that your sequences only overlap the specific region:

```
filter.seqs(fasta=otus_mc2.align, vertical=T, trump=.)

Length of filtered alignment: 302
Number of columns removed: 49698
Length of the original alignment: 50000
Number of sequences used to construct filter: 849

Output File Names:
otus_mc88.filter
otus_mc88.filter.fasta
```

c) Make the phylogenetic tree using qiime:

```
make_phylogeny.py -i otus_mc2.filter.fasta -o fasttree_mc2
```

```
Result:
fasttree_mc2.tre
```

## 13. Normalize .biom table using the CSS normalization in QIIME (from “Robust methods for differential abundance analysis in marker genes surveys” to do PCoA plots):

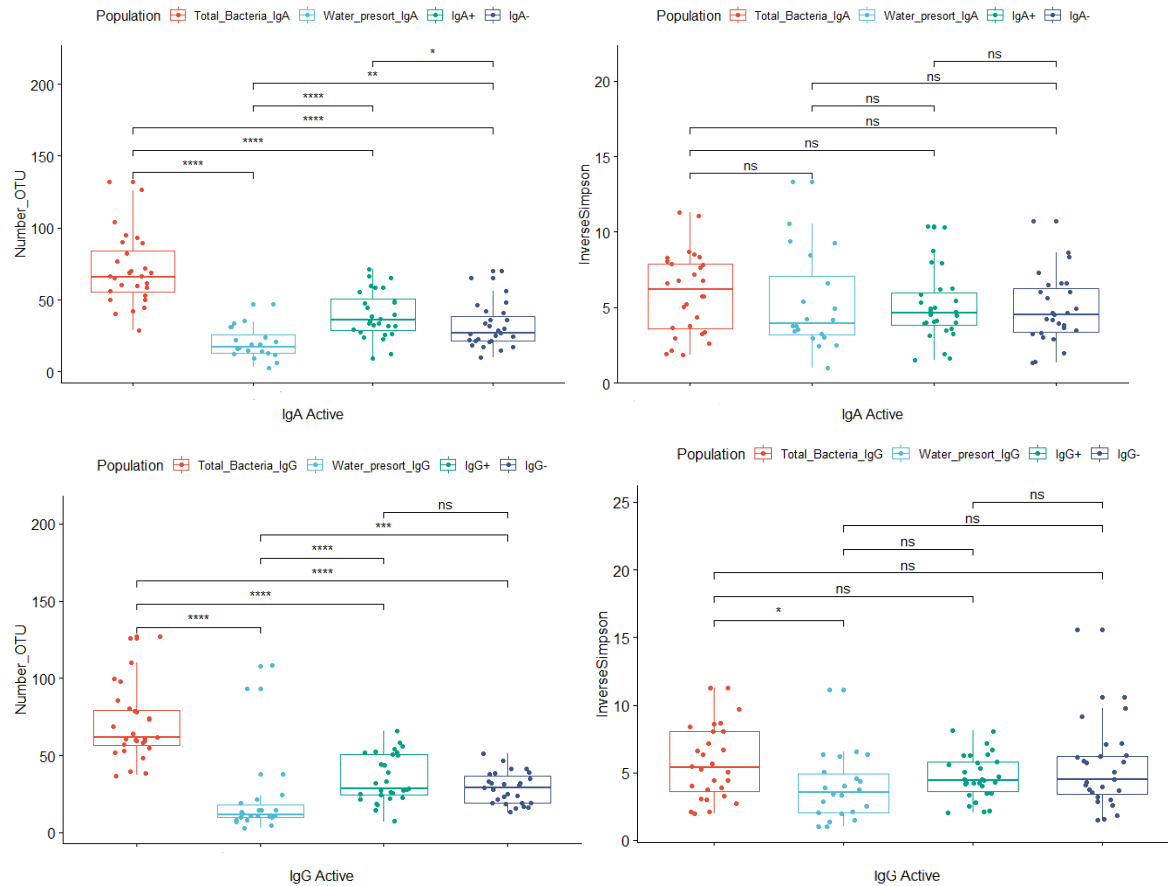
```
normalize_table.py -i otutab_mc2_AllMeta.biom -a CSS -o
CSS_normalized_otutab_mc2_AllMeta.biom
```

6405  
6406 **Note:** The phylogenetic tree is added in phyloseq

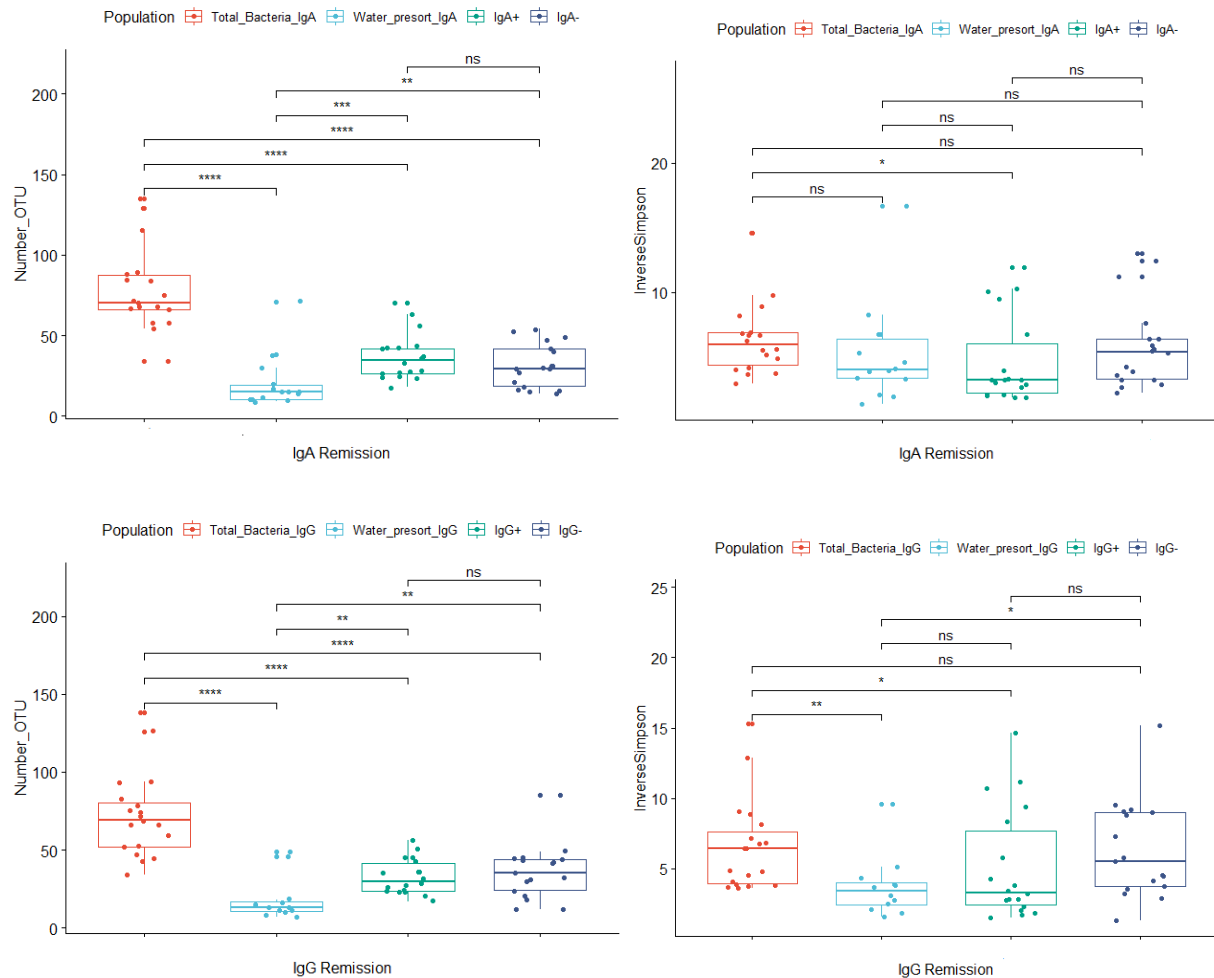
6407 5.2 Supplementary figures

6408

6409



Supplementary figure 1: Alpha diversity analysis of Immunoglobulins in dog with Chronic Enteropathy during active disease. Upper panel: Immunoglobulin A (IgA); Lower panel Immunoglobulin G (IgG); N: 18 IgA: Total n =28, Pre-sorting water n =20, IgA+ = 28, IgA- =27. IgG: Total n =28, Pre-sorting water n =23, IgG+ = 30, IgG- =27. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index.



Supplementary figure 2: Alpha diversity analysis of Immunoglobulins in dog with Chronic Enteropathy during remission disease. Upper panel: Immunoglobulin A (IgA); Lower panel Immunoglobulin G (IgG); N: 18 IgA: Total n =18, Pre-sorting water n =14, IgA+ = 18, IgA- =18. IgG: Total n =19, Pre-sorting water n =12, IgG+ = 18, IgG- =17. Right panel: Number of OTUs (Observed), Left panel: Inversed Simpson's Index.



**Chapter 6: General discussion**

This study emphasises that there are individual variations in the GI microbiome of dogs, which may be missed by single time-point analysis. Therefore, when studying the microbiome in a group of dogs, collection of multiple samples over time will be of most benefit. Although our study had relatively small numbers, the conclusions were strengthened, and substantially more information was obtained by analysing multiple samples from individual dogs.

This project used new analytical tools and sample processing that allowed us to find some new information useful in the field of veterinary medicine. The advantages of using flow cytometry are to characterise bacteria that interact with the intestinal mucosa, allowing us to cut out the 'noise' and bias of standard microbiome analysis.

In chapter 2, we assessed the microbiome of two litters from birth, and compared this to the microbiome of mothers. We confirmed that the GI tract of puppies has commensal bacterial population when born. We were only able to analyse one meconium sample, but this too had a bacterial population, similar to that found in human infants (predominant phyla Proteobacteria). The adult-type microbiome started to develop post-weaning (predominant phyla Bacteroidetes, Firmicutes, and Fusobacteria), becoming stable at higher phylogenetic levels when the dogs were fed an adult diet.

We also determined that the maternal microbiome appears to alter prior to birth, with predominantly Firmicutes phyla (approaching 95% total bacteria). Although additional studies collecting more samples from different dogs and at different points during pregnancy are needed; this change could be hormonally driven. We postulate that this shift to a predominant Firmicutes pattern could be a mechanism by which the mothers maximise energy production from food. Additionally, we found that the puppy microbiome appears to influence the maternal microbiome (of both oral and faecal microbiota), rather than the expected opposite. This may be due to the mother cleaning the puppies. The route of delivery did not influence the gut microbiota of puppies in this study. However, all of our puppies have similar genetic background (i.e. Labradors) and environmental conditions, so this may not be applicable to other breeds or environments.

In adults dogs, the gut microbiota appeared to be highly stable over time at higher phylogenetic levels (Firmicutes, Bacteroidetes, Fusobacteria), even in senior dogs, provided that they maintained a good health status. When we analysed at lower phylogenetic levels (family and genus); the gut microbiota does change over time, although the variation was higher between individuals than within the same individual. The change at family and genus level within individuals was highly variable, which may be due to different environmental conditions for each dog (privately owned pets) and incidental colonisation. Limitations with this aspect of the study include the number of healthy dogs in the older age categories, and in some dogs only limited samples were collected. Complicating the interpretation of these findings are the multiple breeds and variable environmental exposure. However, although the latter may be considered a weakness, this reflects the difficulty in interpreting single time-point microbiome analysis in dogs.

In Chapter 3, we showed that different sets of bacteria were coated with IgA and IgG in health and disease (chronic enteropathy: active and remission). These profiles overall appeared similar (regardless of health status, or the IG involved), however when analysed at individual dog level, there were dramatic changes between the bacteria coated in active disease compared to remission.

In healthy dogs, IgA predominantly coated bacteria from the phyla Firmicutes, followed by Bacteroidetes. At lower phylogenetic levels, there was a wide range of bacterial groups at genus/family level that were similar between IgA and IgG.

In dogs with active chronic enteropathy, at the phyla level the coating appears similar to healthy dogs for both IgA and IgG. At the family level it was not possible to identify a predominant bacteria coated by either IgA or IgG. In dogs with CE that were in remission, again overall the coating distribution was similar to that of healthy dogs and dogs with active disease.

However, when individual dogs were analysed, profound differences in bacteria coated with IgA (but not IgG) were observed when comparing samples obtained during active versus remission disease. The change was not predictable, and the shift in some dogs was towards a lower number of bacteria coated with IgA when clinically improving. In that sub-set of dogs, we hypothesize that this indicates a reduction in the local inflammatory response to the GI microbiome; whether the microbiome shift drove the reduction in

inflammation or vice versa cannot be determined. The lack of change in IgG coating is not surprising, based on the fact that the inflammation in CE is localised in the intestinal mucosa.

One of the major contributors to this finding could well be that we were analysing heterogeneous groups of conditions: there may be different etiologies, genetic background and environmental factors contributing to the disease (CE) in each individual dog. Additionally, the time period for which we obtained remission samples may be insufficient to gain a complete understanding of the 'healthy' microbiome in each individual dog. This is because the microbiome may be unstable until remission is achieved for many months. Unfortunately, the study could not follow all dogs for this length of time. The methodology used in this study was novel in veterinary gastroenterology. We experienced some technical issues when we performed flow cytometry (likely due to the stain used) and contamination of the sheath fluid despite extreme care being taken to avoid this. Refinement of these technical issues may improve the utility of this analytical methodology. In veterinary medicine, because there is heterogeneity in CE cases, using IgA coating analysis may be beneficial to follow up individual dogs, to assess response and to try and further elucidate an understanding of the disease process in each dog.

Future studies could also determine whether the intensity of the staining (dim versus bright) could be correlated with bacterial populations bound by low- versus high- affinity IgA, respectively and whether those distinct populations could be associated with health or disease. On one hand, IgA excludes and eliminates pathobionts, but on the other hand, IgA protects commensals by agglutination and by localizing these bacteria in a favorable environment (Fadlallah et al., 2018) (Donaldson et al., 2018).

In chapter 4, we could determine that TSLP is expressed in the intestine during health and disease (CE). Unfortunately, TSLP expression was not helpful in distinguishing active disease versus remission, and indeed did not differ between sick and healthy dogs. This may be due to TSLP simply not playing a role in canine CE, as no specific  $T_{H1}/T_{H2}/T_{H17}$  profile has been identified in dogs. Other explanations for our findings could be different isoforms expressed in dogs, as occurs in people. Technical issues such as sample location, preparation and processing may also contribute.

The next steps into unraveling the role of the microbiota could include a more detailed study of the maternal microbiota during pregnancy, not only at the level of the faecal microbiota, but also at the level of skin, oral, and vaginal microbiota; and how these influence on puppy microbiome. Additionally, the study during the

post- partum period at different time points could help to assess the dynamics, stability and the time that it takes to return to normal levels and the influence of the puppy microbiome on its profile.

In puppies, it would be very useful to assess the development and establishment of the gut microbiota in different breeds and born via different delivery routes. Prenatally, it would be very useful to investigate the presence of microbiota in placenta and confirm the presence of bacteria in meconium and their possible role during health and disease.

In regards to IgA and IgG-coating, the next steps should include more longitudinal studies followed over time and over longer periods; to determine whether the changes could be correlated to changes in treatment. It would also be clinically useful to determine whether this methodology could be used to monitor when an individual dog may be coming out of remission (prior to the development of overt clinical signs). Additionally, it would be very interesting to determine whether the IgA and IgG coated bacteria have different metabolic functions or impact on host metabolome, and to determine the genetic programming of those bacteria.

Also, it is well known that community structure is better defined in terms of functional diversity rather than taxonomic diversity alone. Thus, the combination of diversity with functional studies such as metatranscriptomics, metagenomics and metabolomics could offer a better understanding of the significance of the changes in the microbiota in health and disease.

Finally, for TSLP, metatranscriptomic studies have the potential to identify possible isoforms of TSLP that could impact the role of this gene in inflammation.

In summary, we have used new methodology to deepen our understanding of the microbiome in dogs in health and disease. Using determination of faecal coating is a useful technique to evaluate bacteria that directly interact with the host mucosal immune system and that can potentially be useful for deepening into the role of the relationship of the immune system with the microbiota.

6547

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